



## L-Store Concurrency Control: QueCC

#### Slides are adopted from Qadah, Sadoghi

QueCC - A Queue-Oriented, Control-Free Concurrency Architecture, ACM Middleware 2018

ECS 165A – Winter 2023



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#### Hardware Trends

Large core counts

Large main-memory



HPE Superdome Server 144 physical cores 6TB of RAM

<sup>\*</sup>Image source: https://www.hpe.com/us/en/servers/superdome.html

## Popularity of Key-value Stores

No multi-statement transactions

Weak consistency

Weak isolation













## High-Contention Workloads

Challenge ???

High number of contented operations



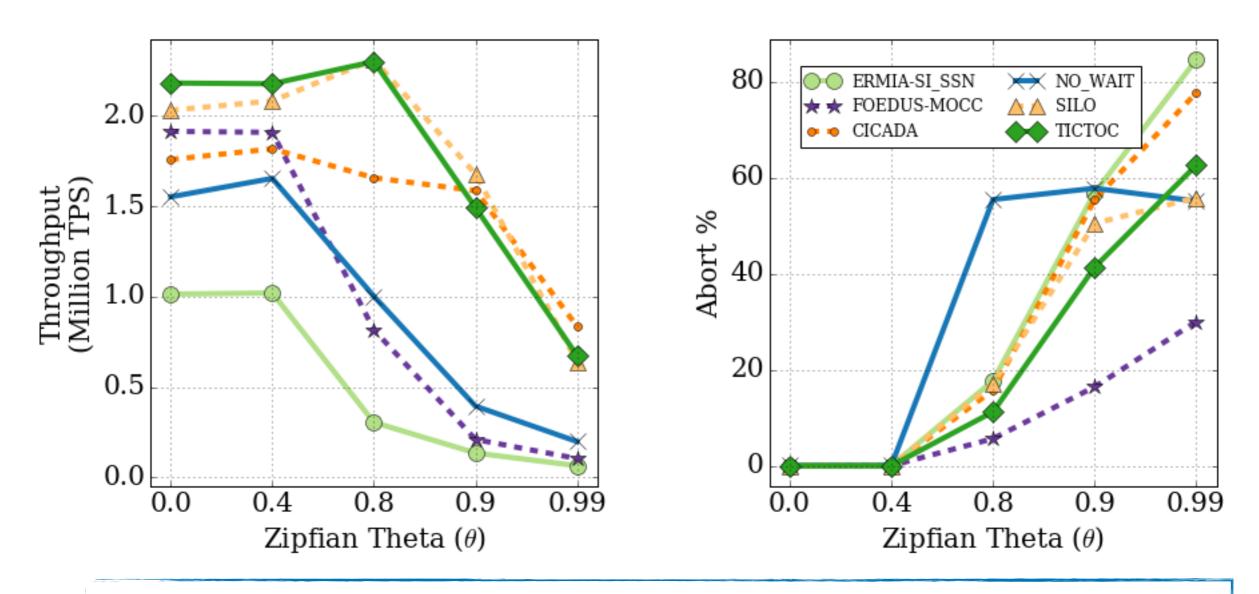


# State-of-the-Art Concurrency Control Protocols

- Optimized for multi-core hardware and mainmemory databases
- Non-deterministic

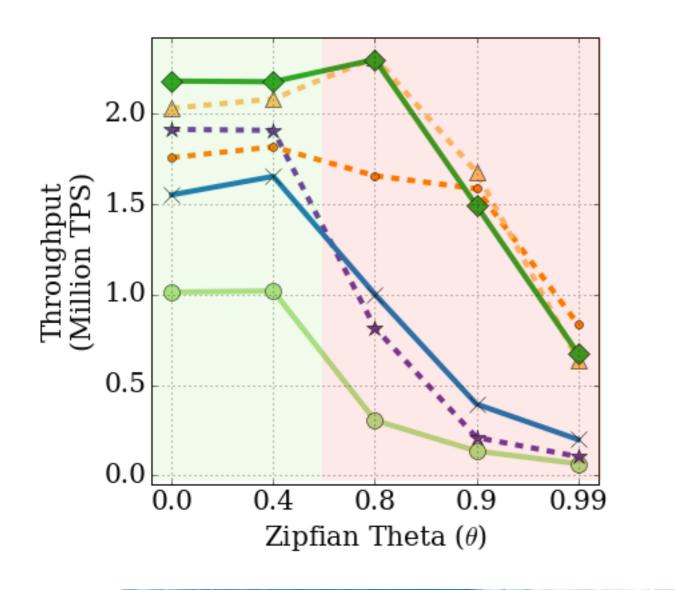
CC	Class	Year
SILO	Optimistic CC	SOSP '13
TICTOC	Timestamp Ordering	SIGMOD '16
FOEDUS- MOCC	Optimistic CC	VLDB '16
ERMIA	MVCC	SIGMOD '16
Cicada	MVCC	SIGMOD '17

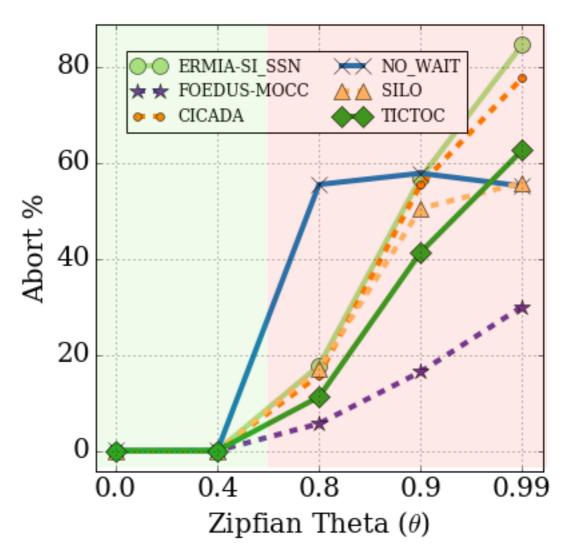
## Performance Under High-Contention



Optimize-for-multi-core concurrency control techniques suffer under high-contention due to increasing abort rate

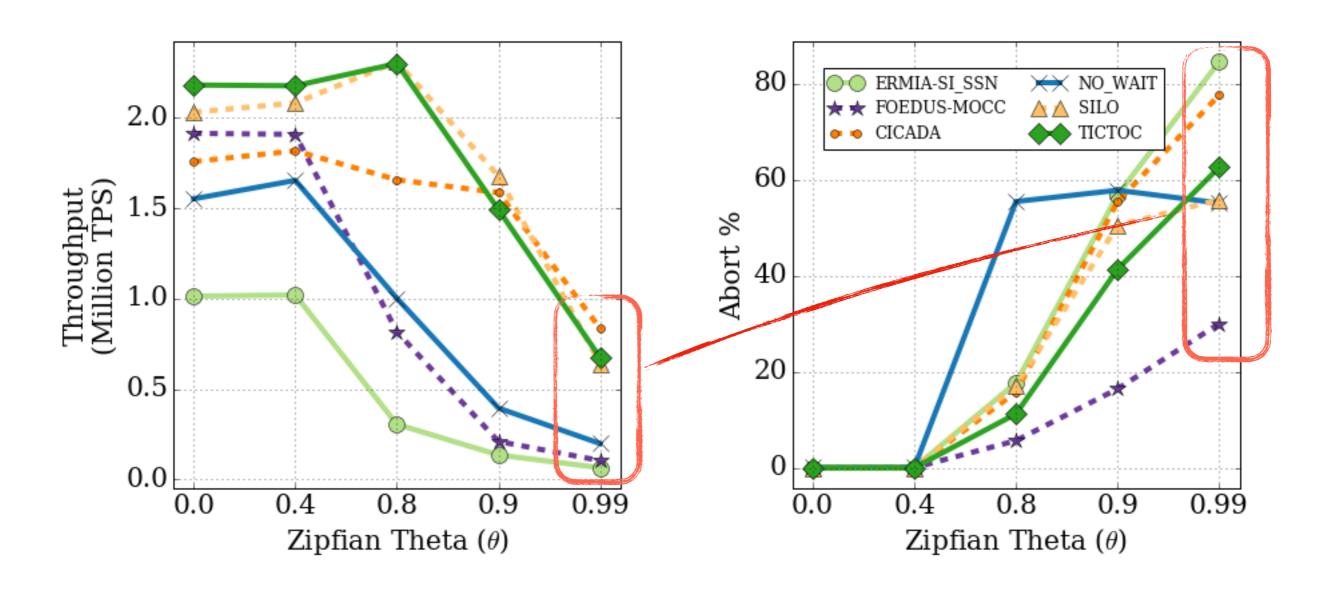
### Performance Under High-Contention





Under high-contention: Non-deterministic aborts dominates

### Performance Under High-Contention



Under high-contention: Non-deterministic aborts dominates

Abort Count: 0

Client Transactions

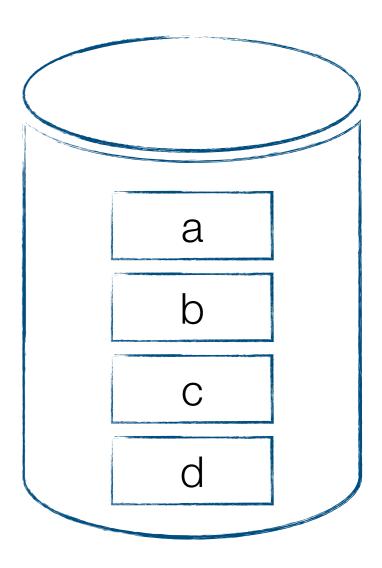
W<sub>4</sub>(b) W<sub>3</sub>(b) W<sub>2</sub>(b) r<sub>1</sub>(a)

r<sub>4</sub>(d) r<sub>3</sub>(c) r<sub>2</sub>(a) W<sub>1</sub>(b)

each color presents a transaction



Worker
Thread #2



Abort Count: 0

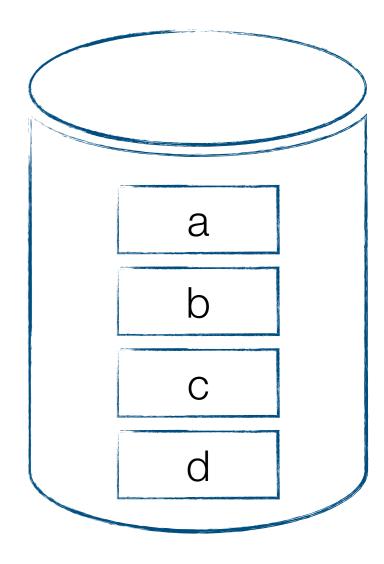
Client Transactions

w<sub>4</sub>(b) w<sub>3</sub>(b)

r<sub>4</sub>(d) r<sub>3</sub>(c)







Abort Count: 0

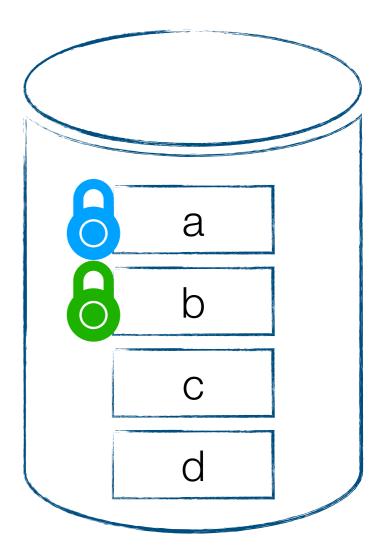
Client Transactions

W<sub>4</sub>(b) W<sub>3</sub>(b)

r<sub>4</sub>(d) r<sub>3</sub>(c)







Abort Count: 0

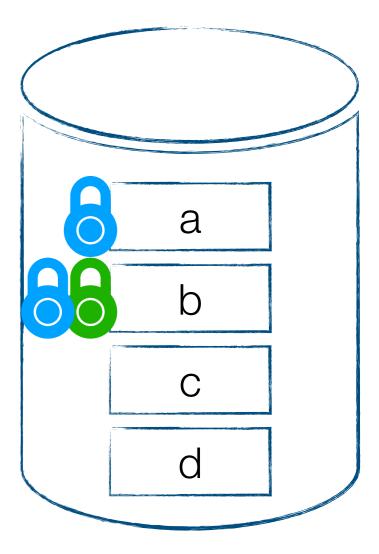
Client Transactions

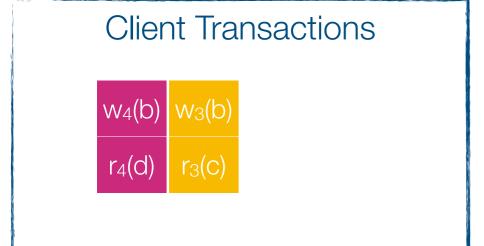
W<sub>4</sub>(b) W<sub>3</sub>(b)

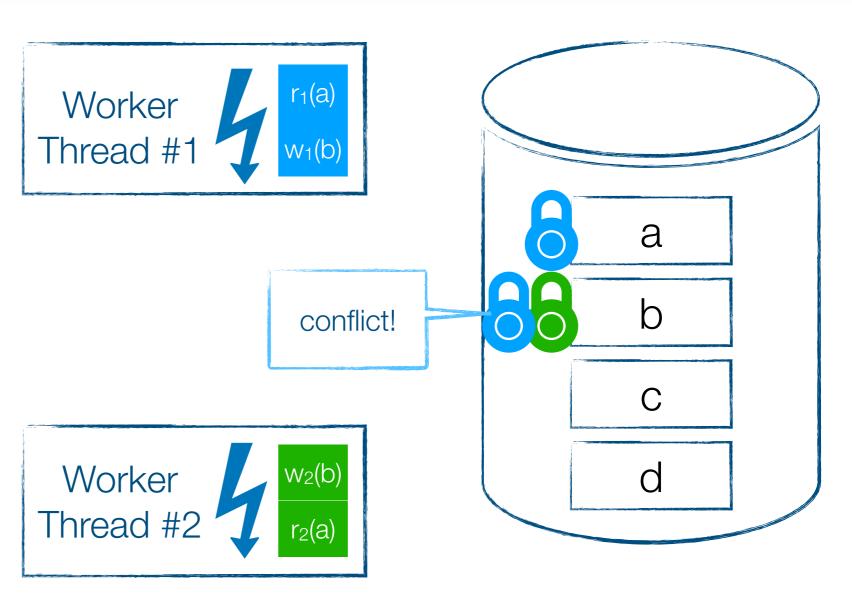
r<sub>4</sub>(d) r<sub>3</sub>(c)











Abort Count: 0

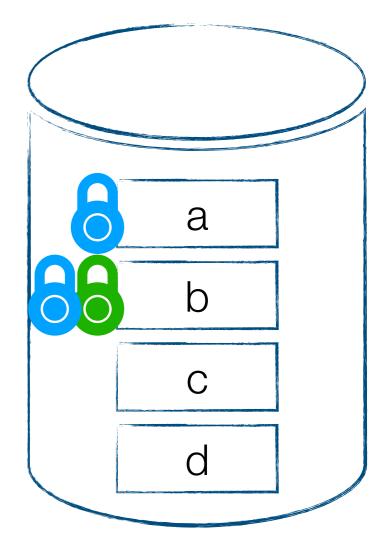
**Client Transactions** 

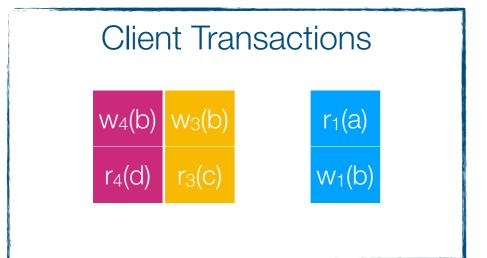
w<sub>4</sub>(b)w<sub>3</sub>(b)r<sub>4</sub>(d)r<sub>3</sub>(c)

Abort transaction (to avoid potential deadlocks)



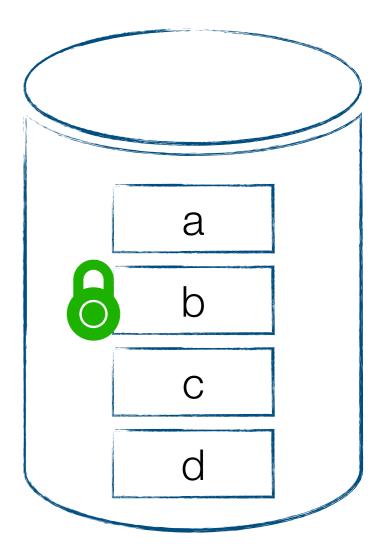


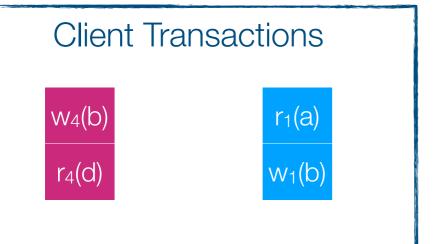






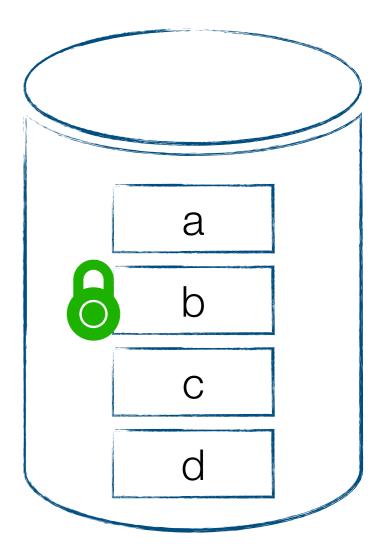


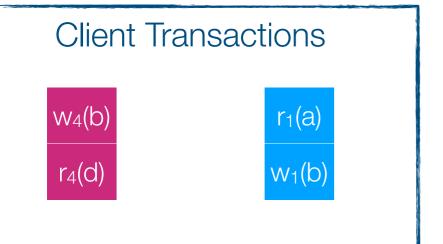






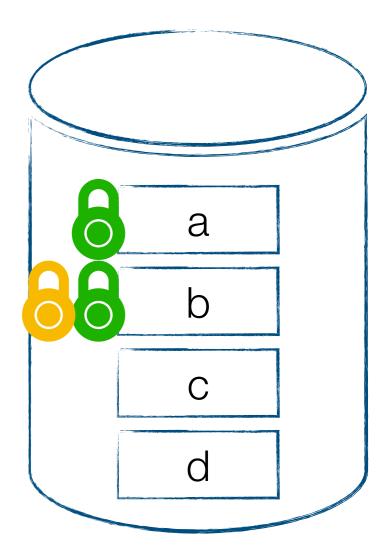


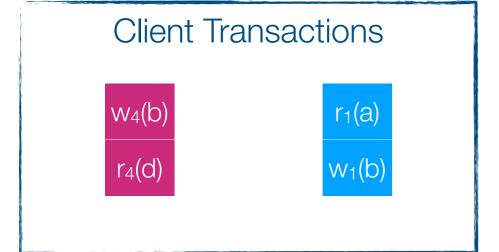


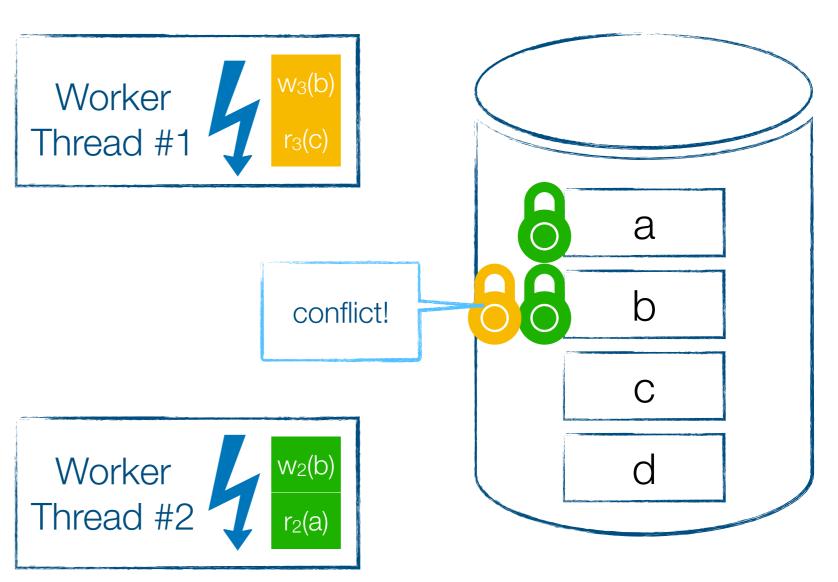












Abort Count: 1

**Client Transactions** 

w4(b)

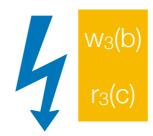
r<sub>4</sub>(d)

r<sub>1</sub>(a)

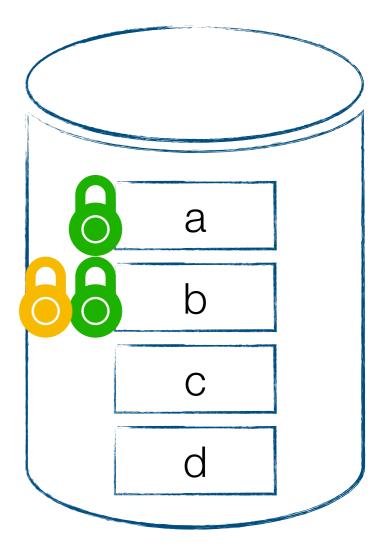
 $W_1(b)$ 

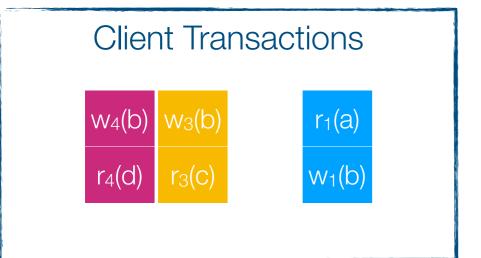
Abort transaction (to avoid potential deadlocks)





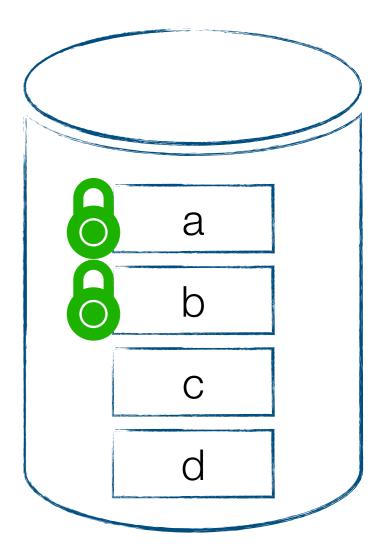


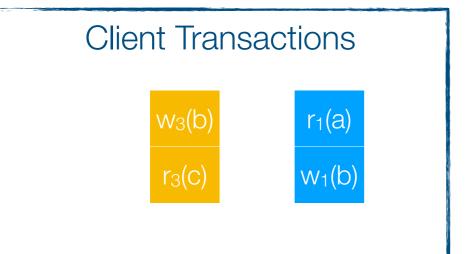






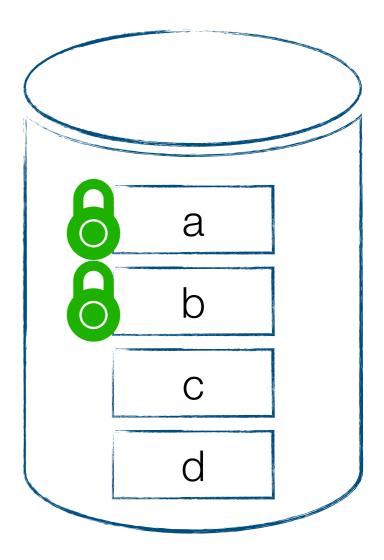


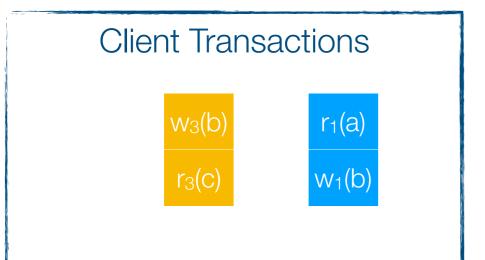






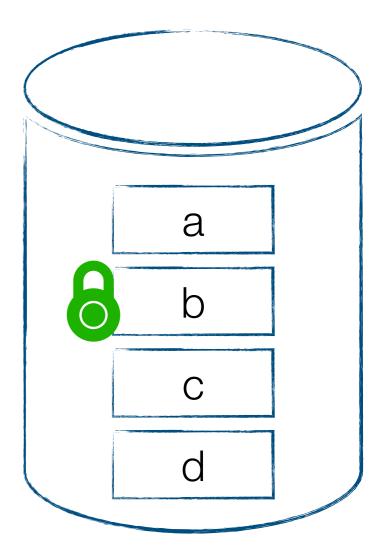


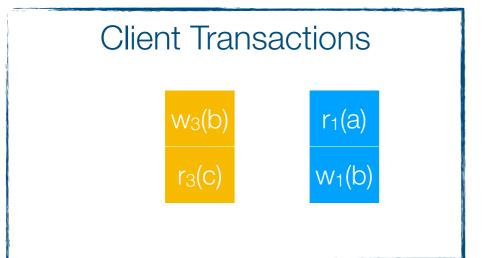






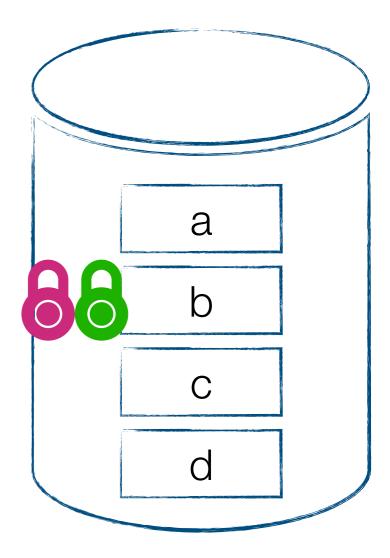


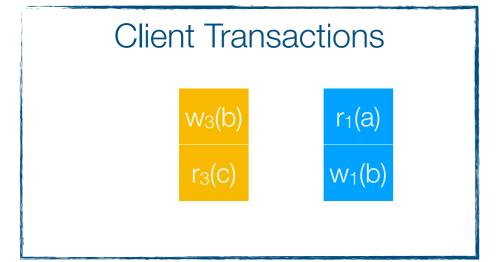


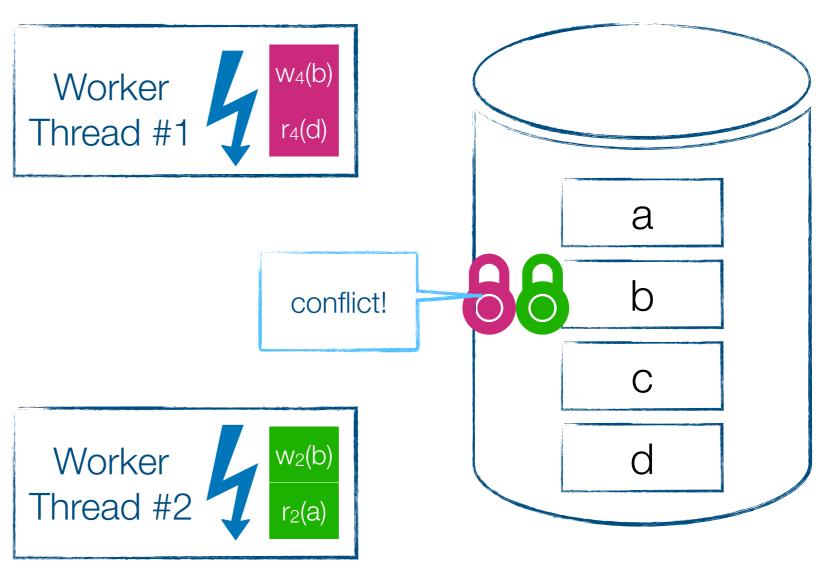












Abort Count: 2

Client Transactions

w<sub>3</sub>(b)

r<sub>1</sub>(a)

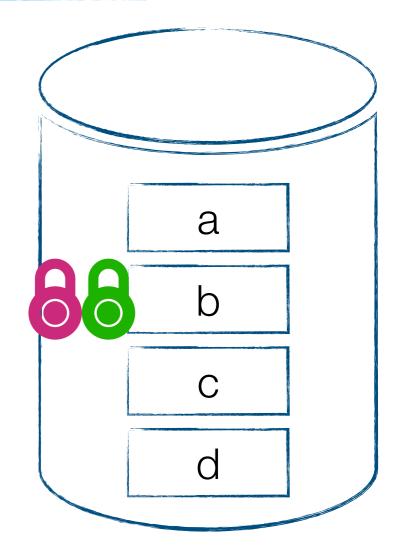
w<sub>3</sub>(c)

w<sub>1</sub>(b)

Abort transaction (to avoid potential deadlocks)







Abort Count: 3

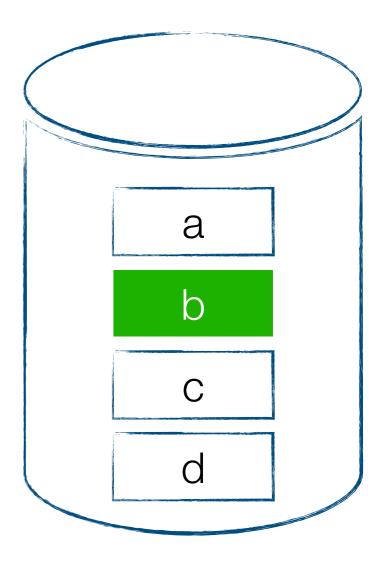
Client Transactions

w<sub>4</sub>(b) w<sub>3</sub>(b) r<sub>1</sub>(a)

r<sub>4</sub>(d) r<sub>3</sub>(c) w<sub>1</sub>(b)









Abort Count: 3

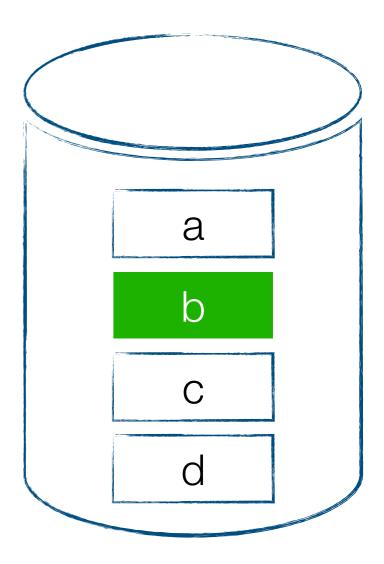
**Client Transactions** 

r<sub>1</sub>(a)

 $W_1(b)$ 







Committed Transactions

w<sub>2</sub>(b)

r<sub>2</sub>(a)

Abort Count: 3

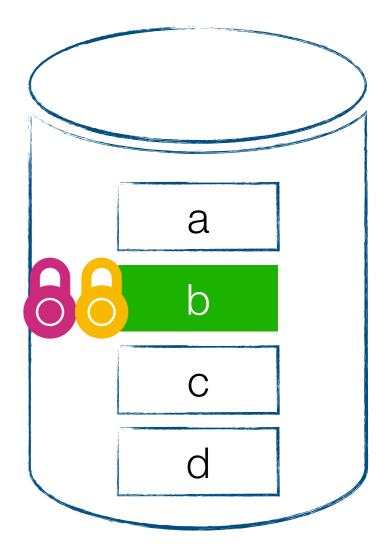
Client Transactions

r<sub>1</sub>(a)

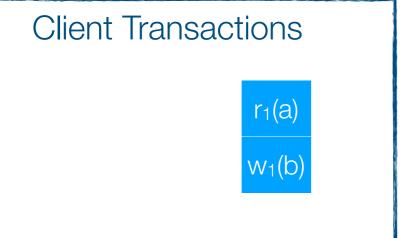
 $W_1(b)$ 

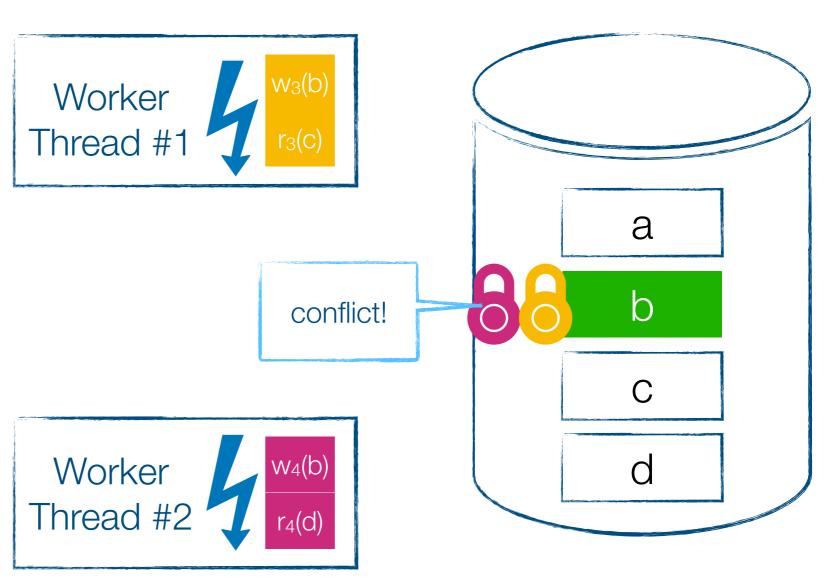














Abort Count: 3

Client Transactions

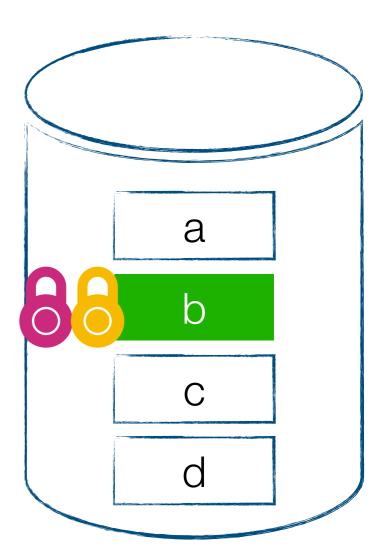
r<sub>1</sub>(a)

w<sub>1</sub>(b)





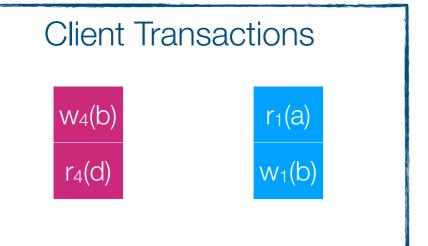
Abort transaction (to avoid potential deadlocks)



Committed Transactions

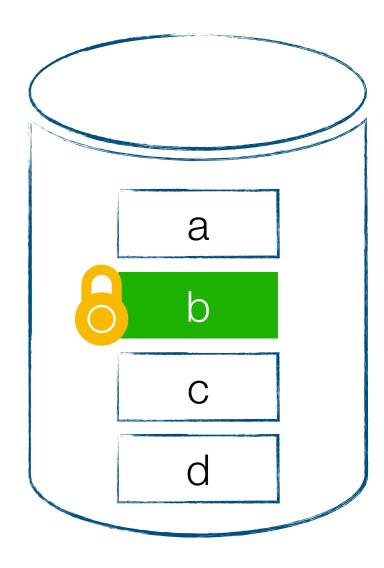
w<sub>2</sub>(b)

r<sub>2</sub>(a)











Abort Count: 4

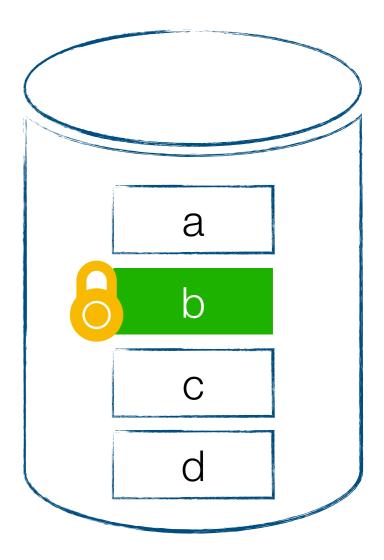
**Client Transactions** 

w<sub>4</sub>(b)

r<sub>4</sub>(d)









Abort Count: 4

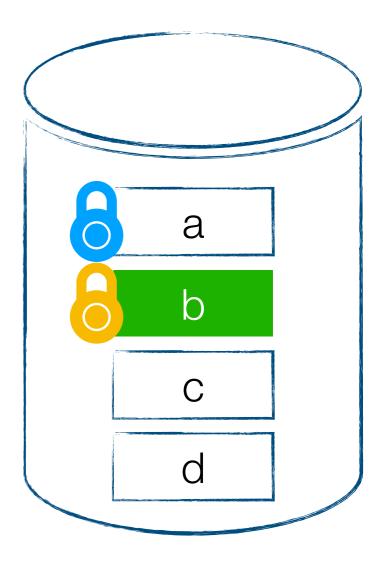
**Client Transactions** 

w<sub>4</sub>(b)

r<sub>4</sub>(d)







**Committed Transactions** w<sub>2</sub>(b) r<sub>2</sub>(a)

Abort Count: 4

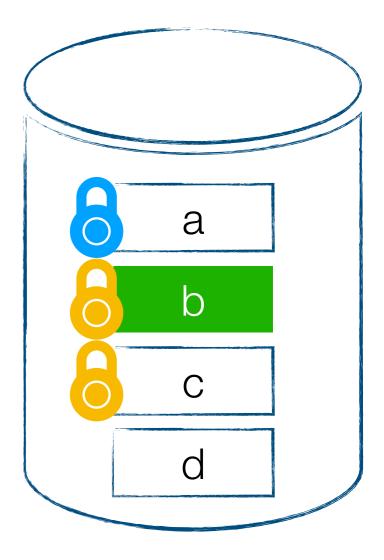
**Client Transactions** 

w<sub>4</sub>(b)

Worker
Thread #1

w3(b)
r3(c)







Abort Count: 4

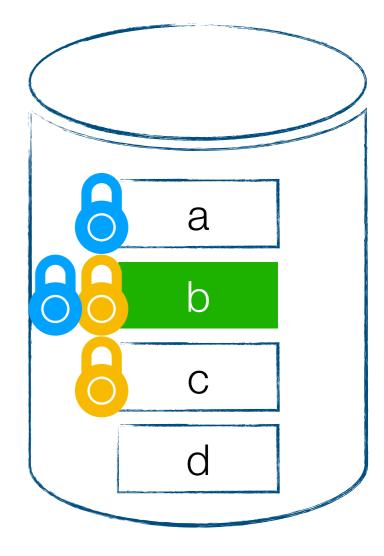
**Client Transactions** 

w<sub>4</sub>(b)

Worker
Thread #1

w<sub>3</sub>(b)
r<sub>3</sub>(c)







Abort Count: 4

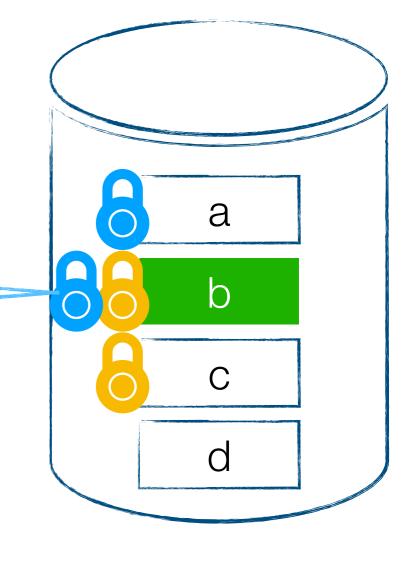
**Client Transactions** 

w<sub>4</sub>(b)

conflict!
Worker

Worker Thread #1

Thread #2



Committed Transactions

w<sub>2</sub>(b)

r<sub>2</sub>(a)

Abort Count: 4

**Client Transactions** 

w<sub>4</sub>(b)

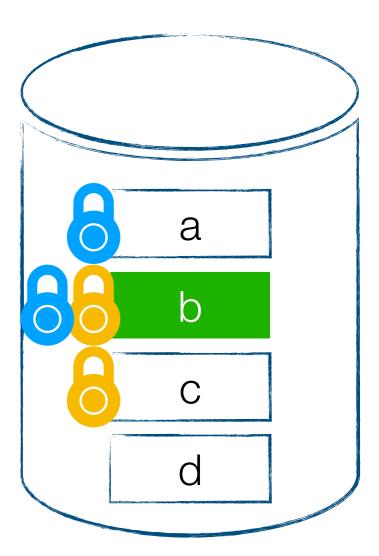
Worker
Thread #1

w3(b)

r3(c)



Abort transaction (to avoid potential deadlocks)



Committed Transactions

w<sub>2</sub>(b)

r<sub>2</sub>(a)

Abort Count: 5

Client Transactions

W<sub>4</sub>(b)

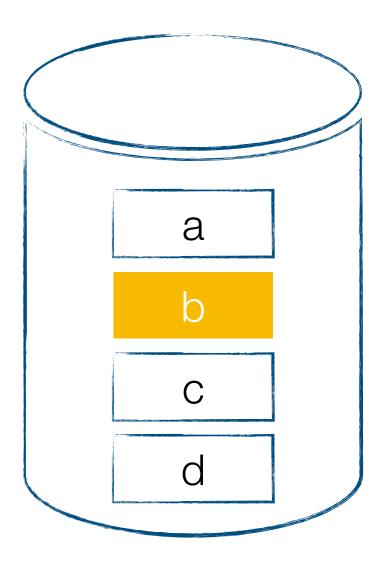
r<sub>4</sub>(d)

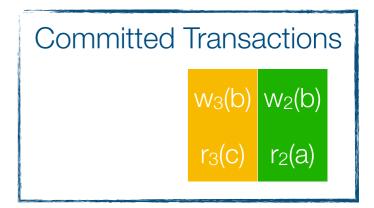
r<sub>1</sub>(a)

W<sub>1</sub>(b)







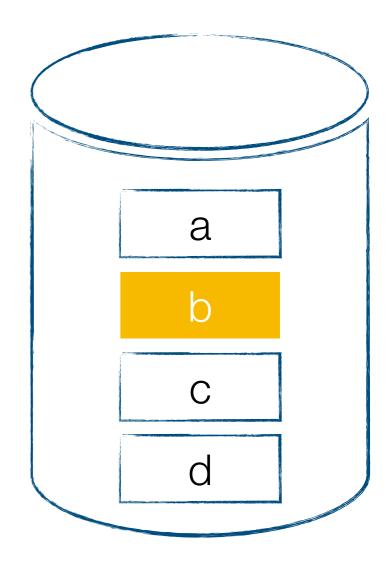


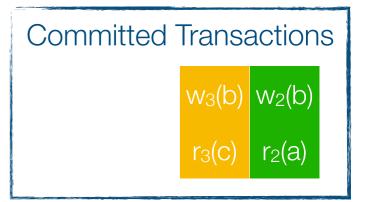
Abort Count: 5

**Client Transactions** 







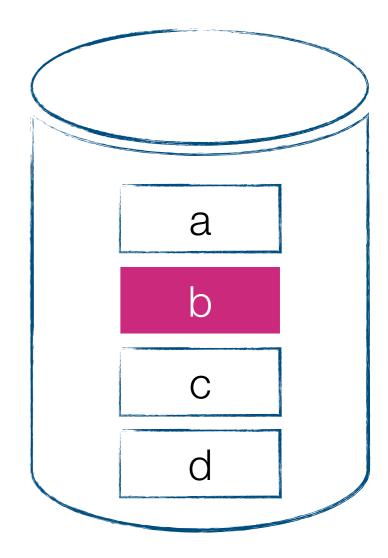


Abort Count: 5

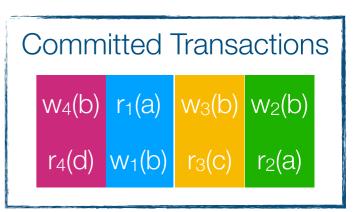
**Client Transactions** 

Worker
Thread #1





- Eventually transactions commit in some serial order!
- Many aborts due to high contention on record b
- Non-determinism in CC cause these aborts
- Wasted work



# Key Insights

- Many aborts due to high contention
- Non-determinism in CC cause these aborts

- Can we do better?
- Is it possible to eliminate non-deterministic concurrency control from transaction execution?



# Deterministic Transaction Execution

- H-Store [Kallman et al. '08]
- Designed and optimized for horizontal scalability, multi-core hardware and in-memory databases
- Stored procedure transaction model
- Static partitioning of database
- Assigns a single core to each partition
- Execute transaction serially without concurrency control within each partition

H-Store

Abort Count: 0

P1 is assigned to Worker Thread #1

Worker
Thread #1

a P1 b P2 d

 $w_4(d)$   $w_3(b)$   $w_2(c)$   $r_1(a)$   $r_4(c)$   $r_3(a)$   $r_2(d)$   $w_1(b)$ 

**Client Transactions** 

Single-partition transactions

Worker
Thread #2

P2 is assigned to Worker Thread #2

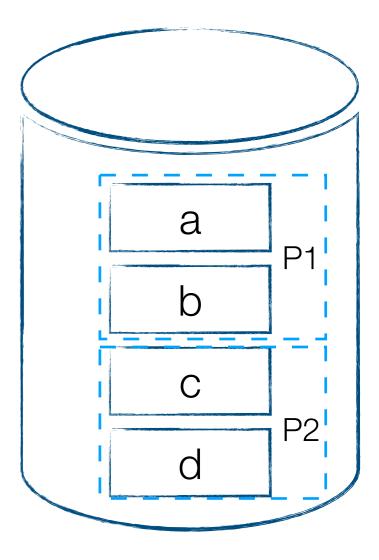
Abort Count: 0

**Client Transactions** 

w<sub>4</sub>(d) w<sub>3</sub>(b)r<sub>4</sub>(c) r<sub>3</sub>(a)

Worker
Thread #1 w<sub>1</sub>(b)





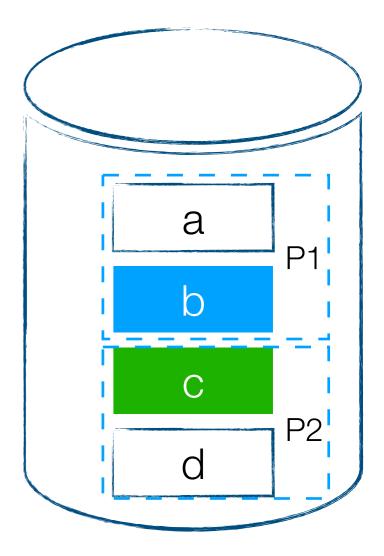
Abort Count: 0

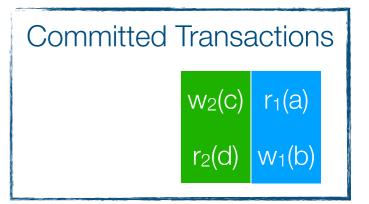
Client Transactions

w<sub>4</sub>(d) w<sub>3</sub>(b)r<sub>4</sub>(c) r<sub>3</sub>(a)

Worker
Thread #1

Worker Thread #2



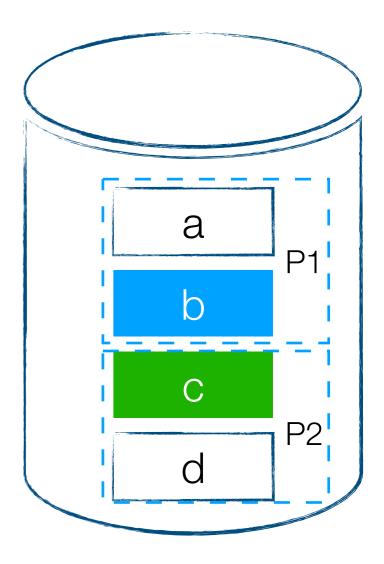


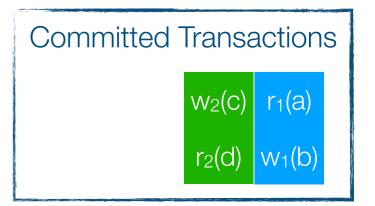
Abort Count: 0

**Client Transactions** 







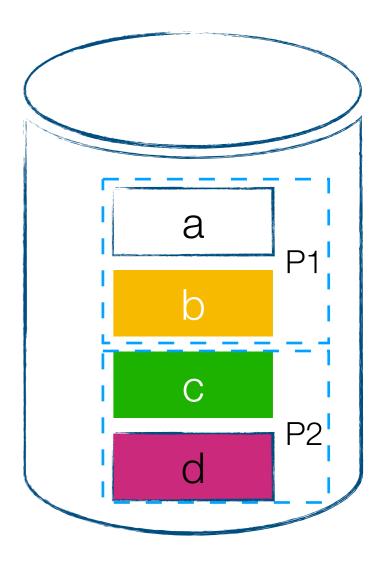


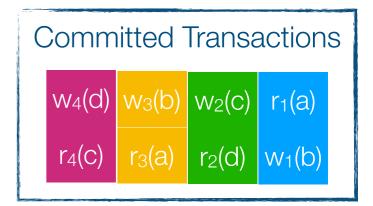
Abort Count: 0

**Client Transactions** 









Abort Count: 0

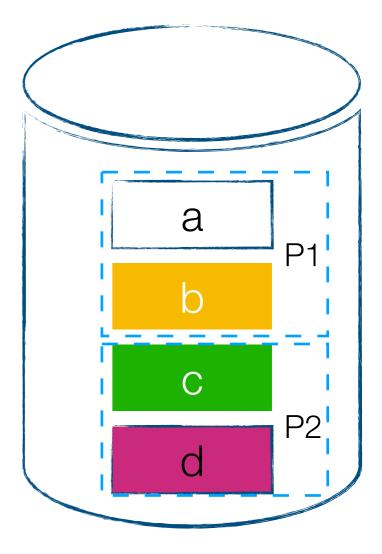
**Client Transactions** 

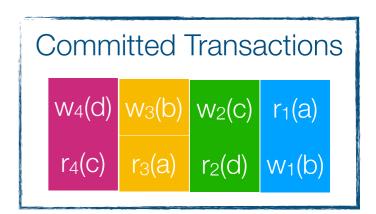
Worker Thread #1



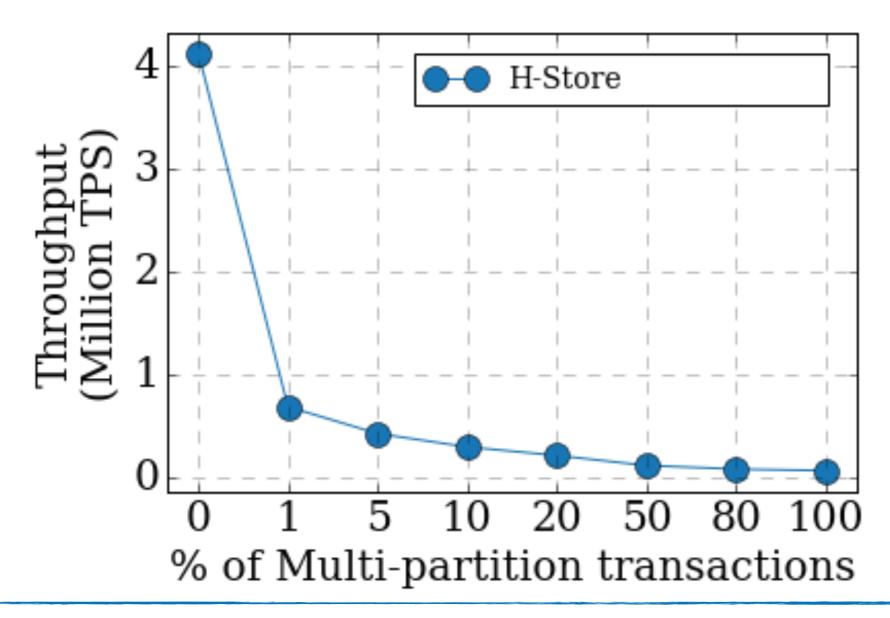


- **Deterministic Execution**
- No aborts because of CC
- Minimal coordination among threads
- Performs well only when transactions are single-partitioned





## Effect of Increasing Percentage of Multi-Partition Transactions in the Workload



H-Store is sensitive to the percentage of multi-partition transactions in the workload

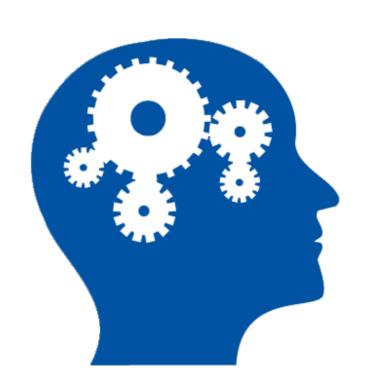
### Can We Do Better?

#### Our motivations are

- Efficiently exploits multi-core and large main-memory systems
- Provide serializable multi-statement transactions for key-value stores
- Scales well under high-contention workloads

#### Desired Properties

- Concurrent execution over shared data
- Not limited to partitionable workloads
- Without any concurrency controls



Is it possible to have concurrent execution over shared data without having any concurrency controls?

# Introducing: QueCC

Queue-Oriented, Control-Free, Concurrency Architecture

A two parallel & independent phases of priority-driven planning & execution

Phase 1: Deterministic priority-based planning of transaction operations in parallel

- → Plans take the form of Prioritized Execution Queues
- Execution Queues inherits predetermined priority of its planner
- → Results in a deterministic plan of execution

Phase 2: Priority driven execution of plans in parallel

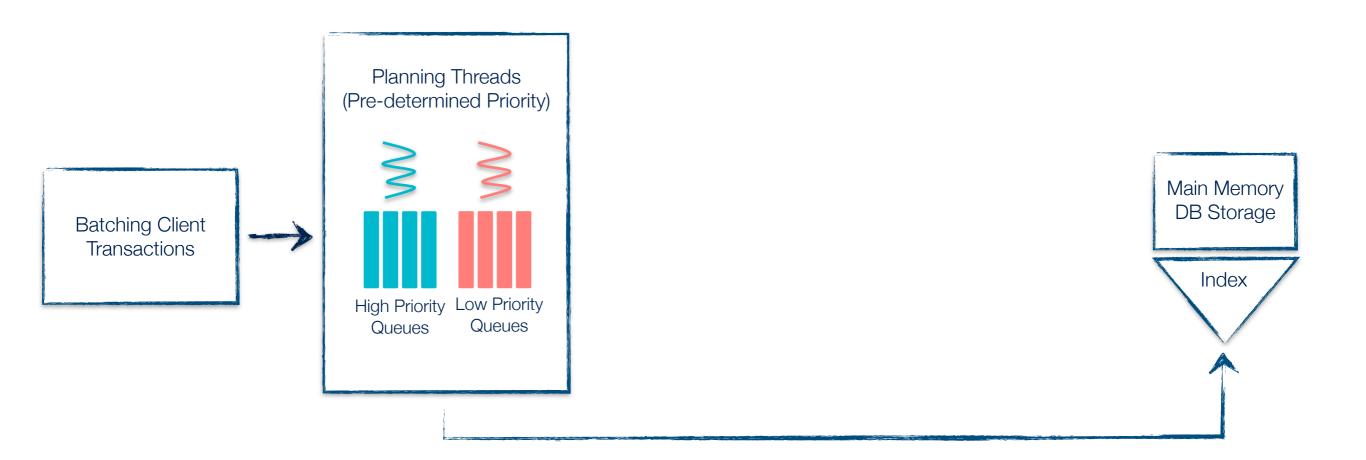
Satisfies the Execution Priority Invariance

"For each record (or a queue), operations that belong to higher priority queues (created by a higher priority planner) must always be executed before executing any lower priority operations."

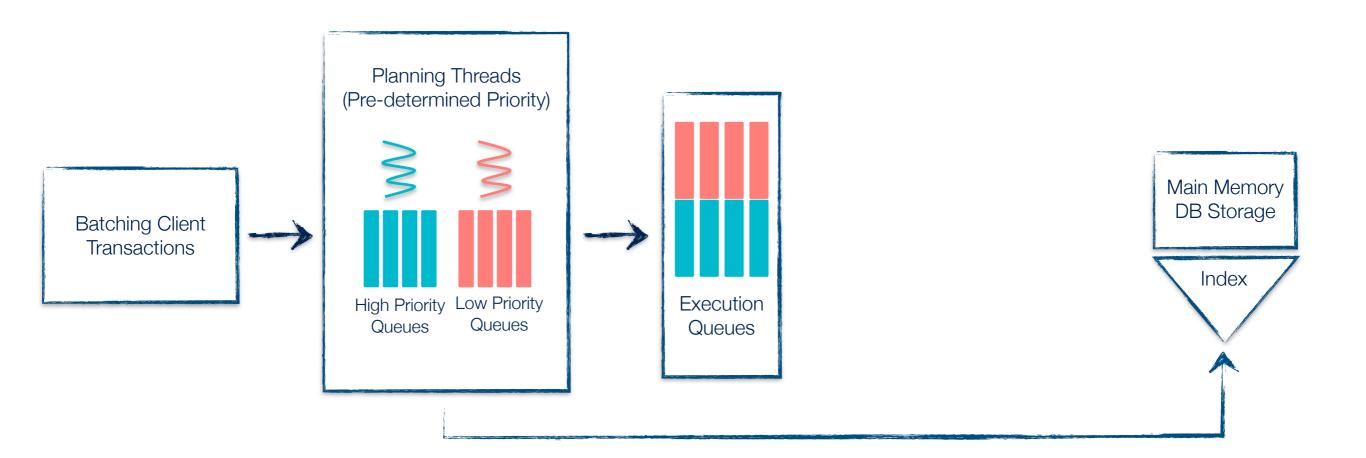
Priority-based Parallel Planning Phase

Batching Client Transactions

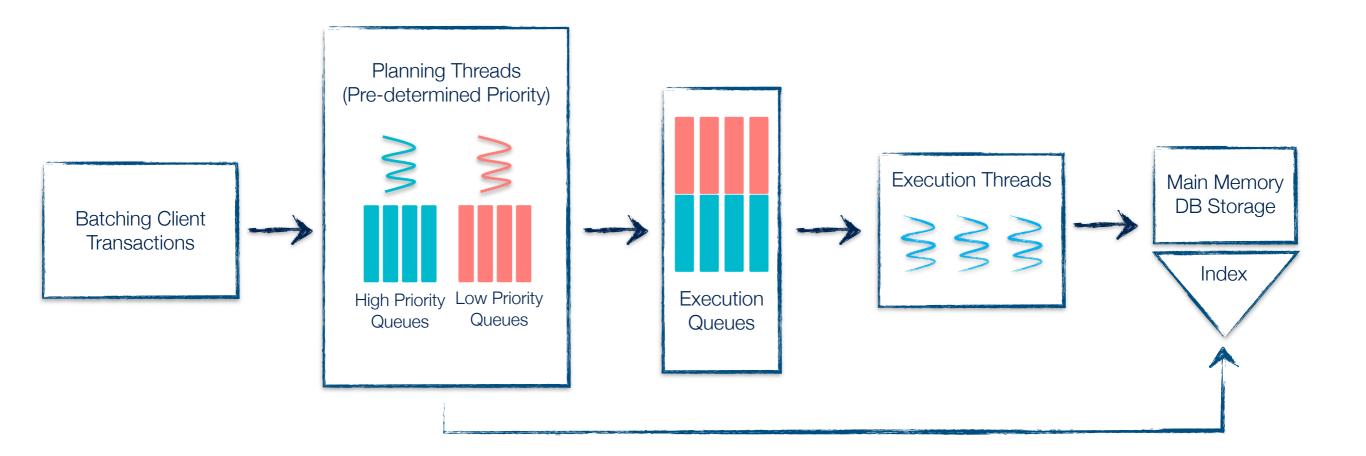
Priority-based Parallel Planning Phase



Priority-based Parallel Planning Phase



Queue-oriented Parallel Execution Phase

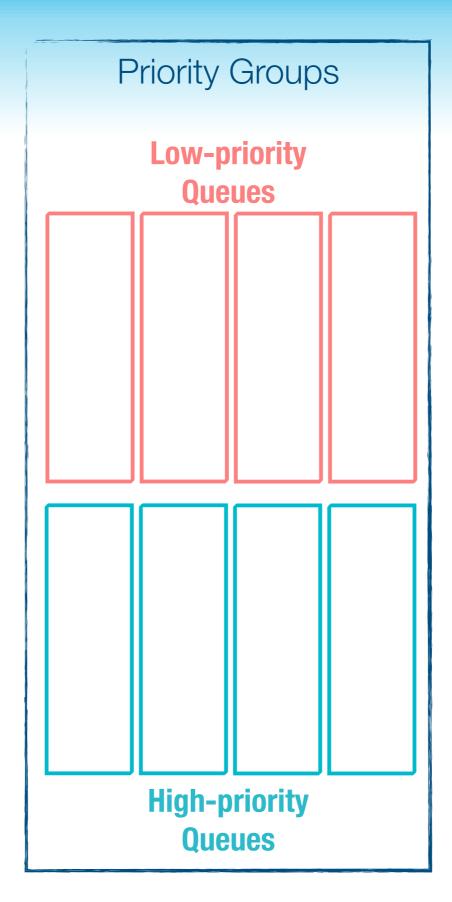


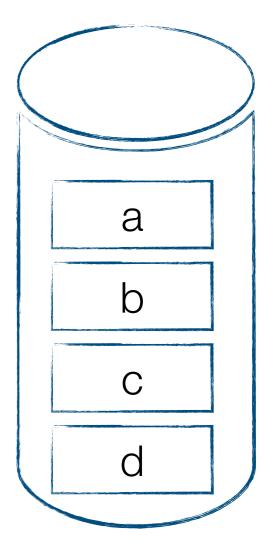
Abort Count: 0



Client Transactions  $w_4(b) w_3(b) w_2(b) r_1(a)$   $r_4(d) r_3(c) r_2(a) w_1(b)$ 

Planning Thread #1





Abort Count: 0



Client Transactions

W<sub>4</sub>(b)

V<sub>4</sub>(d)

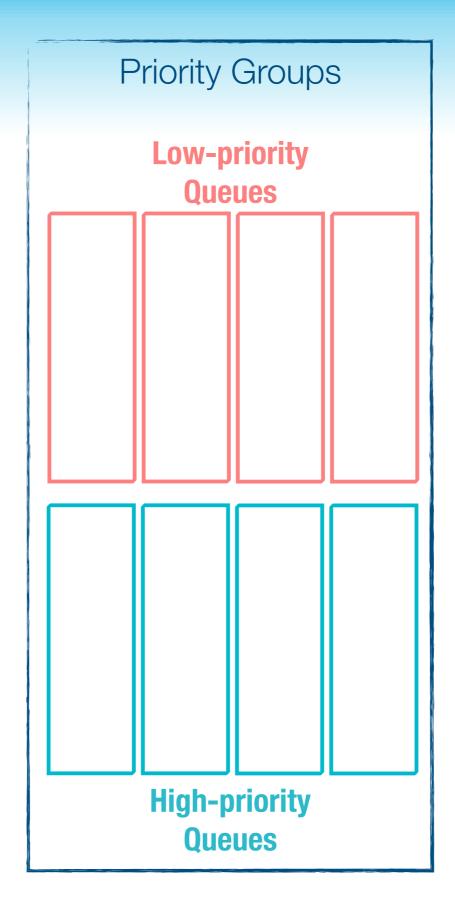
W<sub>2</sub>(b)

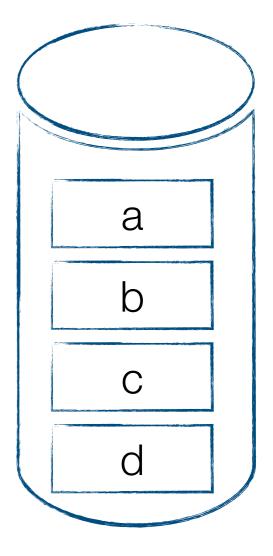
V<sub>2</sub>(a)

Planning
Thread #1

w<sub>1</sub>(a)

w<sub>1</sub>(b)





Abort Count: 0



Client Transactions

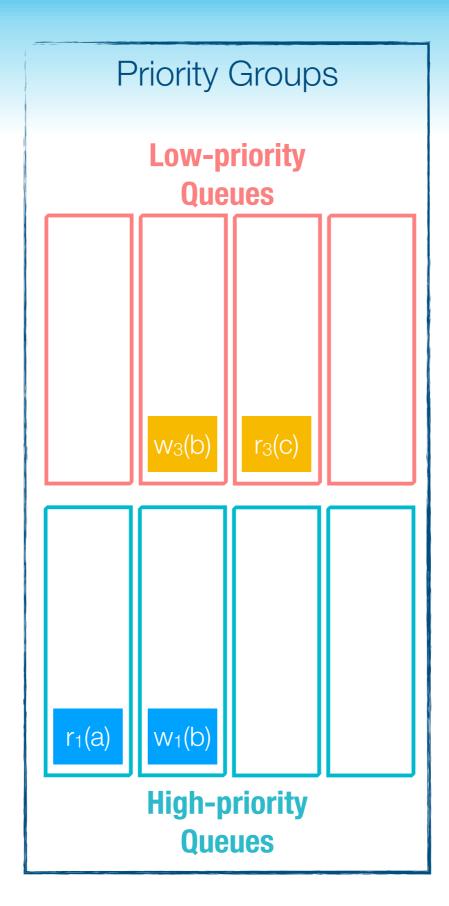
w<sub>4</sub>(b)

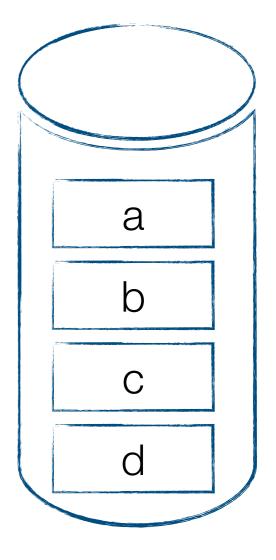
w<sub>2</sub>(b)

r<sub>4</sub>(d)

r<sub>2</sub>(a)

Planning Thread #1



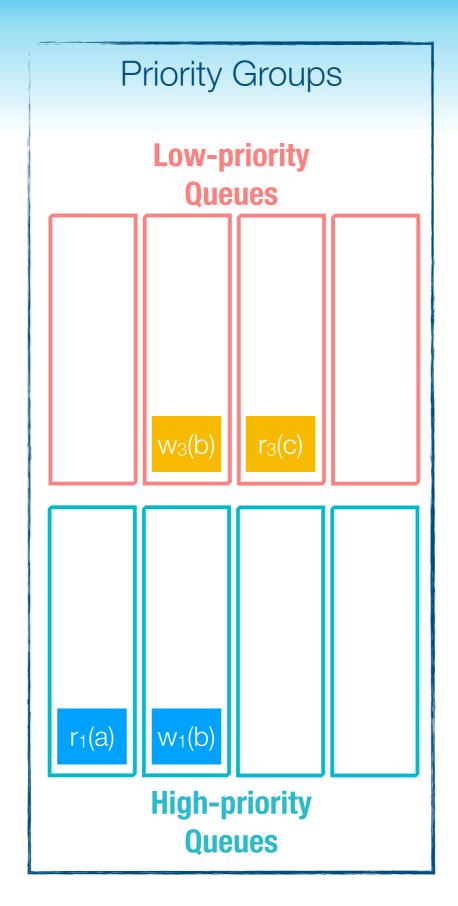


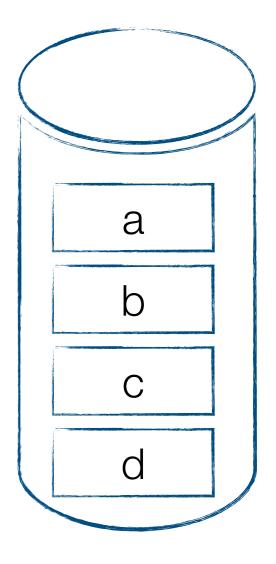
Abort Count: 0



**Client Transactions** 







Abort Count: 0

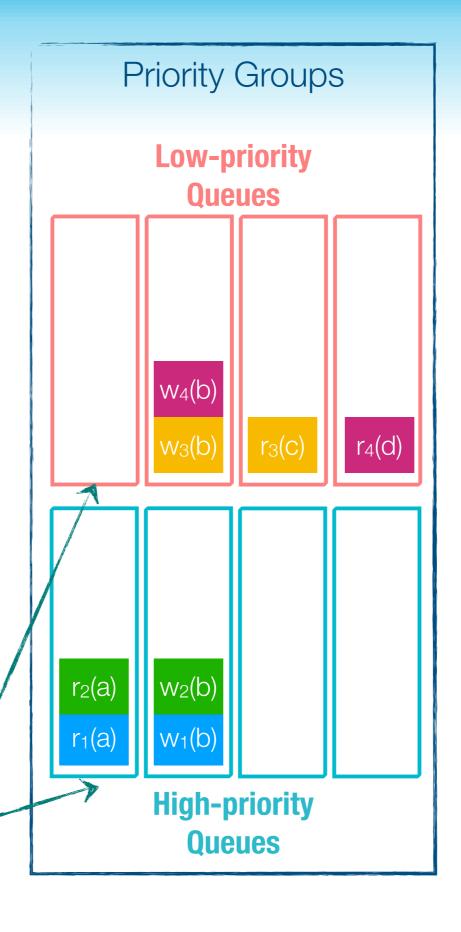
Planning Thread #2

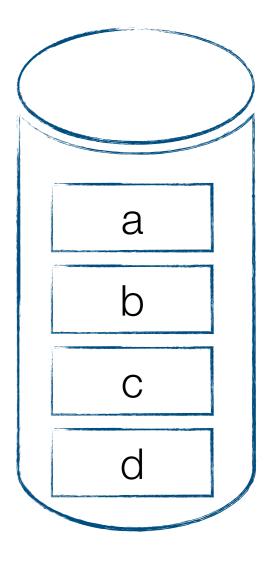
**Client Transactions** 

Planning Thread #1

Prioritized Execution

Queues



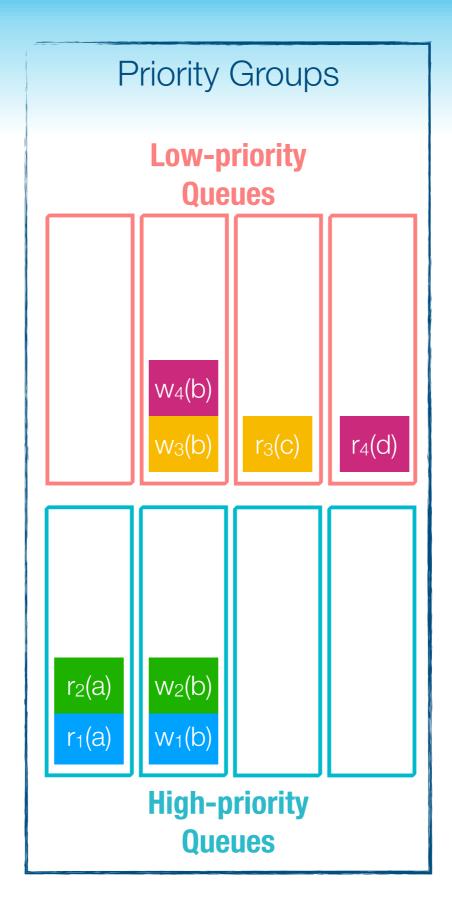


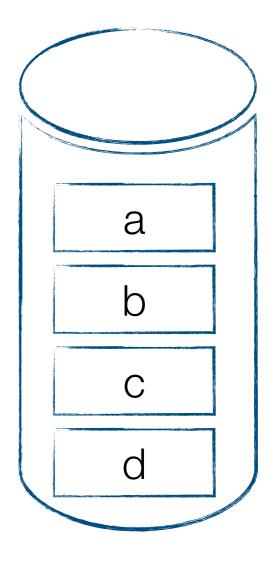
Abort Count: 0



**Client Transactions** 

Execution
Thread #1





Abort Count: 0

Execution
Thread #2

w<sub>2</sub>(b)

w<sub>1</sub>(b)

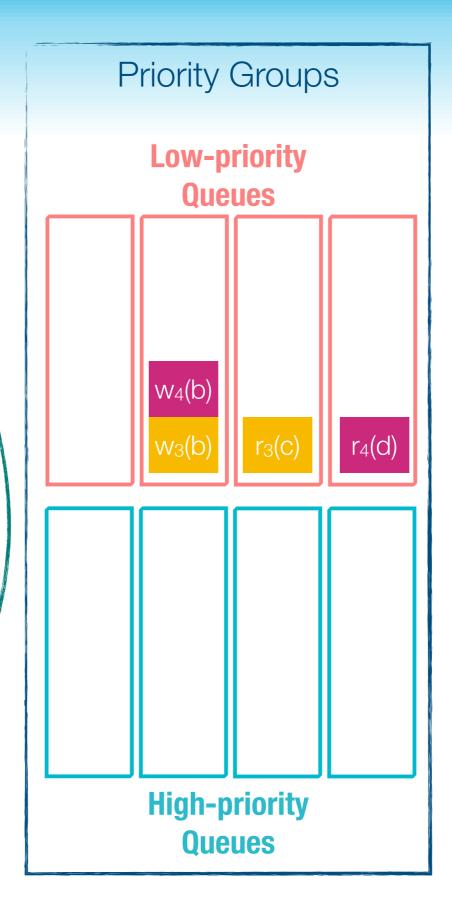
**Client Transactions** 

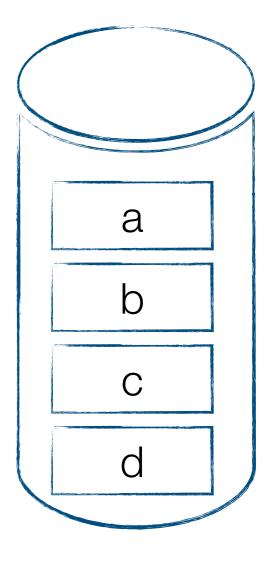
Execution
Thread #1

r<sub>2</sub>(a)

r<sub>1</sub>(a)

Execution Priority Invariance





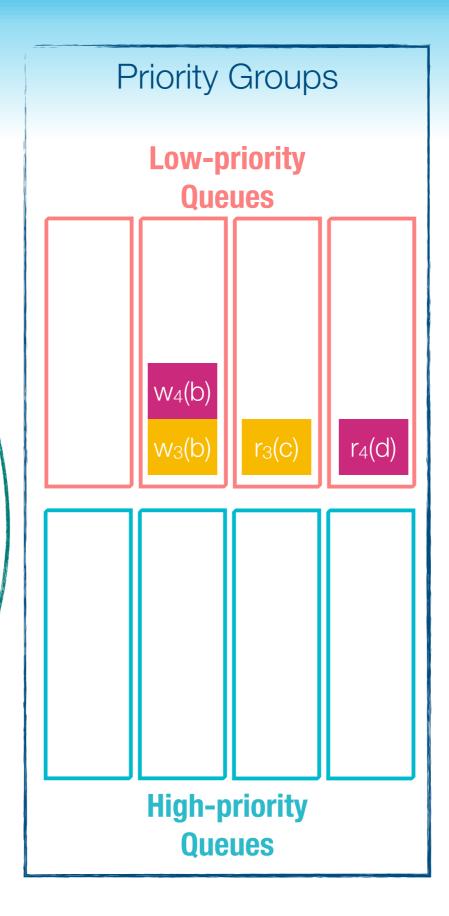
Abort Count: 0

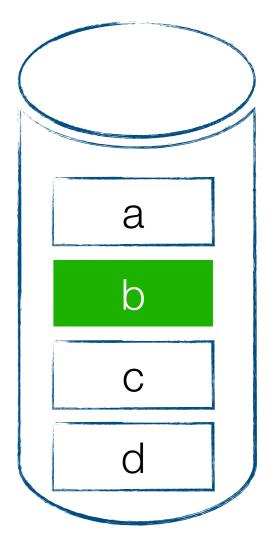
Execution
Thread #2

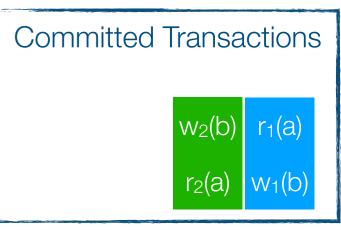
**Client Transactions** 

Execution
Thread #1

Execution Priority Invariance







Abort Count: 0

Execution
Thread #2

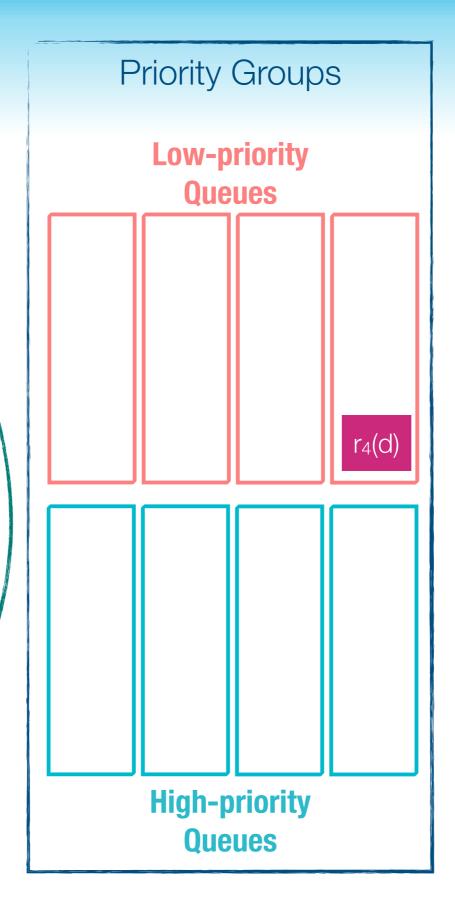
w<sub>4</sub>(b)

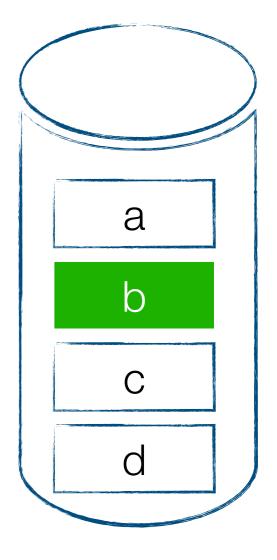
w<sub>3</sub>(b)

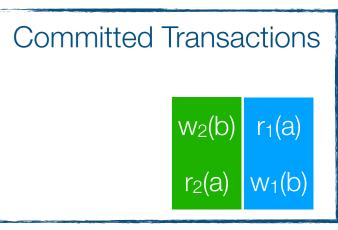
**Client Transactions** 

Execution
Thread #1

Execution Priority Invariance





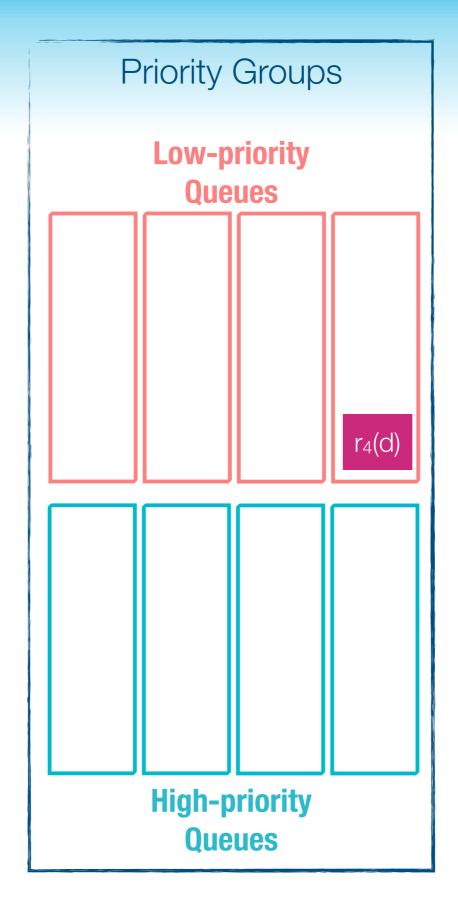


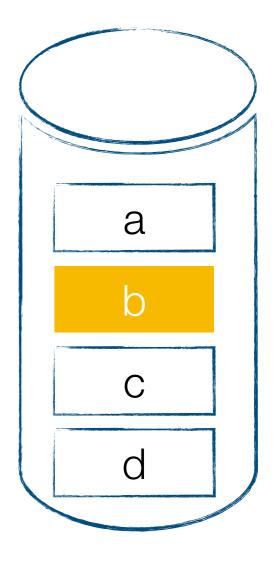
Abort Count: 0

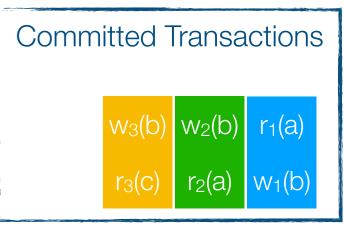


**Client Transactions** 

Execution
Thread #1





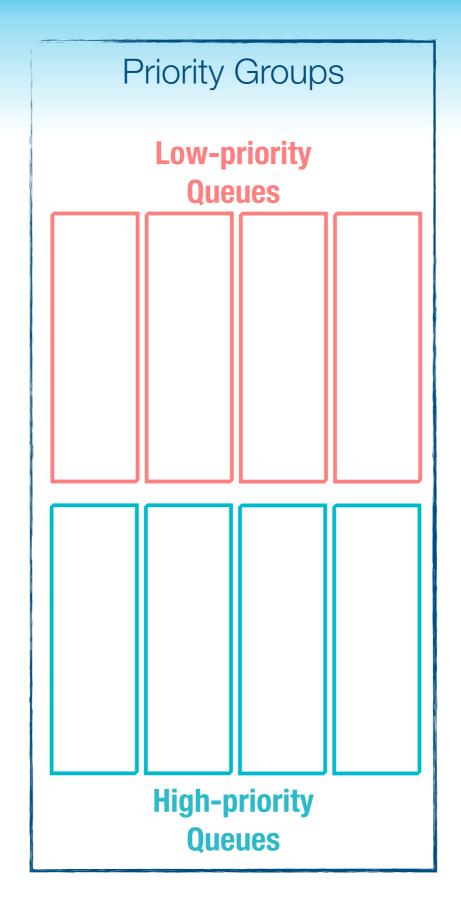


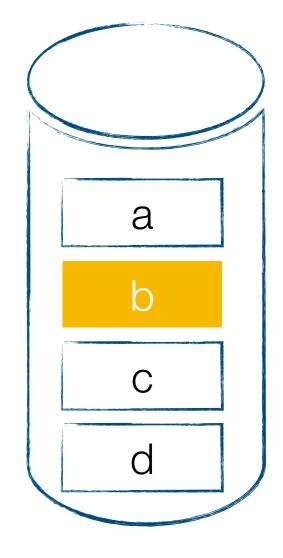
Abort Count: 0

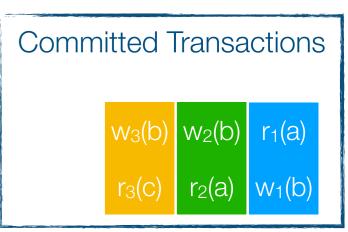


**Client Transactions** 







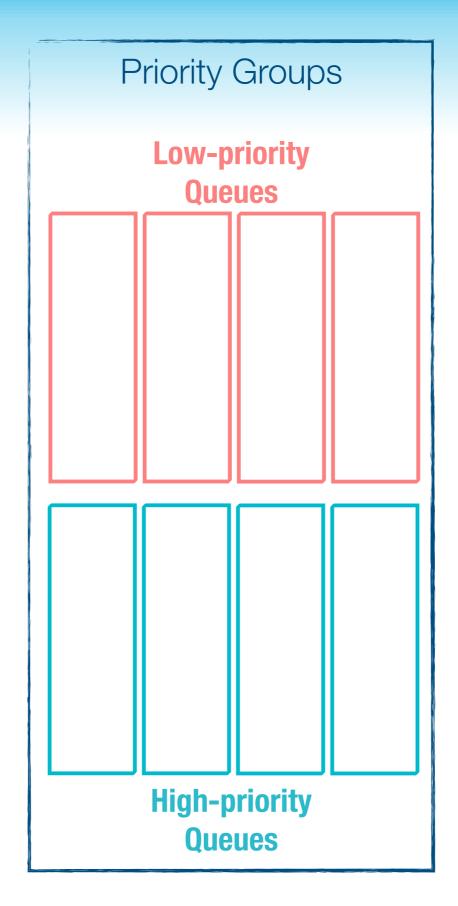


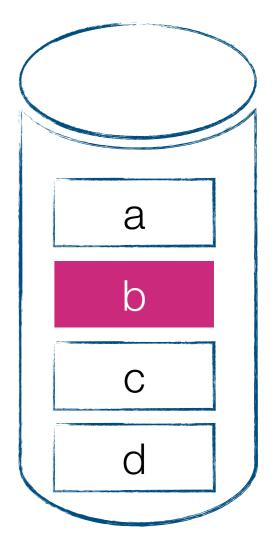
Abort Count: 0

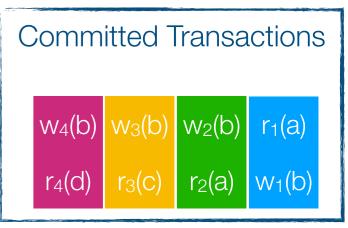
Execution
Thread #2

**Client Transactions** 

Execution
Thread #1





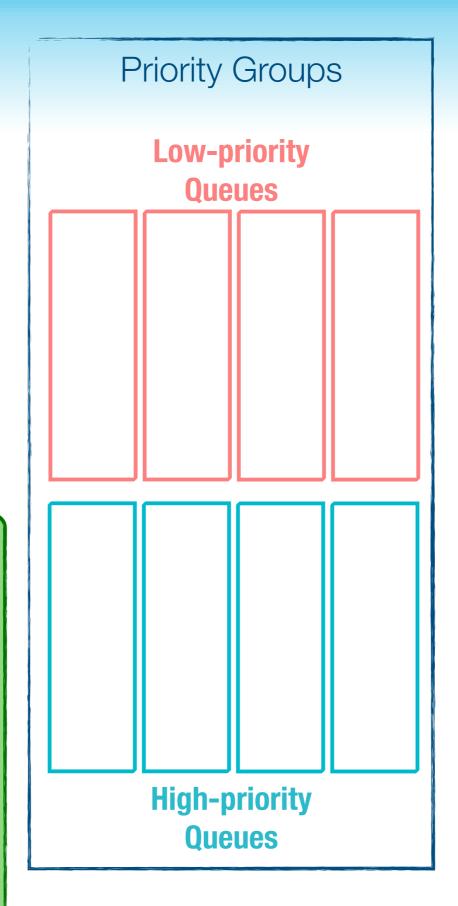


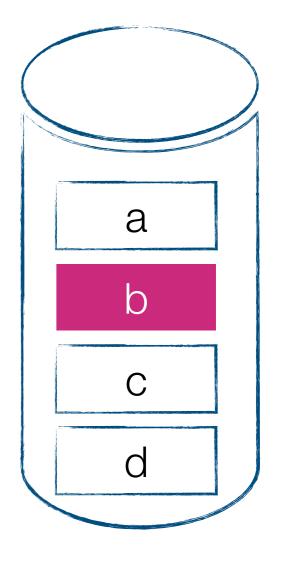
Abort Count: 0

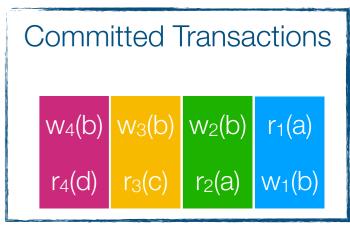


Execution
Thread #1

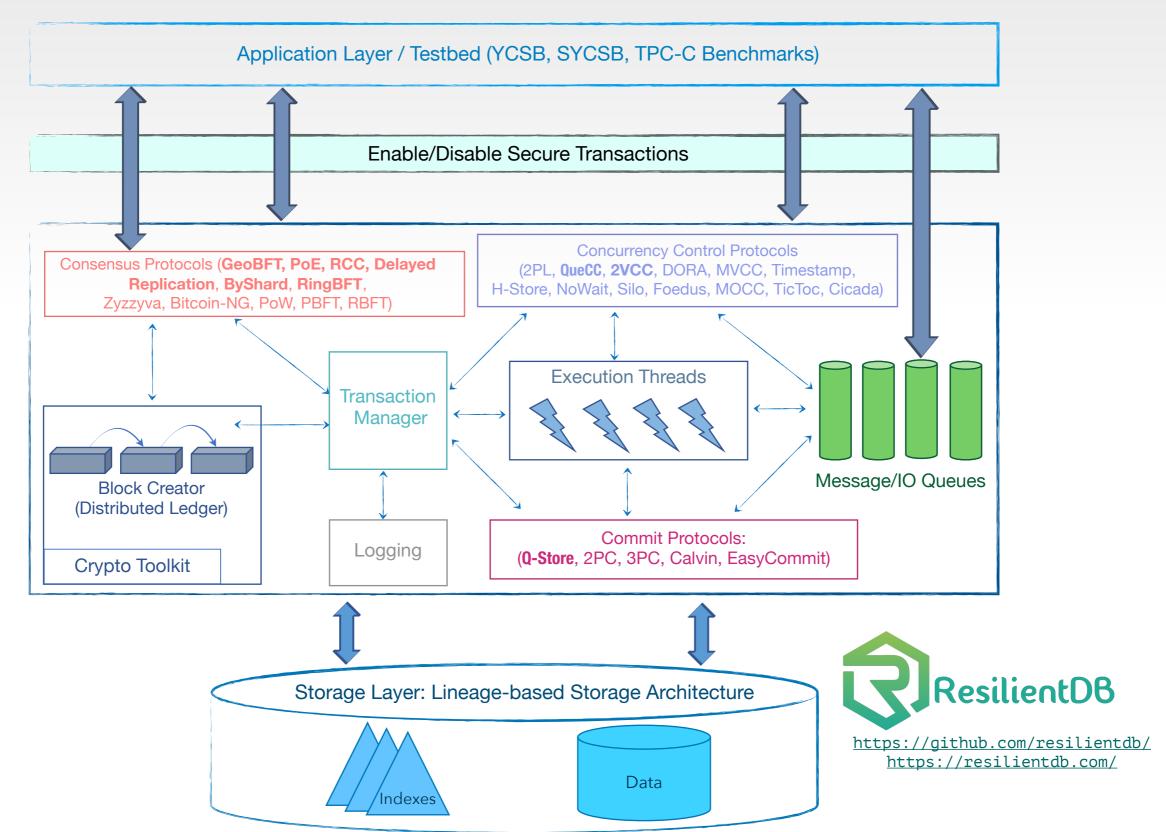
- ✓ Deterministic Execution
- √ No aborts because of CC
- ✓ Minimal coordination among threads
- ✓ Not sensitive to multi-partition transactions
- ✓ Exploits Intra-transaction parallelism







## ResilientDB Blockchain Fabric



### Evaluation Environment

Microsoft Azure instance with 32 core

CPU: Intel Xeon E5-2698B v3

32KB L1 data an instruction caches

256KB L2 cache

40MB L3 cache

**RAM: 448GB** 

Hardware

Workload YCSB: 1 table,10 operations, 50% RMW, Zipfian distribution

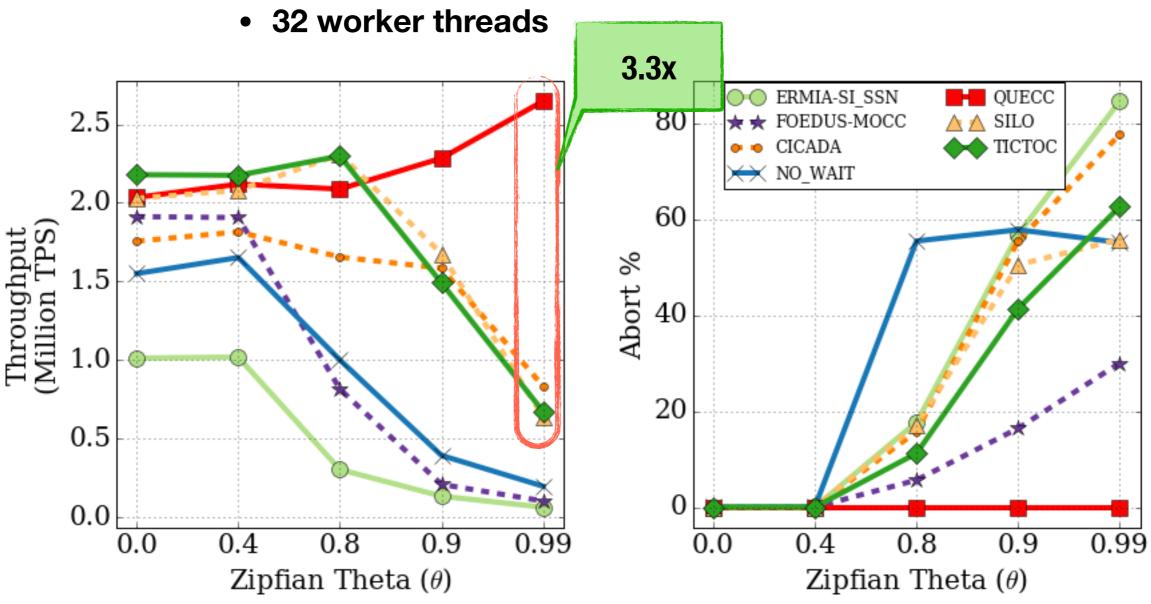
TPCC: 9 tables, Payment and NewOrder, 1 Warehouse

Software Operating System: Ubuntu LTS 16.04.3

Compiler: GCC with -O3 compiler optimizations

# Effect of Varying Contention

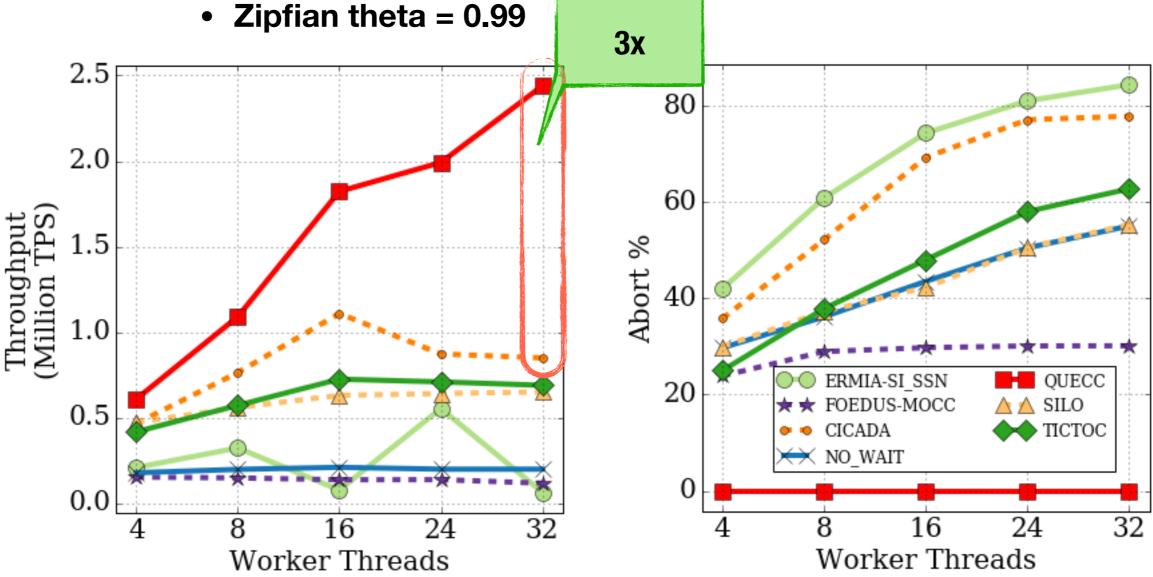
5 write and 5 read operation per transaction



Workload contention resiliency Cache locality under high-contention

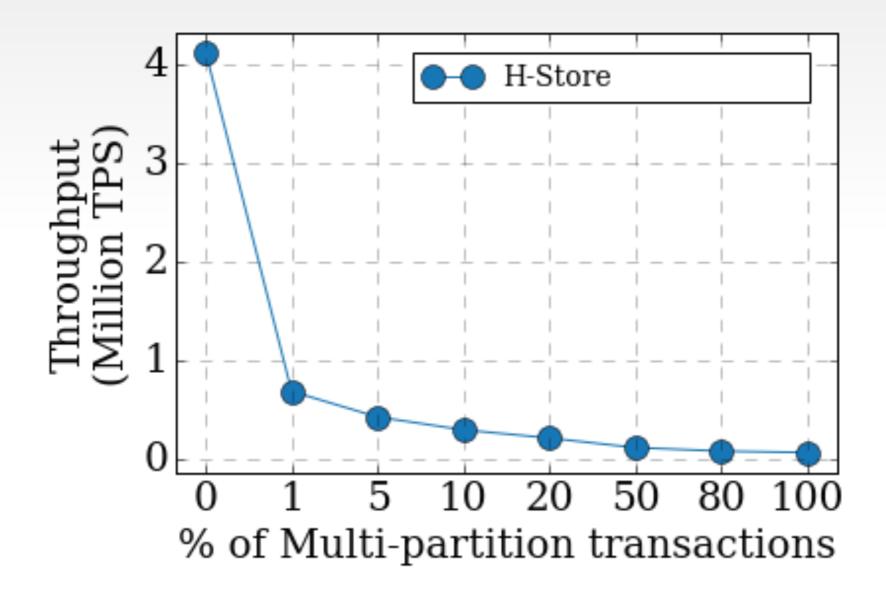
# Effect of Varying Worker Threads

5 write and 5 read operation per transaction

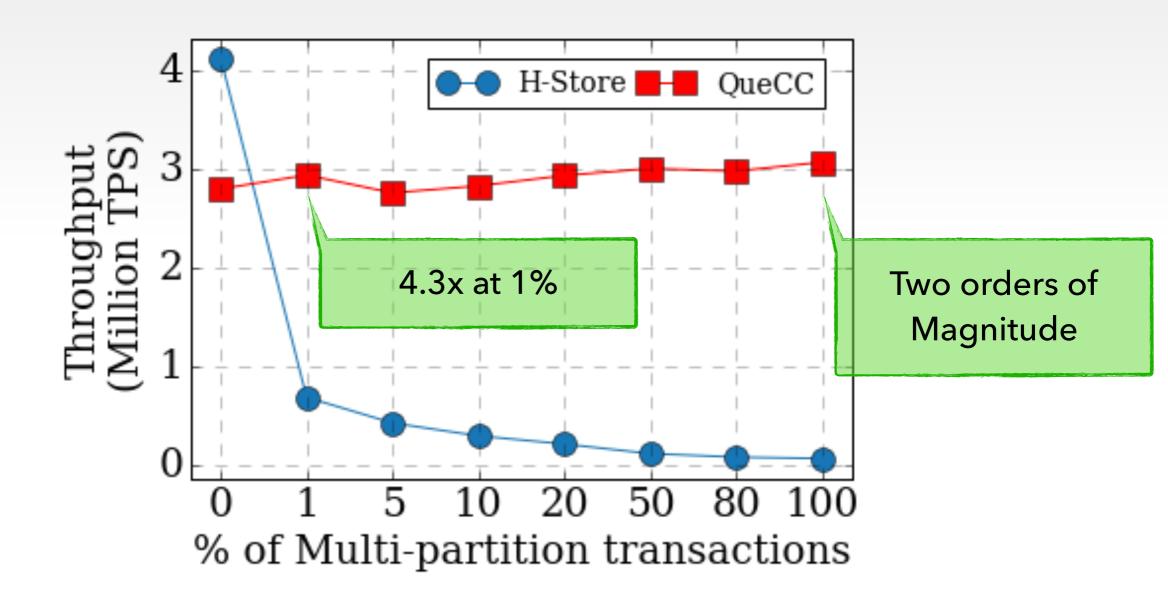


Avoiding thread coordination & eliminating all execution-induced aborts

## Effect of Increasing Percentage of Multi-Partition Transactions in the Workload



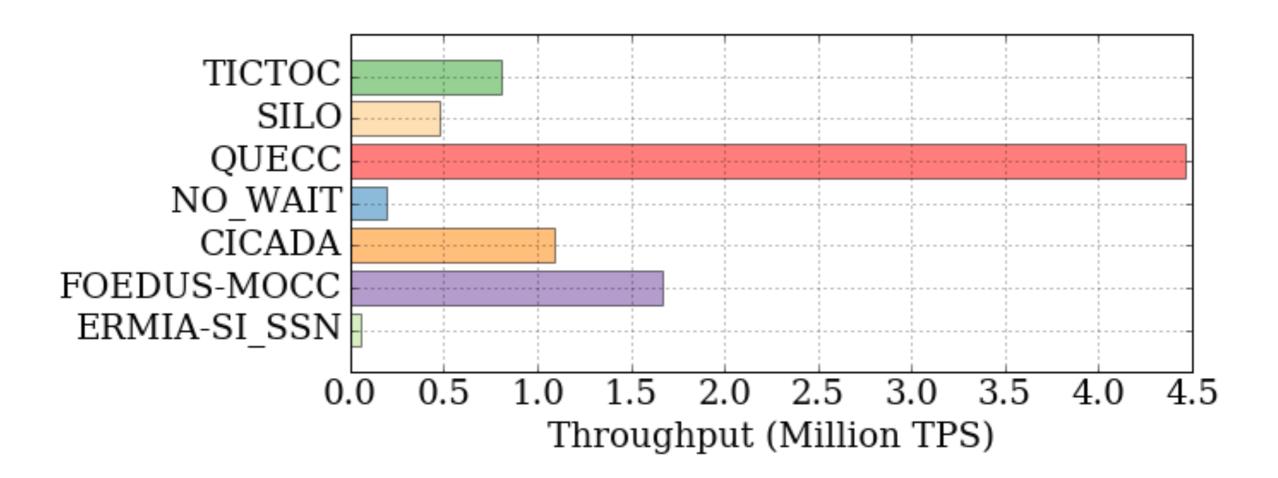
## Effect of Increasing Percentage of Multi-Partition Transactions in the Workload



QueCC is not sensitive to multi-partitioning

#### TPC-C Results

1 Warehouse (highly contended workload) 50% Payment + 50% NewOrder transaction mix

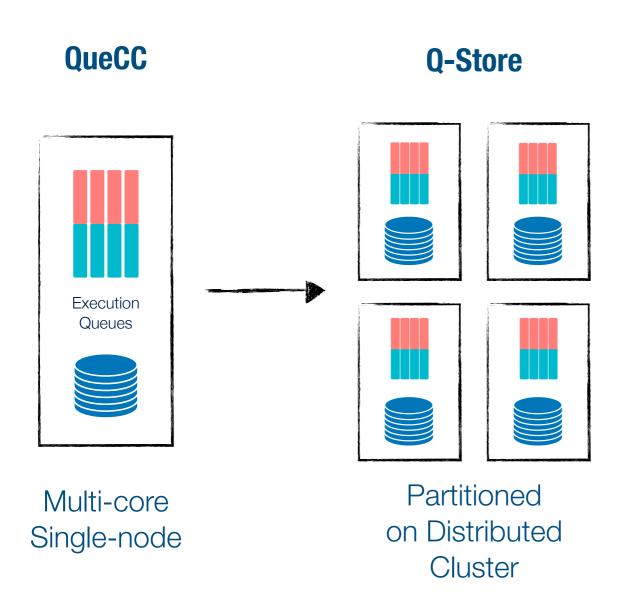


QueCC can achieve up to 3x better performance on high-contention TPC-C workloads

#### QueCC Conclusions

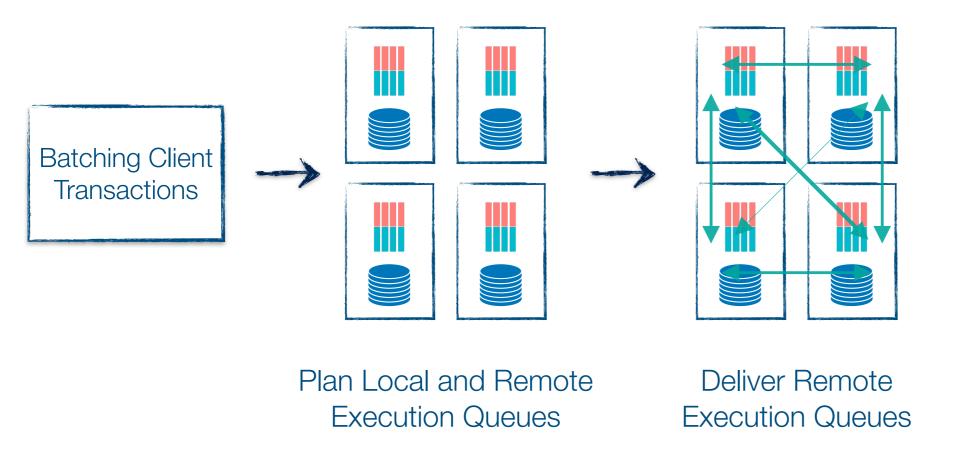
- √ Efficient, parallel and deterministic in-memory transaction processing
- √ Eliminates almost all aborts by resolving transaction conflicts a priori
- √ Works extremely well under high-contention workloads

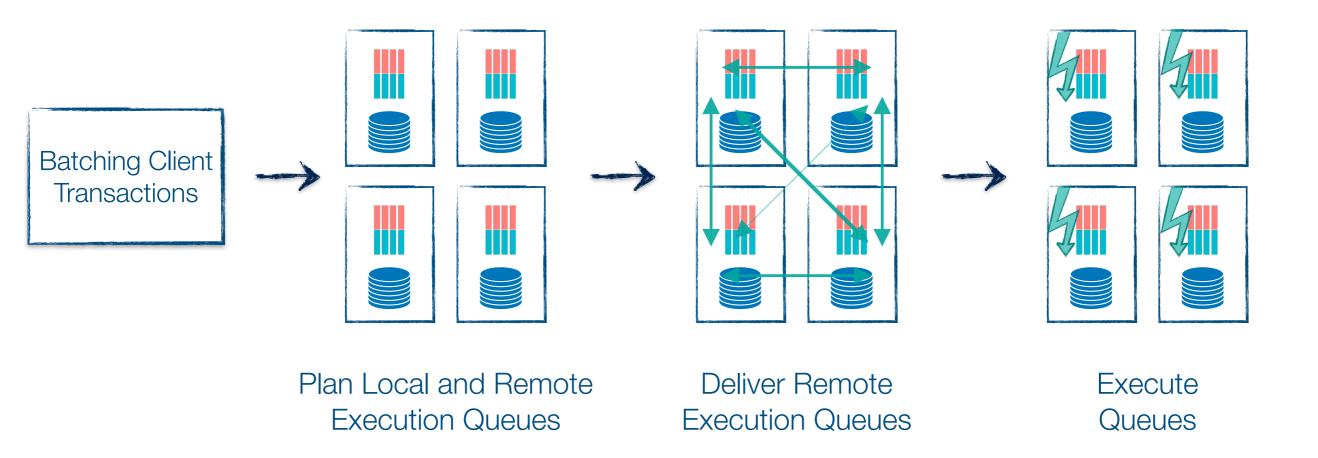


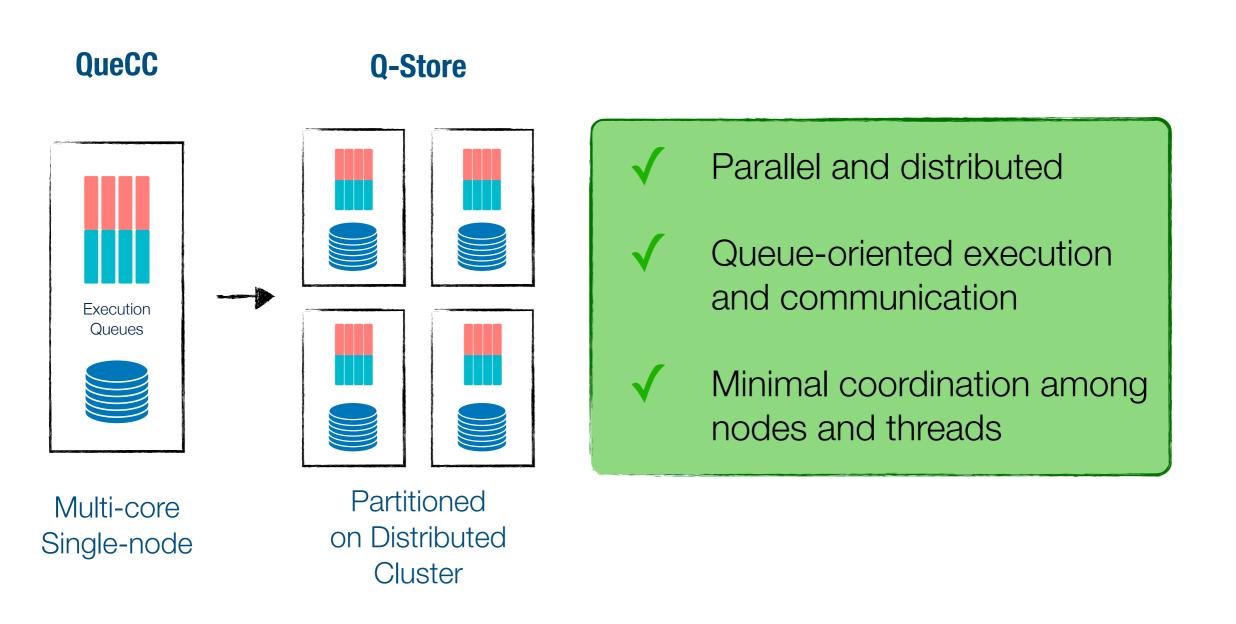




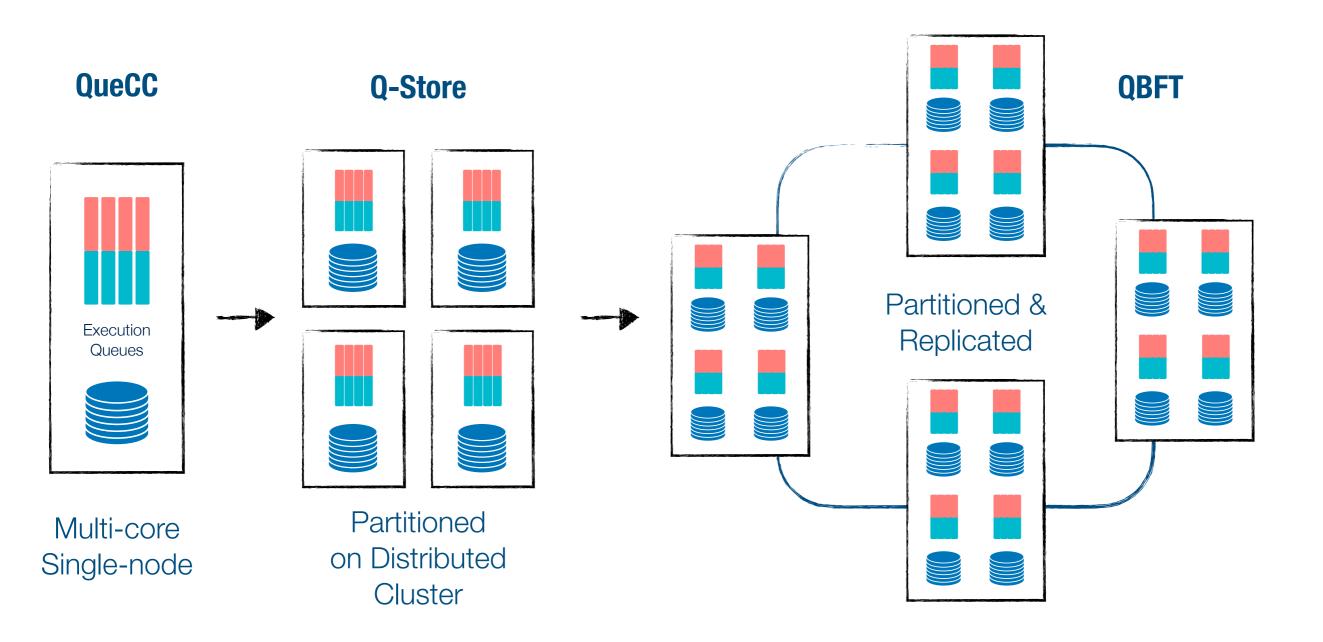
Plan Local and Remote Execution Queues







## What's Next: QBFT



#### What's Next: QBFT

