L-Store Concurrency Control: QueCC

Slides are adopted from Qadah, Sadoghi

QueCC - A Queue-Oriented, Control-Free Concurrency Architecture, *ACM Middleware 2018*

ECS 165A – Winter 2024

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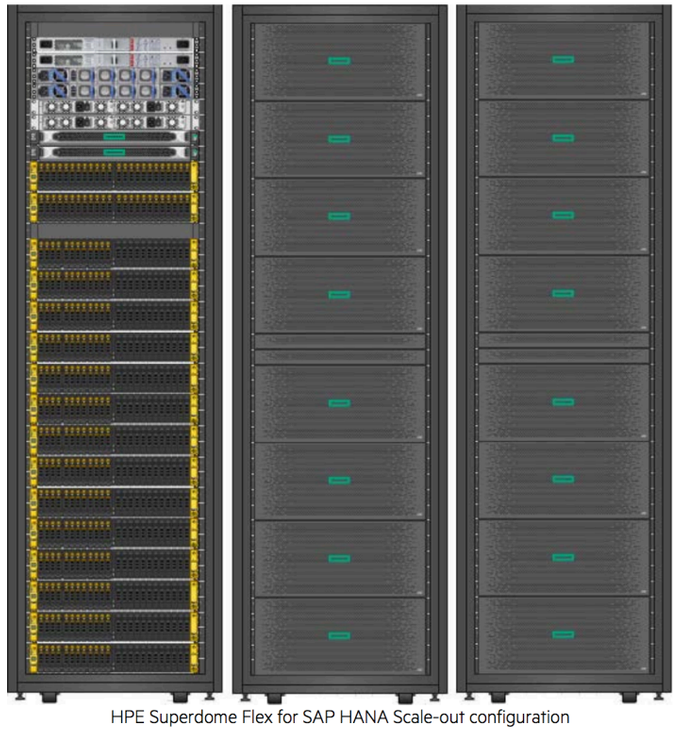
Apache ResilientDB Incubating

ExpoLab Creativity Unfolded

Hardware Trends

Large core counts

Large main-memory



HPE Superdome Flex for SAP HANA Scale-out configuration

HPE Superdome Server
144 physical cores
6TB of RAM

***Image source: https://www.hpe.com/us/en/servers/superdome.html**

Popularity of Key-value Stores

- No multi-statement transactions
- Weak consistency
- Weak isolation

High-Contention Workloads

Challenge ???

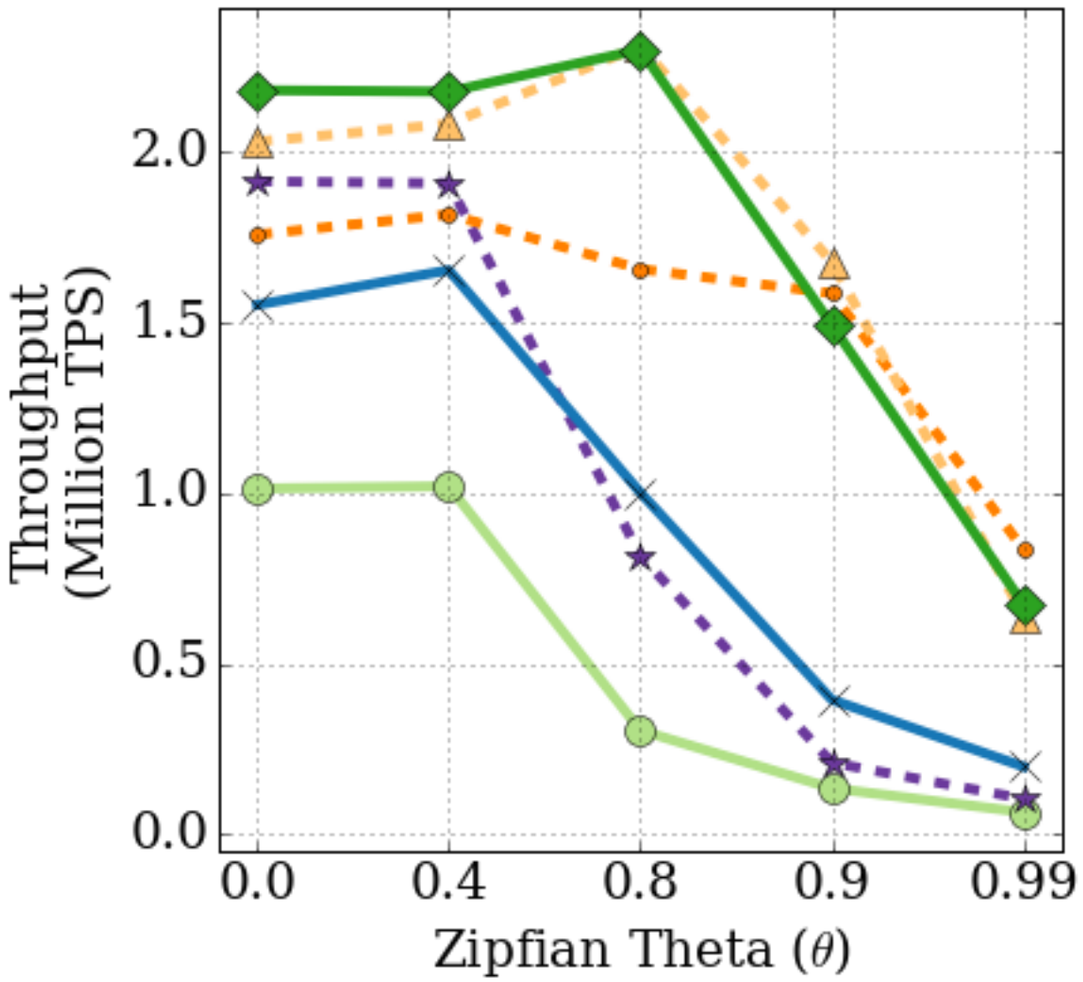
High number of
contented operations

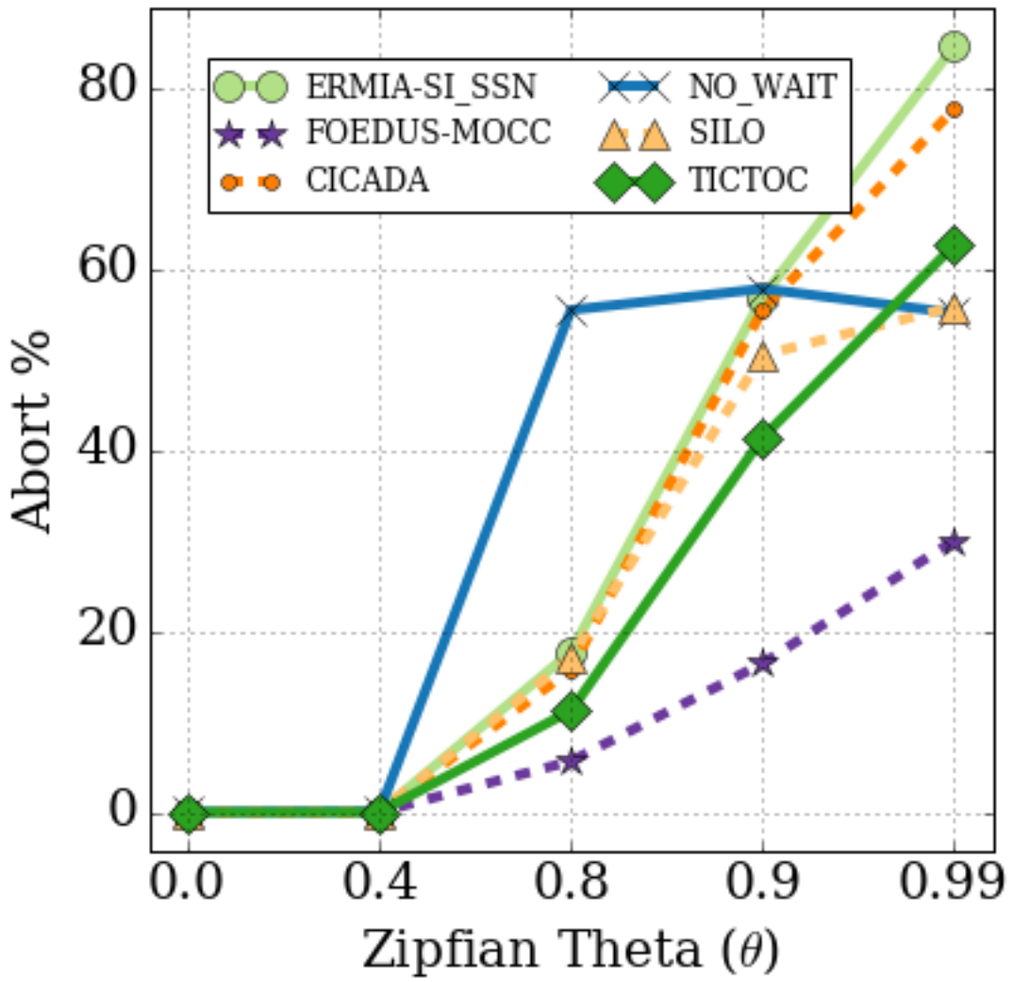
State-of-the-Art Concurrency Control Protocols

- Optimized for multi-core hardware and main-memory databases
- Non-deterministic

CC	Class	Year
SILO	Optimistic CC	SOSP ‘13
TICTOC	Timestamp Ordering	SIGMOD ‘16
FOEDUS-MOCC	Optimistic CC	VLDB ‘16
ERMIA	MVCC	SIGMOD ‘16
Cicada	MVCC	SIGMOD ‘17

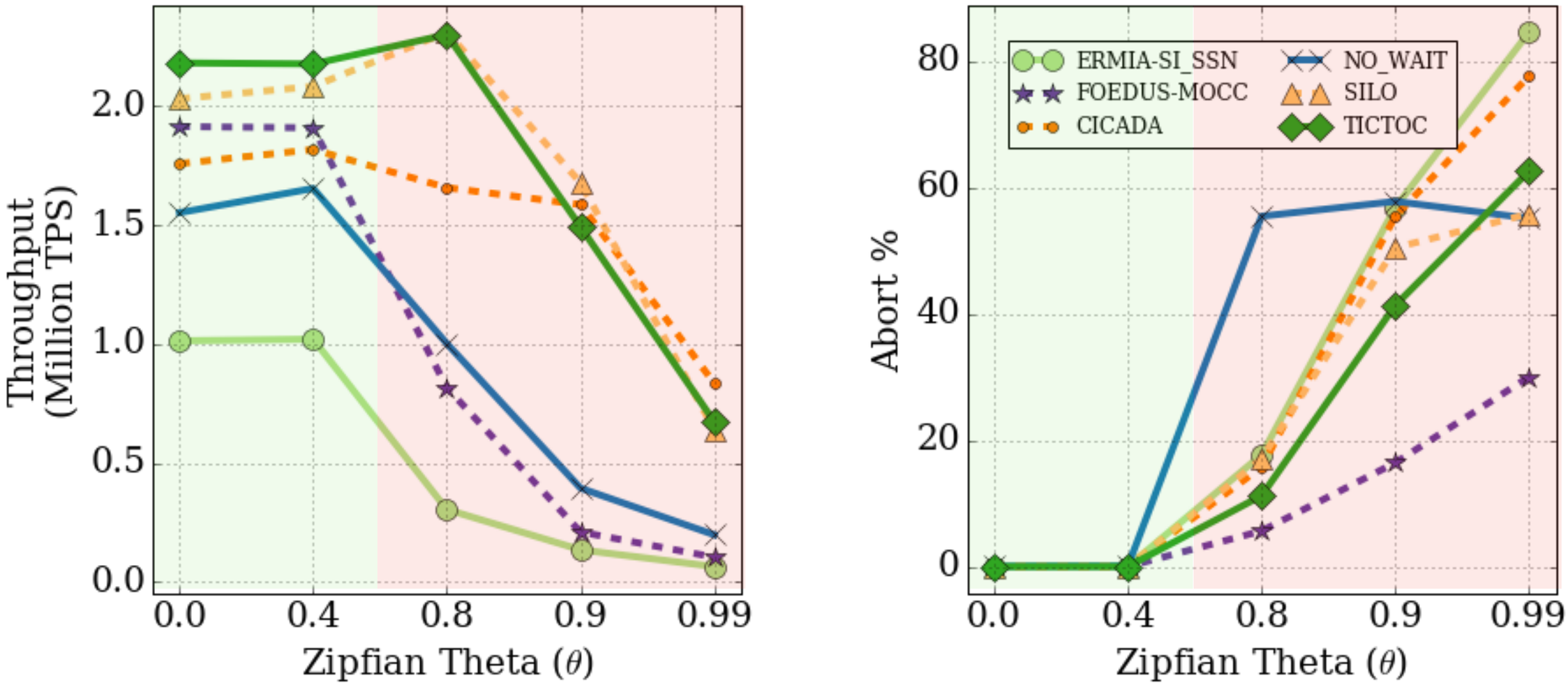
Performance Under High-Contention





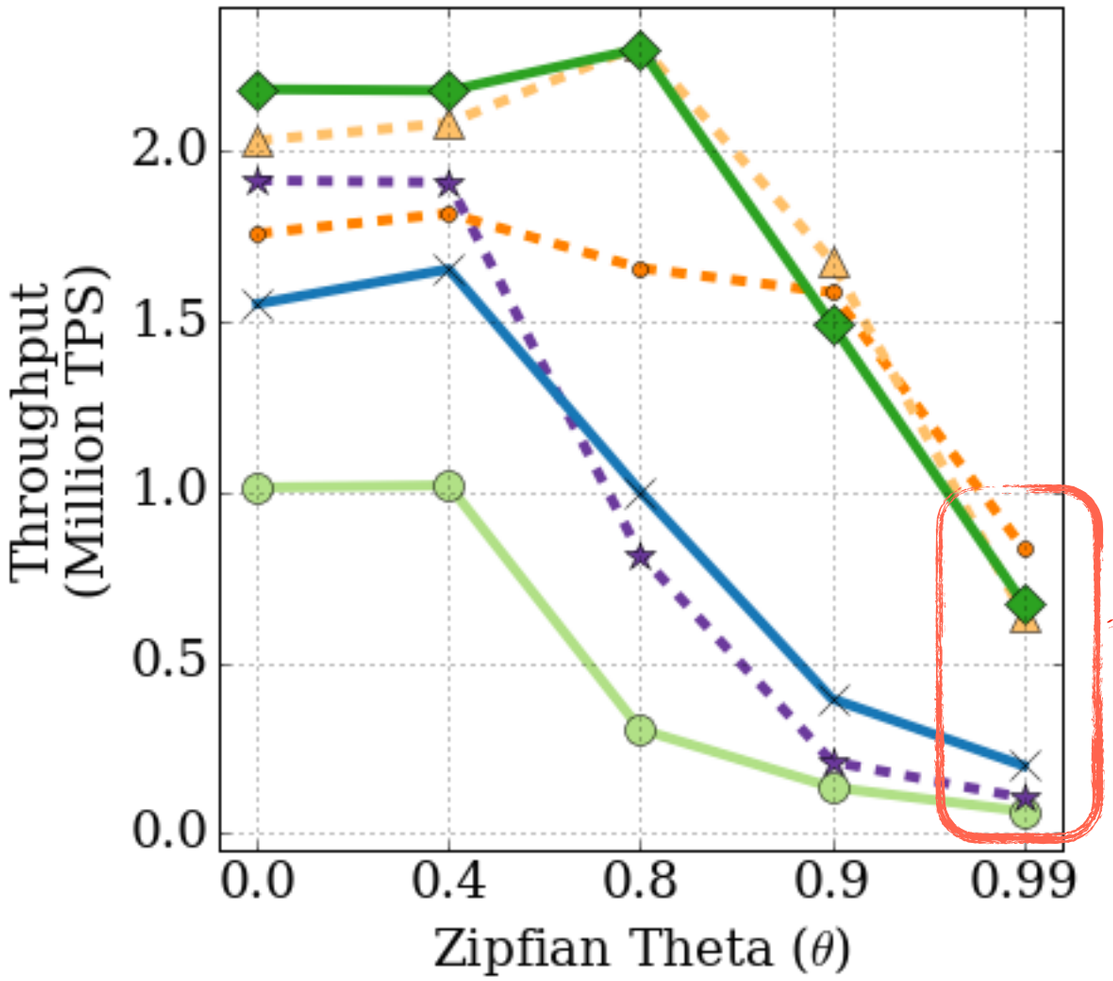
Optimize-for-multi-core concurrency control techniques suffer under high-contention due to increasing abort rate

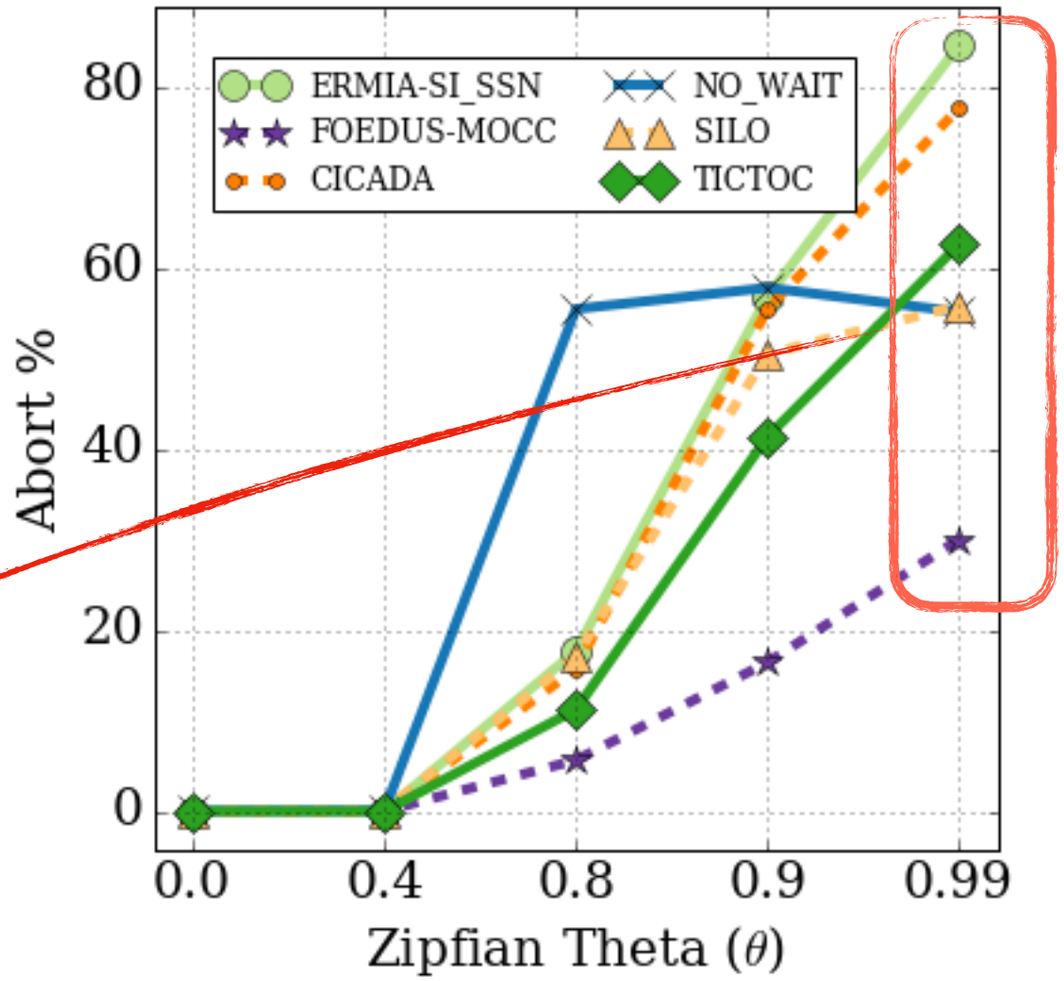
Performance Under High-Contention



Under high-contention: Non-deterministic aborts dominates

Performance Under High-Contention





Under high-contention: Non-deterministic aborts dominates

2PL - NoWait

Abort Count: 0

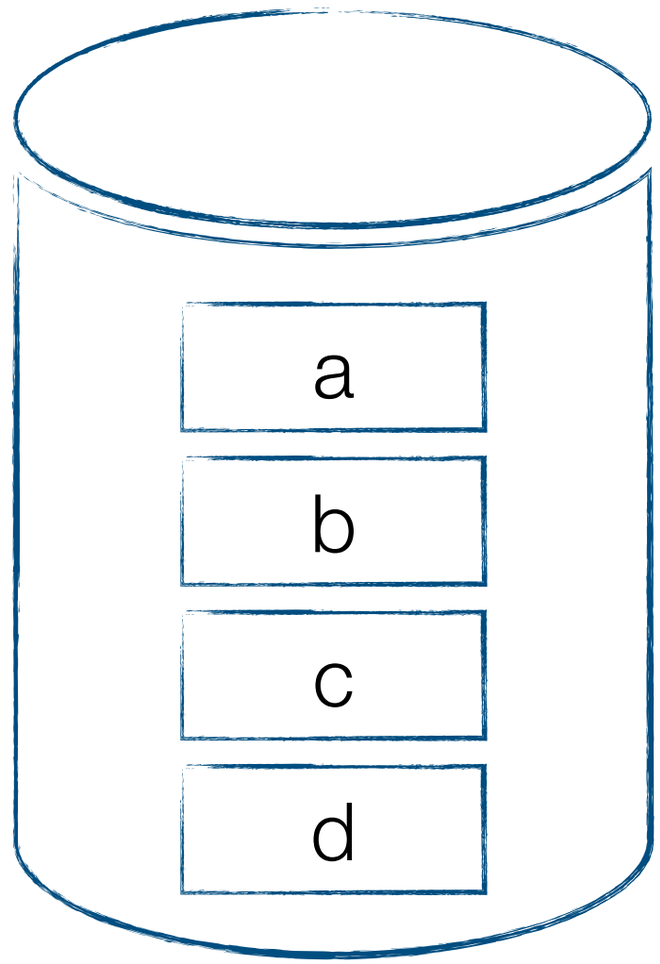
Worker Thread #1

Client Transactions

$w_4(b)$	$w_3(b)$	$w_2(b)$	$r_1(a)$
$r_4(d)$	$r_3(c)$	$r_2(a)$	$w_1(b)$

each color presents a transaction

Worker Thread #2



2PL - NoWait

Abort Count: 0

Client Transactions

$w_4(b)$	$w_3(b)$
$r_4(d)$	$r_3(c)$

Worker Thread #1

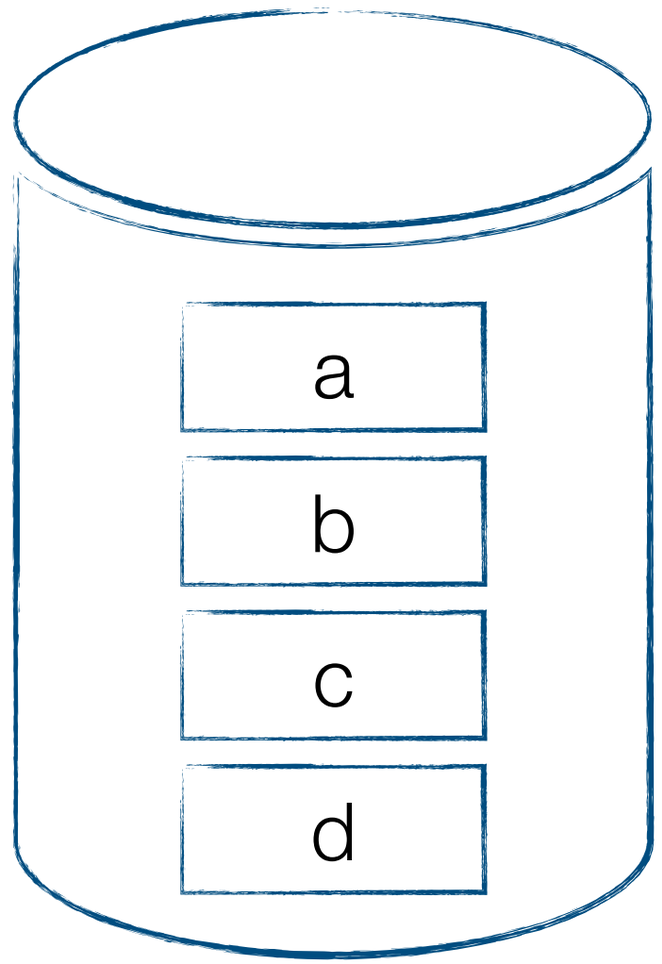
$r_1(a)$

$w_1(b)$

Worker Thread #2

$w_2(b)$

$r_2(a)$



2PL - NoWait

Abort Count: 0

Client Transactions

$w_4(b)$	$w_3(b)$
$r_4(d)$	$r_3(c)$

Worker Thread #1

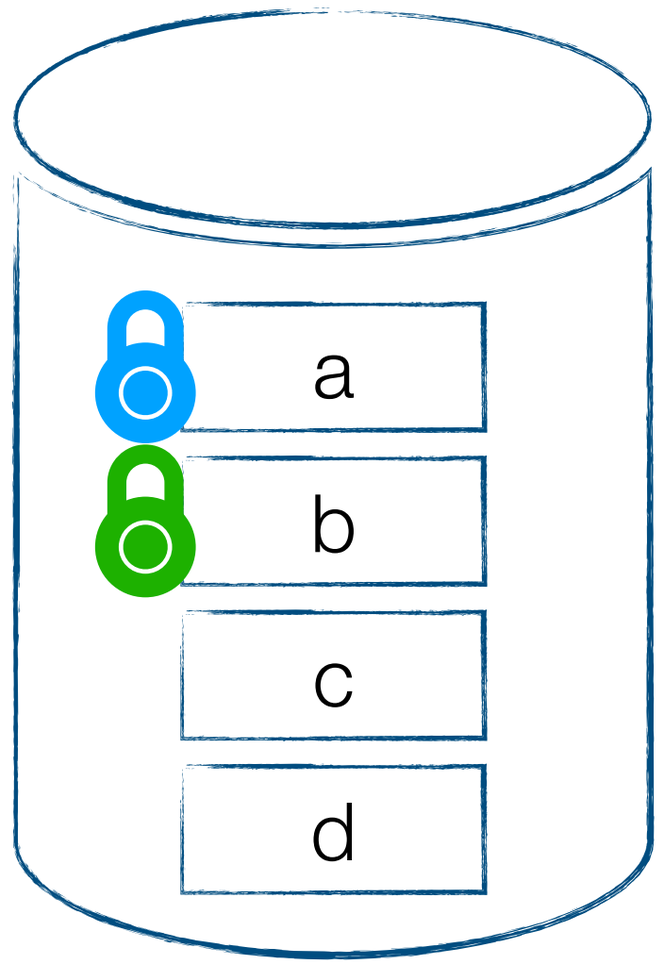
$r_1(a)$

$w_1(b)$

Worker Thread #2

$w_2(b)$

$r_2(a)$



2PL - NoWait

Abort Count: 0

Client Transactions

$w_4(b)$	$w_3(b)$
$r_4(d)$	$r_3(c)$

Worker Thread #1

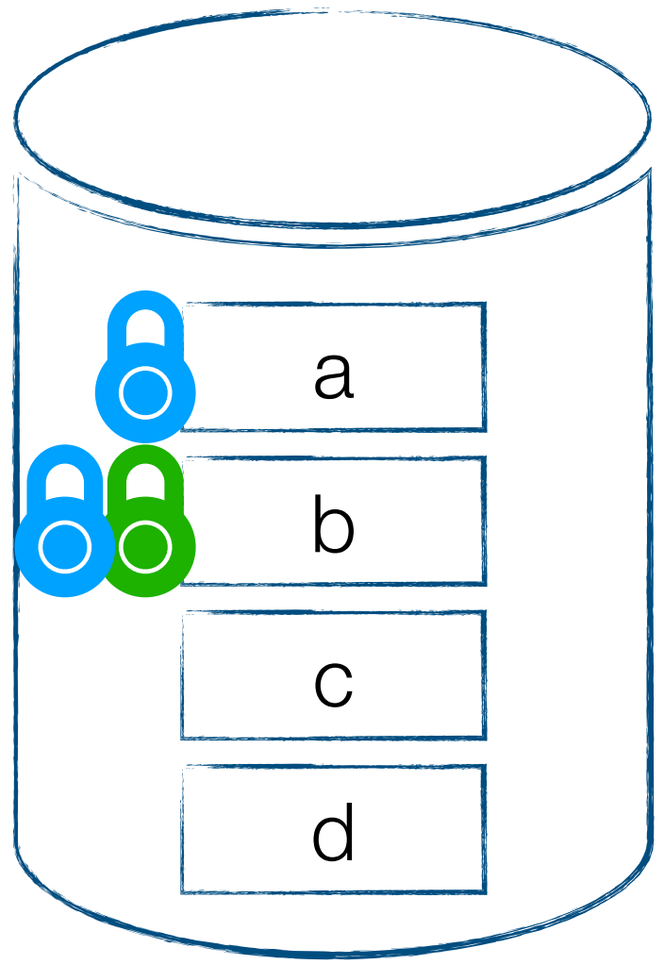
$r_1(a)$

$w_1(b)$

Worker Thread #2

$w_2(b)$

$r_2(a)$



2PL - NoWait

Abort Count: 0

Client Transactions

$w_4(b)$	$w_3(b)$
$r_4(d)$	$r_3(c)$

Worker Thread #1

$r_1(a)$

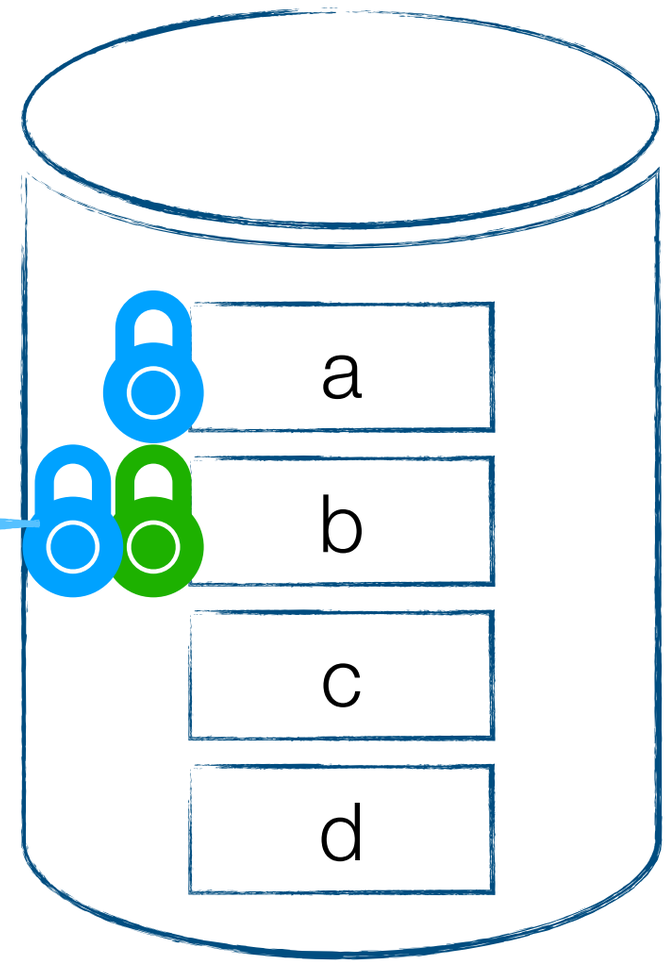
$w_1(b)$

Worker Thread #2

$w_2(b)$

$r_2(a)$

conflict!



2PL - NoWait

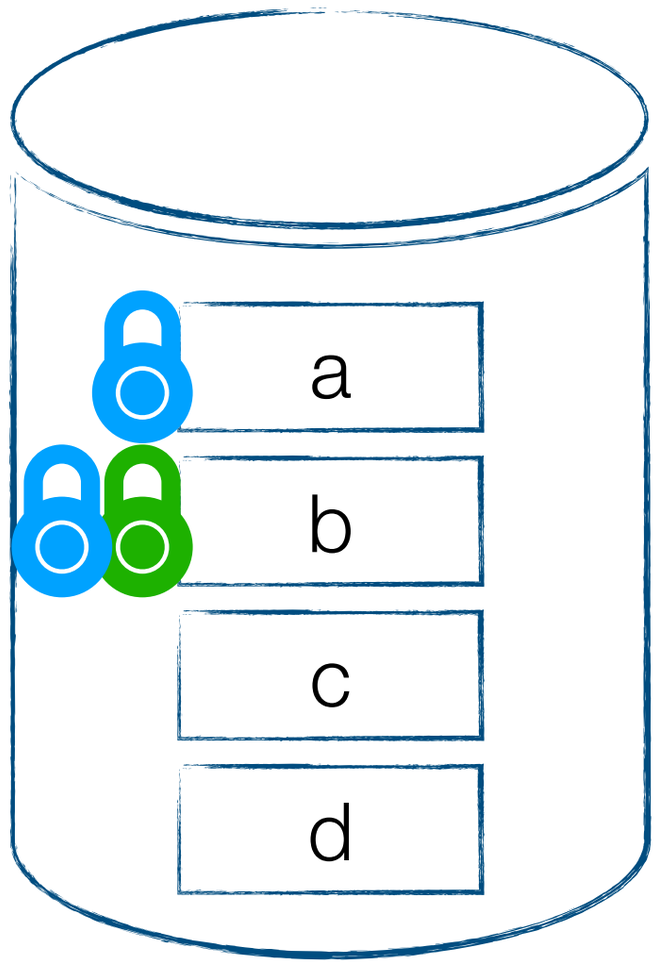
Abort Count: 0

Abort transaction (to avoid potential deadlocks)

Worker Thread #1

$r_1(a)$

$w_1(b)$



Client Transactions

$w_4(b)$	$w_3(b)$
$r_4(d)$	$r_3(c)$

Worker Thread #2

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 1

Worker Thread #1

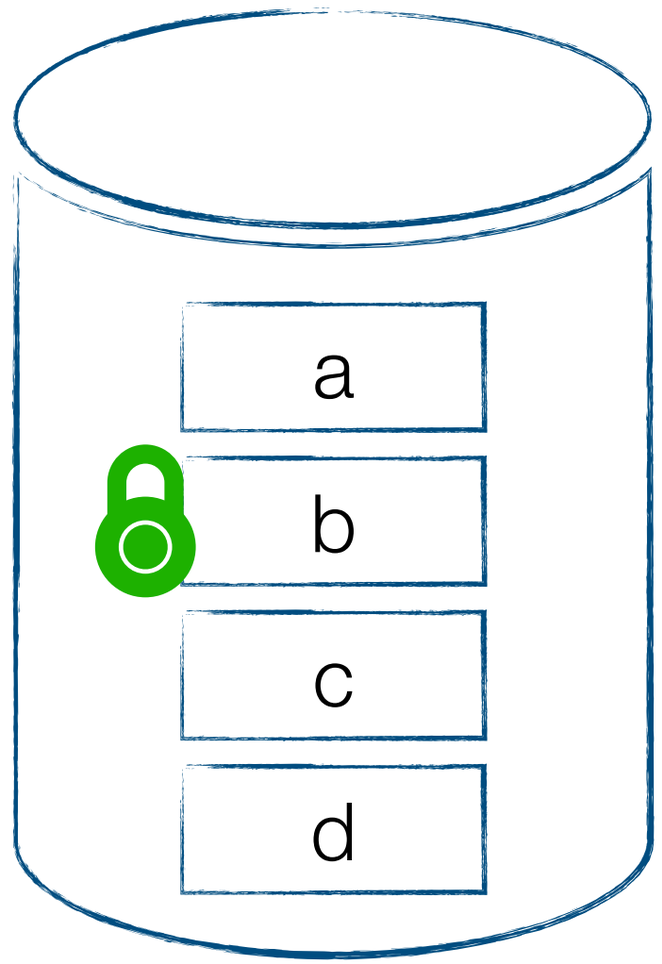
Client Transactions

$w_4(b)$	$w_3(b)$
$r_4(d)$	$r_3(c)$

$r_1(a)$
$w_1(b)$

Worker Thread #2

$w_2(b)$
$r_2(a)$



2PL - NoWait

Abort Count: 1

Client Transactions

$w_4(b)$ $r_4(d)$

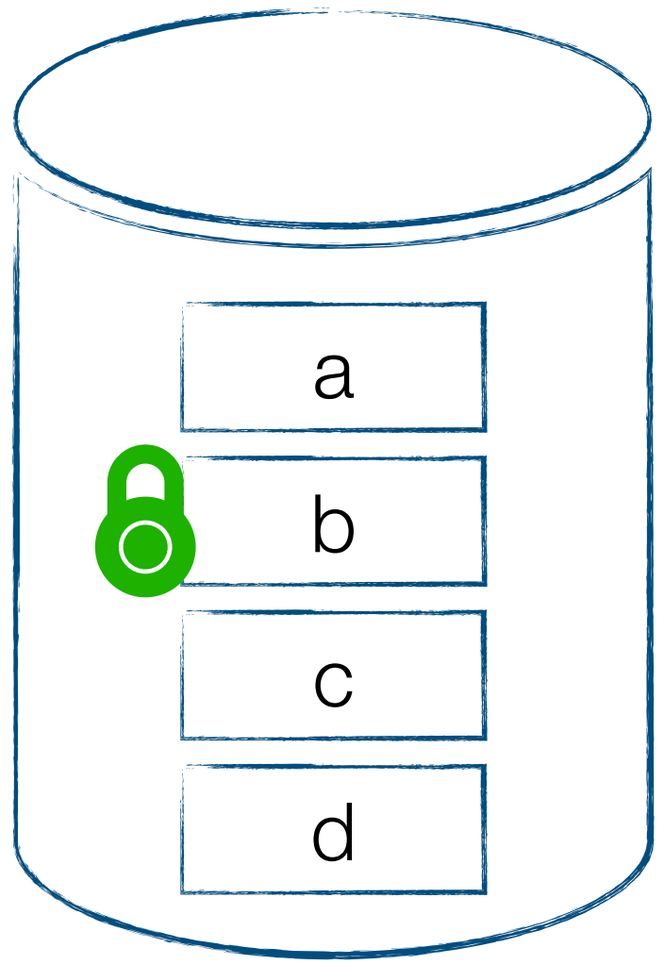
$r_1(a)$ $w_1(b)$

Worker Thread #1

$w_3(b)$ $r_3(c)$

Worker Thread #2

$w_2(b)$ $r_2(a)$



2PL - NoWait

Abort Count: 1

Client Transactions

$w_4(b)$ $r_4(d)$

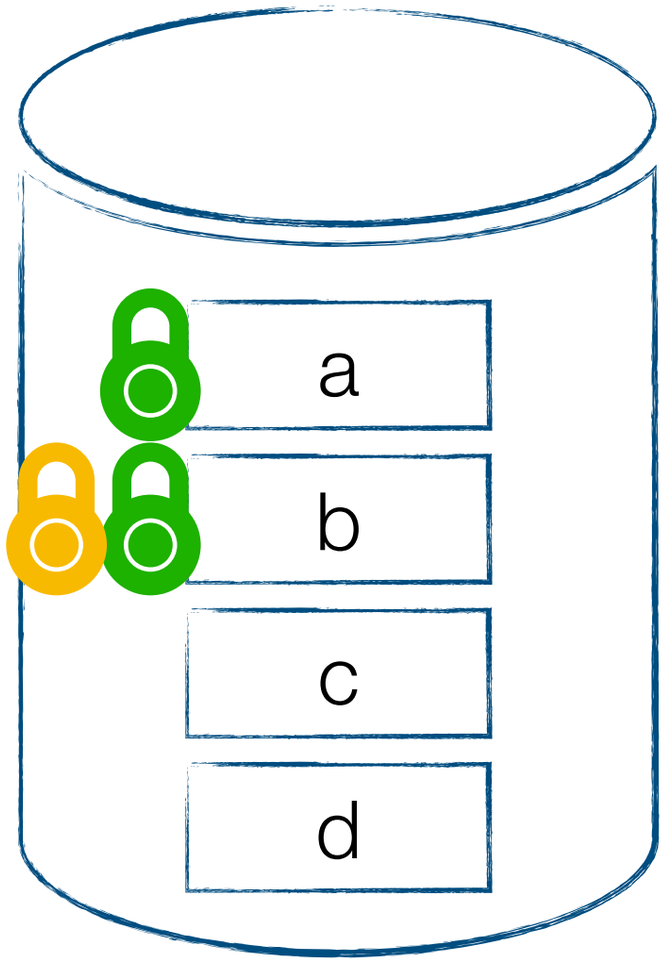
$r_1(a)$ $w_1(b)$

Worker Thread #1

$w_3(b)$ $r_3(c)$

Worker Thread #2

$w_2(b)$ $r_2(a)$



2PL - NoWait

Abort Count: 1

Client Transactions

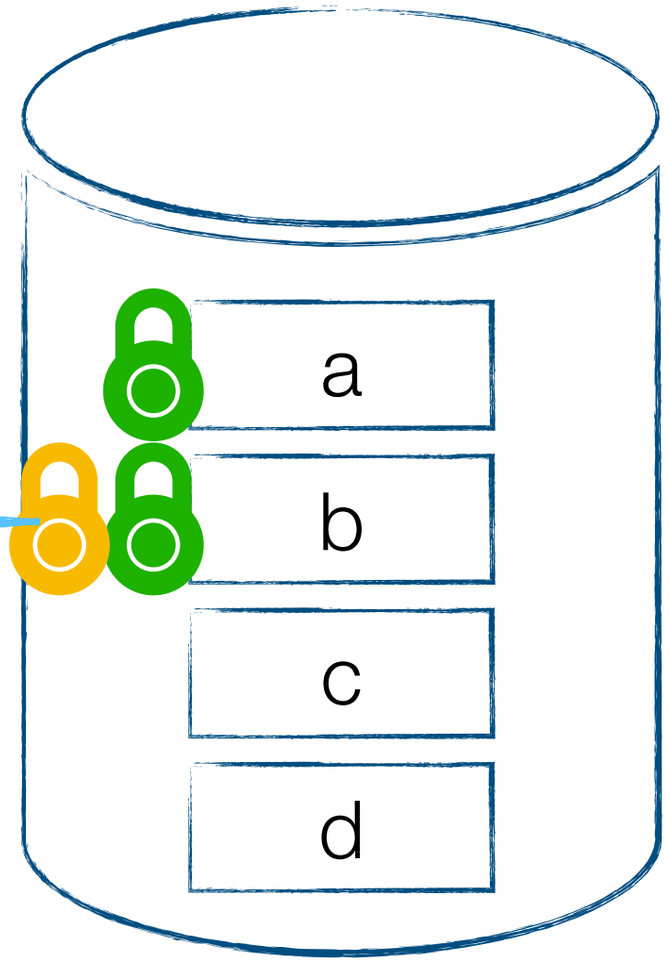
$w_4(b)$ $r_4(d)$

$r_1(a)$ $w_1(b)$

Worker Thread #1 $w_3(b)$ $r_3(c)$

Worker Thread #2 $w_2(b)$ $r_2(a)$

conflict!



2PL - NoWait

Abort Count: 1

Abort transaction (to avoid potential deadlocks)

Worker Thread #1

$w_3(b)$

$r_3(c)$

Client Transactions

$w_4(b)$

$r_4(d)$

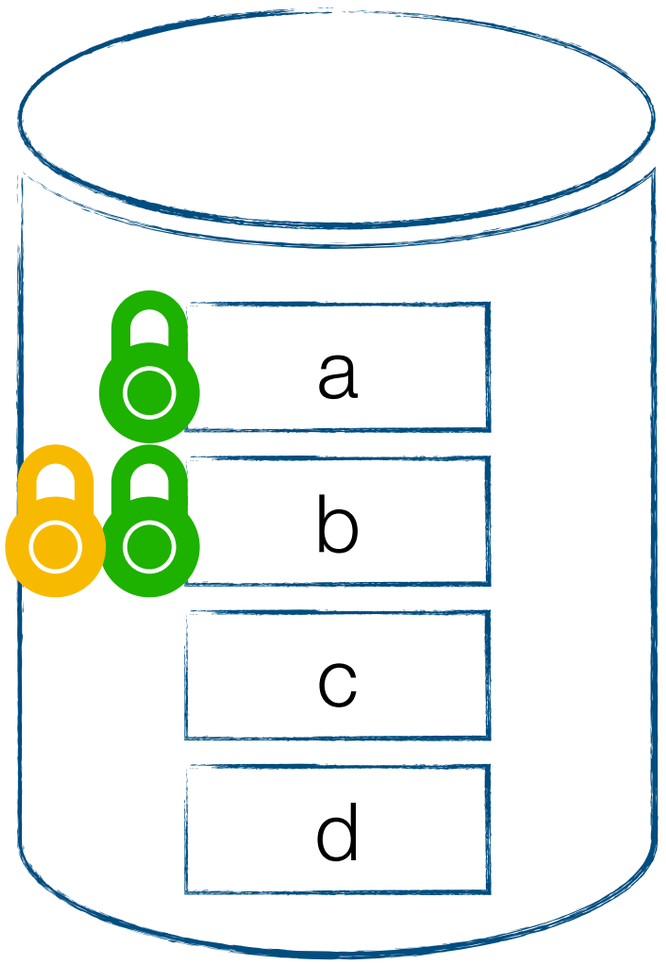
$r_1(a)$

$w_1(b)$

Worker Thread #2

$w_2(b)$

$r_2(a)$



2PL - NoWait

Abort Count: 2

Worker Thread #1

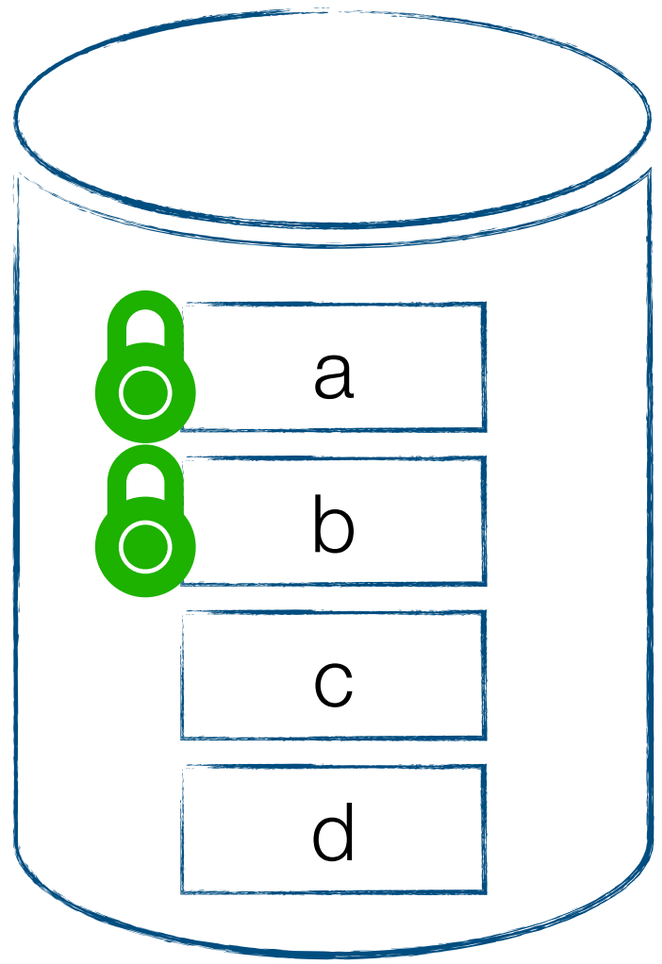
Client Transactions

$w_4(b)$	$w_3(b)$
$r_4(d)$	$r_3(c)$

$r_1(a)$
$w_1(b)$

Worker Thread #2

$w_2(b)$
$r_2(a)$



2PL - NoWait

Abort Count: 2

Client Transactions

$w_3(b)$	$r_1(a)$
$r_3(c)$	$w_1(b)$

Worker Thread #1

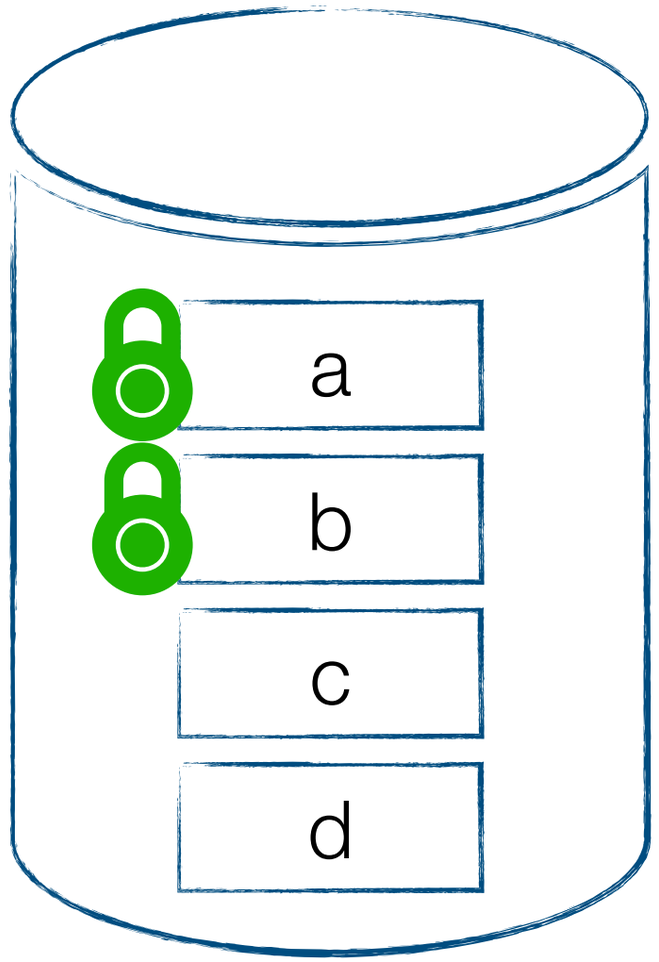
$w_4(b)$

$r_4(d)$

Worker Thread #2

$w_2(b)$

$r_2(a)$



2PL - NoWait

Abort Count: 2

Client Transactions

$w_3(b)$ $r_3(c)$

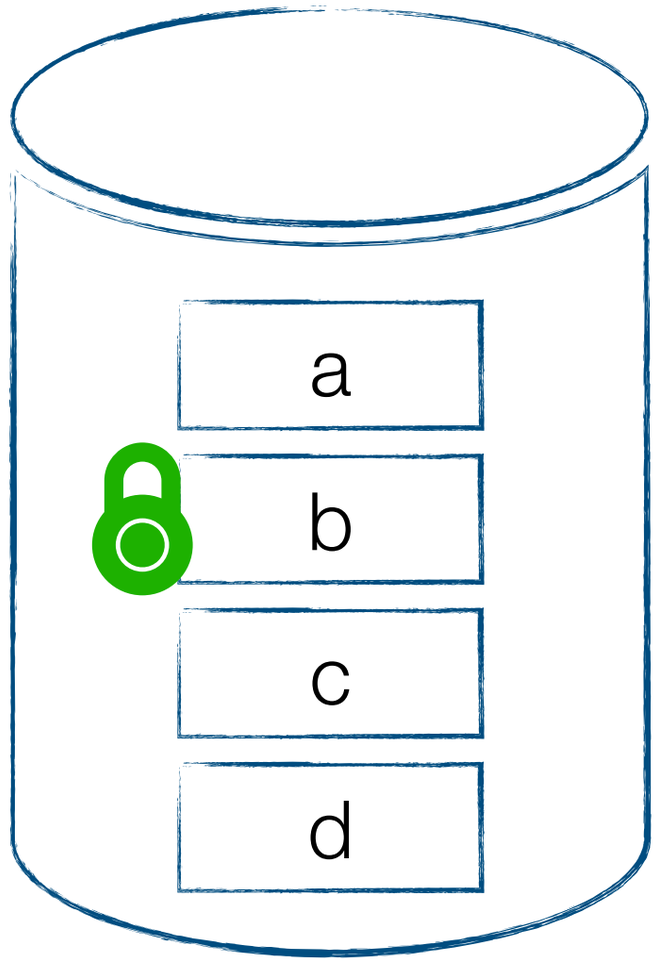
$r_1(a)$ $w_1(b)$

Worker Thread #1

$w_4(b)$ $r_4(d)$

Worker Thread #2

$w_2(b)$ $r_2(a)$



2PL - NoWait

Abort Count: 2

Client Transactions

$w_3(b)$ $r_3(c)$

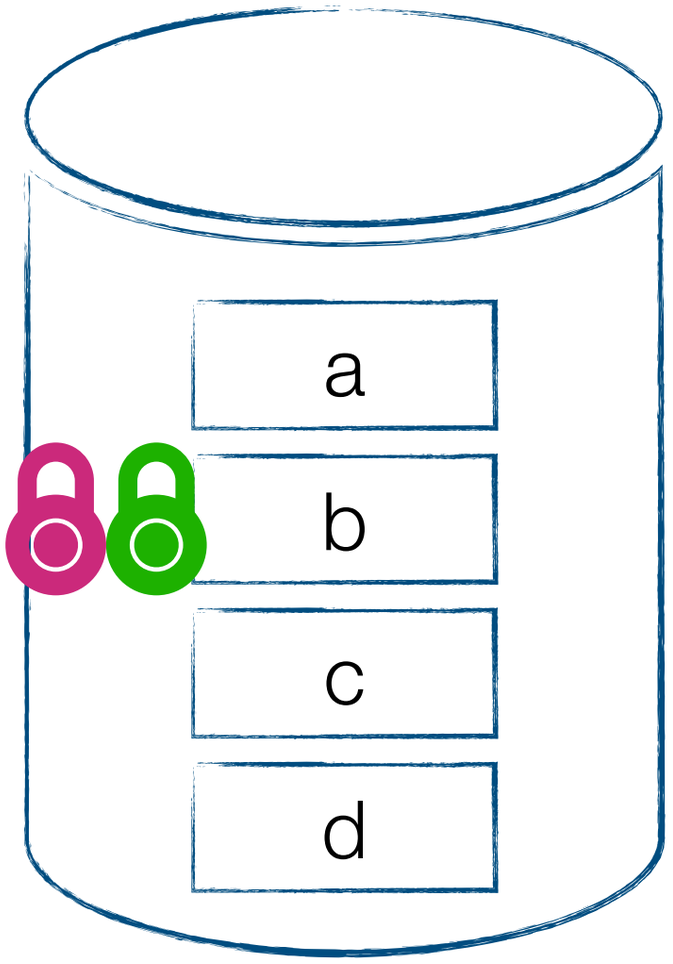
$r_1(a)$ $w_1(b)$

Worker Thread #1

$w_4(b)$ $r_4(d)$

Worker Thread #2

$w_2(b)$ $r_2(a)$



2PL - NoWait

Abort Count: 2

Client Transactions

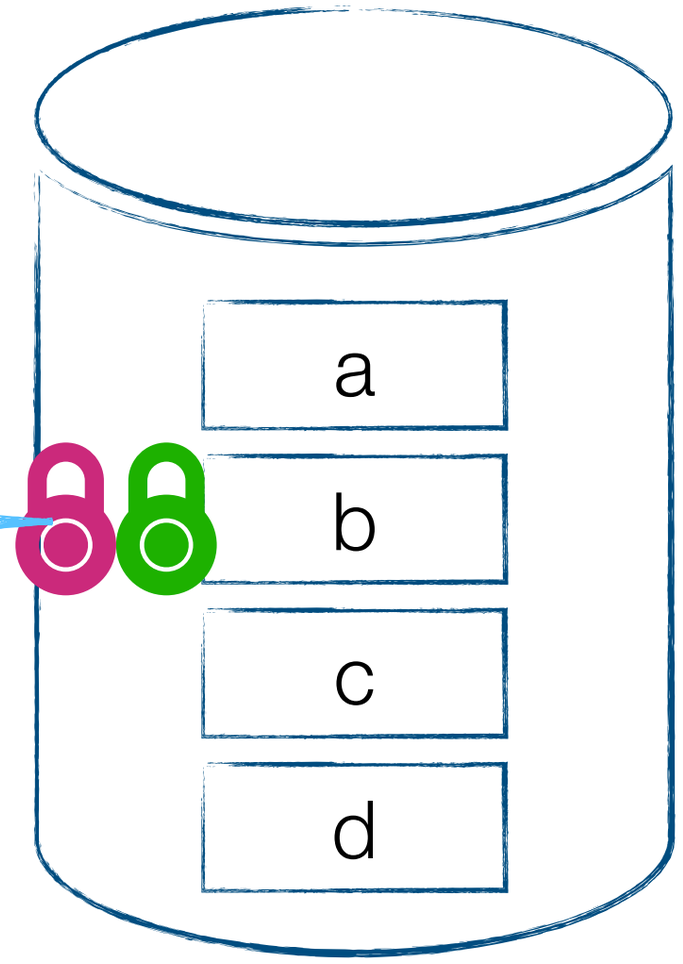
$w_3(b)$ $r_3(c)$

$r_1(a)$ $w_1(b)$

Worker Thread #1

$w_4(b)$ $r_4(d)$

conflict!



Worker Thread #2

$w_2(b)$ $r_2(a)$

2PL - NoWait

Abort Count: 2

Abort transaction (to avoid potential deadlocks)

Worker Thread #1

$w_4(b)$

$r_4(d)$

Client Transactions

$w_3(b)$

$r_3(c)$

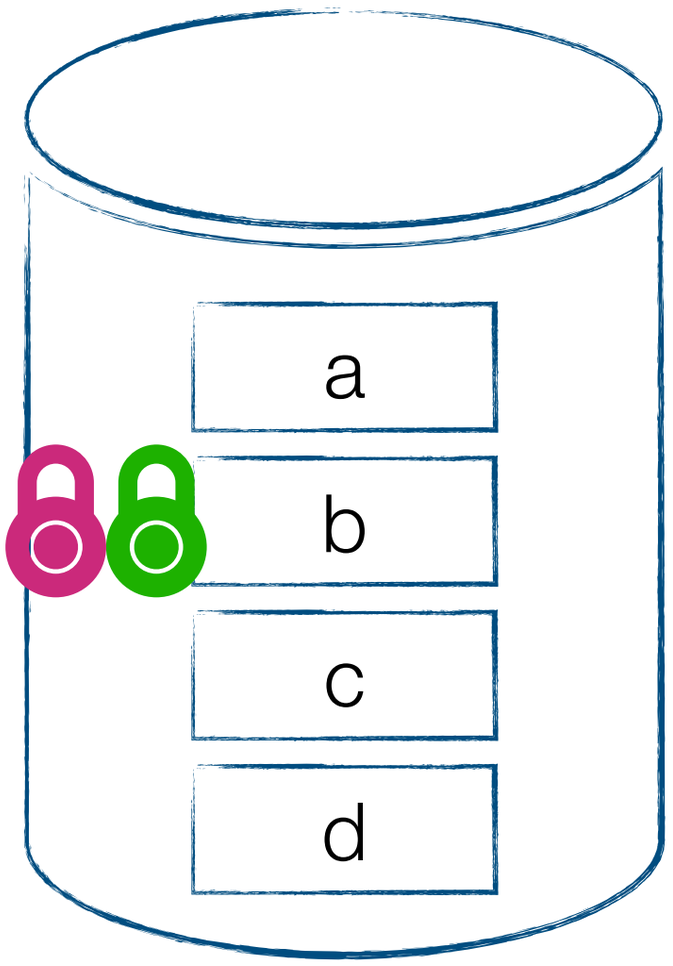
$r_1(a)$

$w_1(b)$

Worker Thread #2

$w_2(b)$

$r_2(a)$



2PL - NoWait

Abort Count: 3

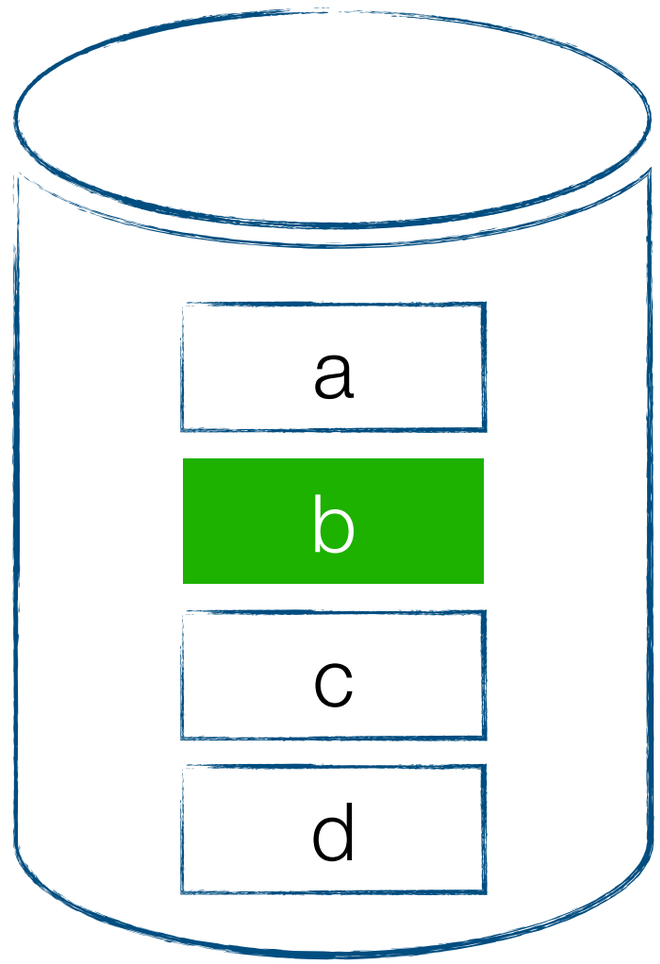
Worker Thread #1

Client Transactions

$w_4(b)$ $w_3(b)$ $r_1(a)$

$r_4(d)$ $r_3(c)$ $w_1(b)$

Worker Thread #2



Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 3

Client Transactions

$r_1(a)$

$w_1(b)$

Worker Thread #1

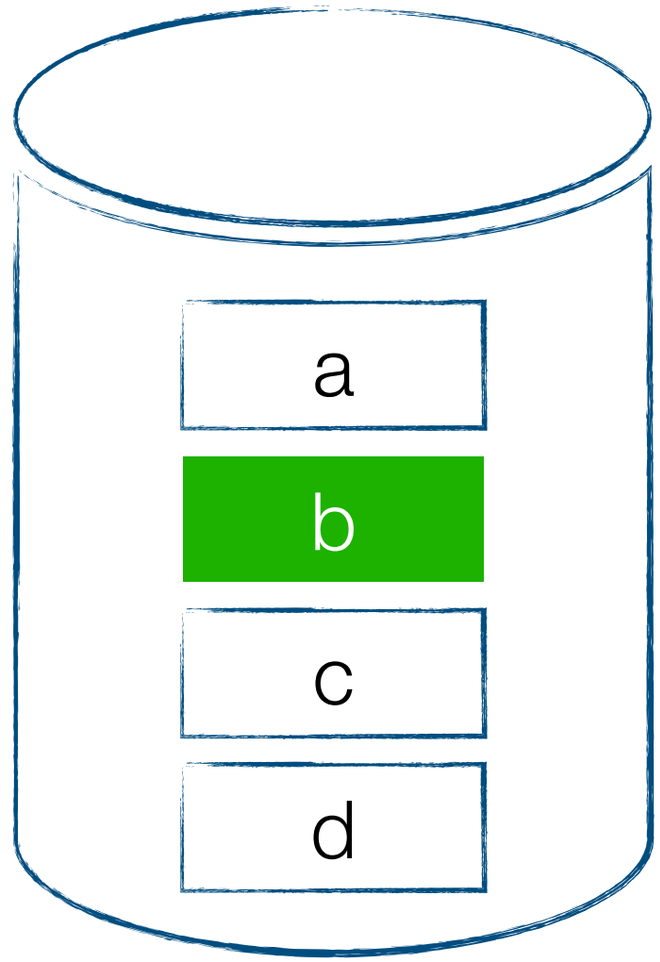
$w_3(b)$

$r_3(c)$

Worker Thread #2

$w_4(b)$

$r_4(d)$



Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 3

Client Transactions

$r_1(a)$

$w_1(b)$

Worker Thread #1

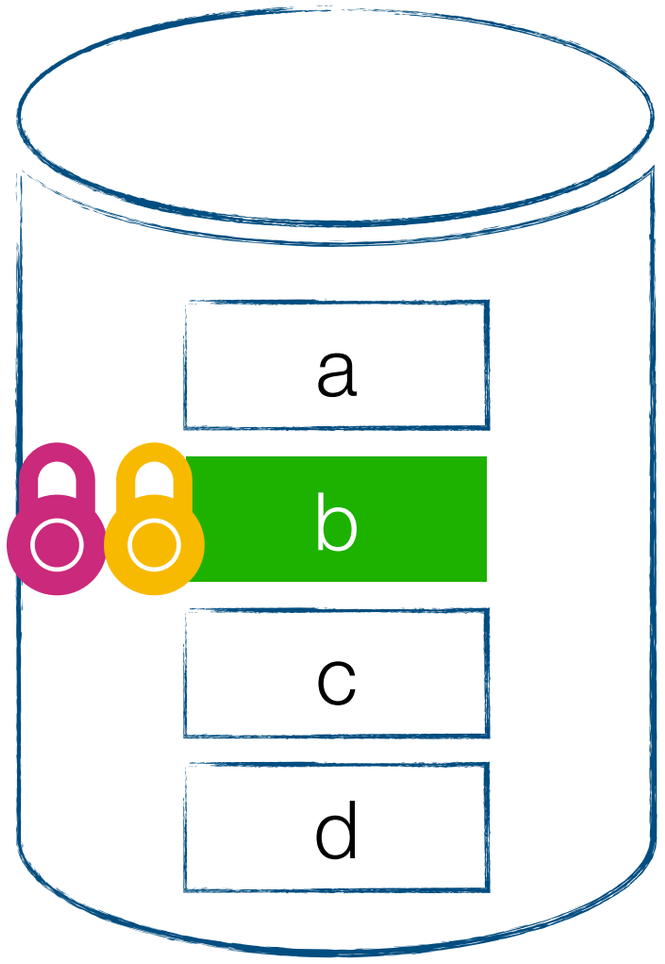
$w_3(b)$

$r_3(c)$

Worker Thread #2

$w_4(b)$

$r_4(d)$



Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 3

Worker Thread #1

$w_3(b)$

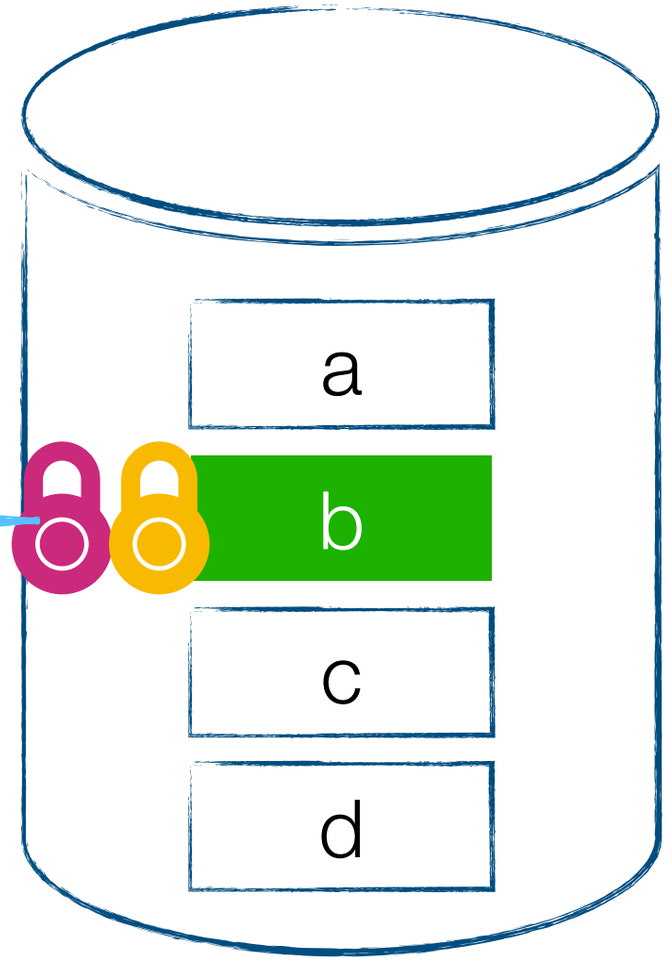
$r_3(c)$

Client Transactions

$r_1(a)$

$w_1(b)$

conflict!



Worker Thread #2

$w_4(b)$

$r_4(d)$

Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 3

Client Transactions

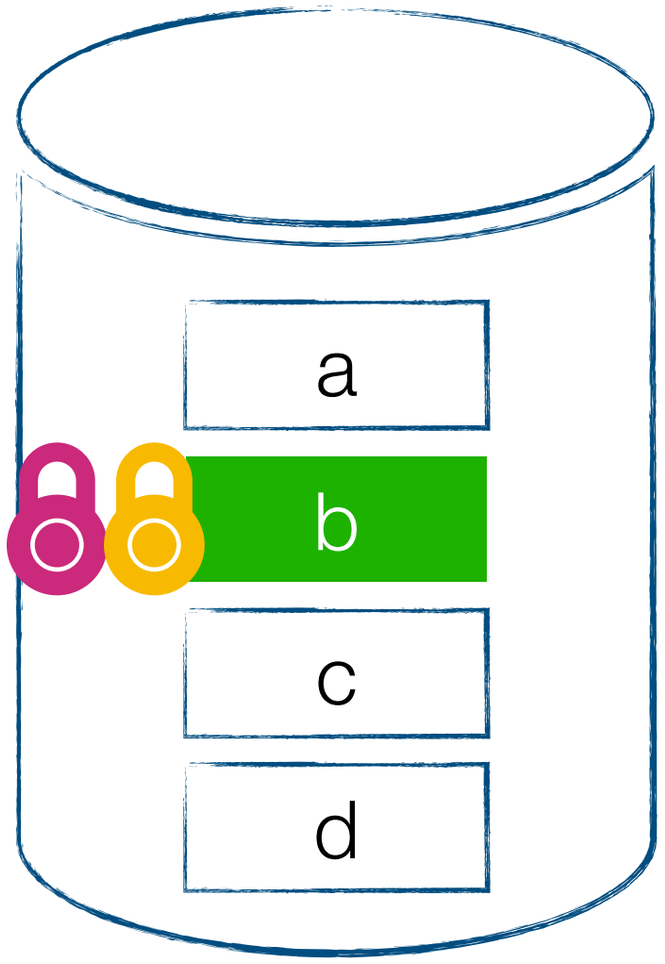
$r_1(a)$

$w_1(b)$

Worker Thread #1

$w_3(b)$

$r_3(c)$



Worker Thread #2

$w_4(b)$

$r_4(d)$

Abort transaction (to avoid potential deadlocks)

Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 4

Client Transactions

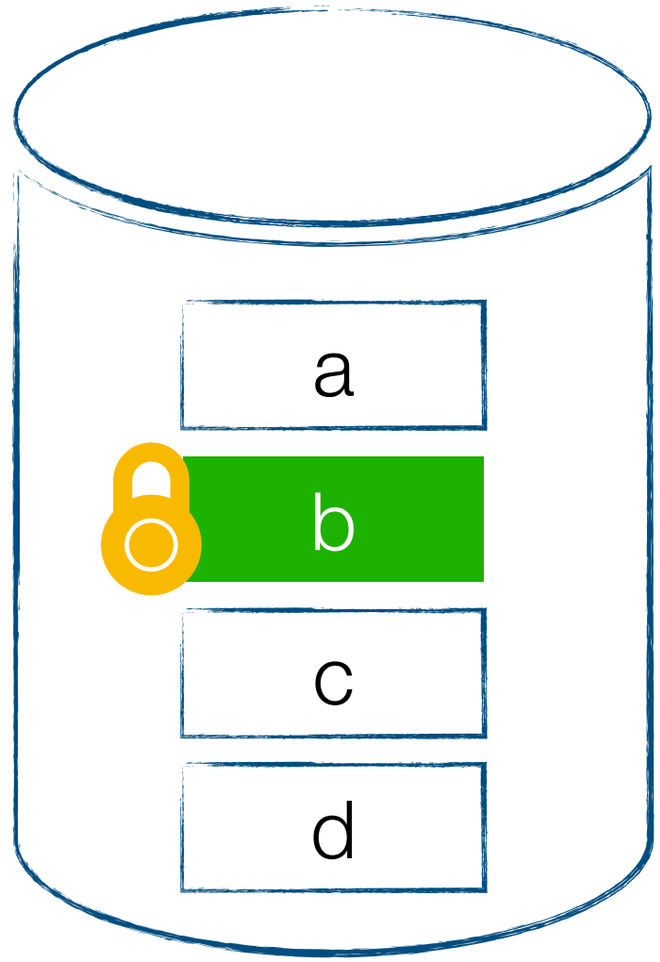
$w_4(b)$ $r_4(d)$

$r_1(a)$ $w_1(b)$

Worker Thread #1

$w_3(b)$ $r_3(c)$

Worker Thread #2



Committed Transactions

$w_2(b)$ $r_2(a)$

2PL - NoWait

Abort Count: 4

Client Transactions

$w_4(b)$

$r_4(d)$

Worker Thread #1

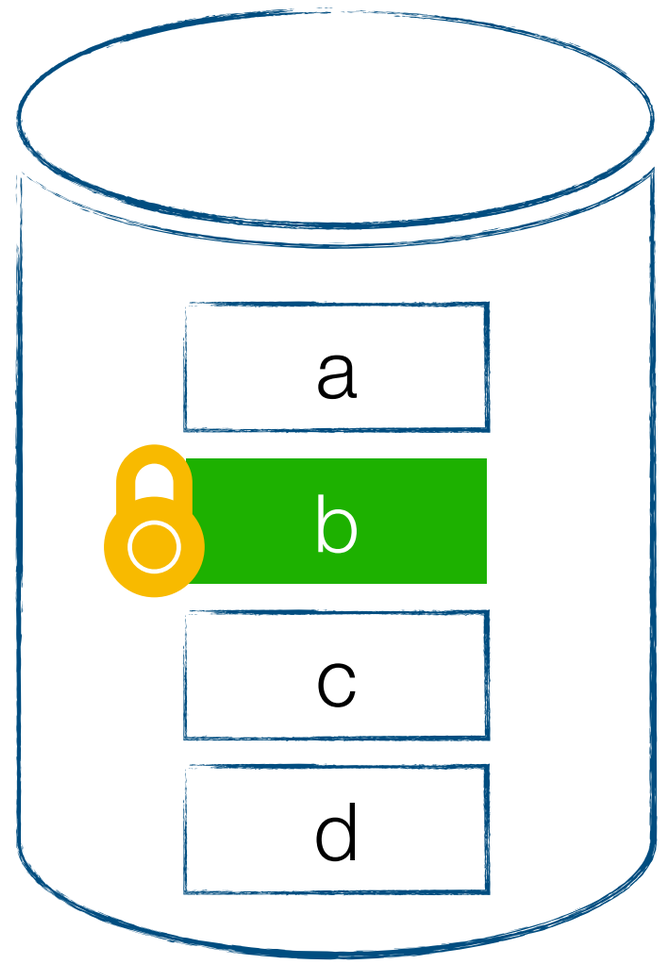
$w_3(b)$

$r_3(c)$

Worker Thread #2

$r_1(a)$

$w_1(b)$



Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 4

Client Transactions

$w_4(b)$

$r_4(d)$

Worker Thread #1

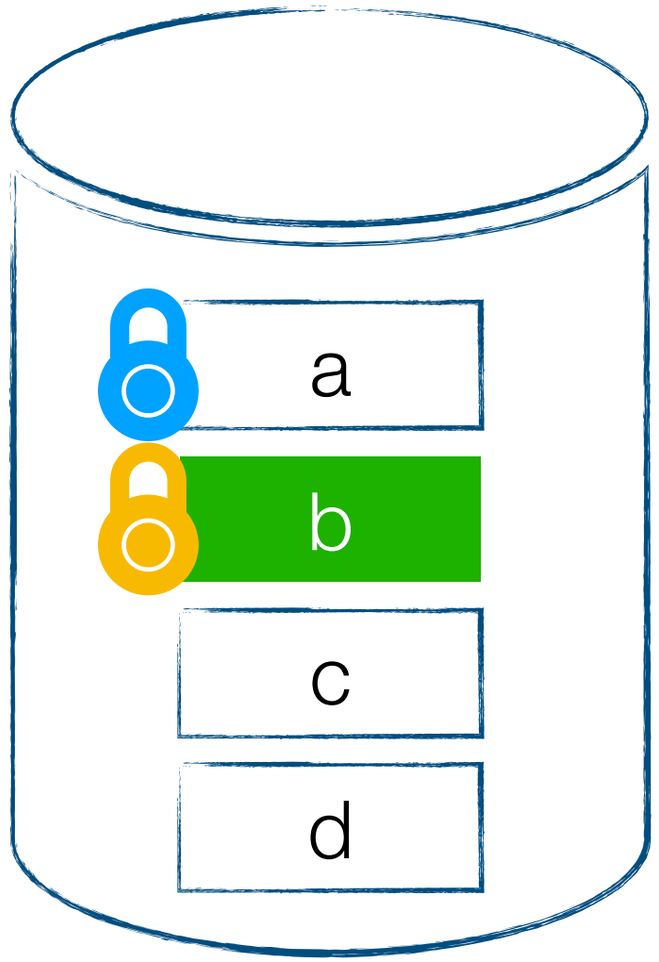
$w_3(b)$

$r_3(c)$

Worker Thread #2

$r_1(a)$

$w_1(b)$



Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 4

Client Transactions

$w_4(b)$

$r_4(d)$

Worker Thread #1

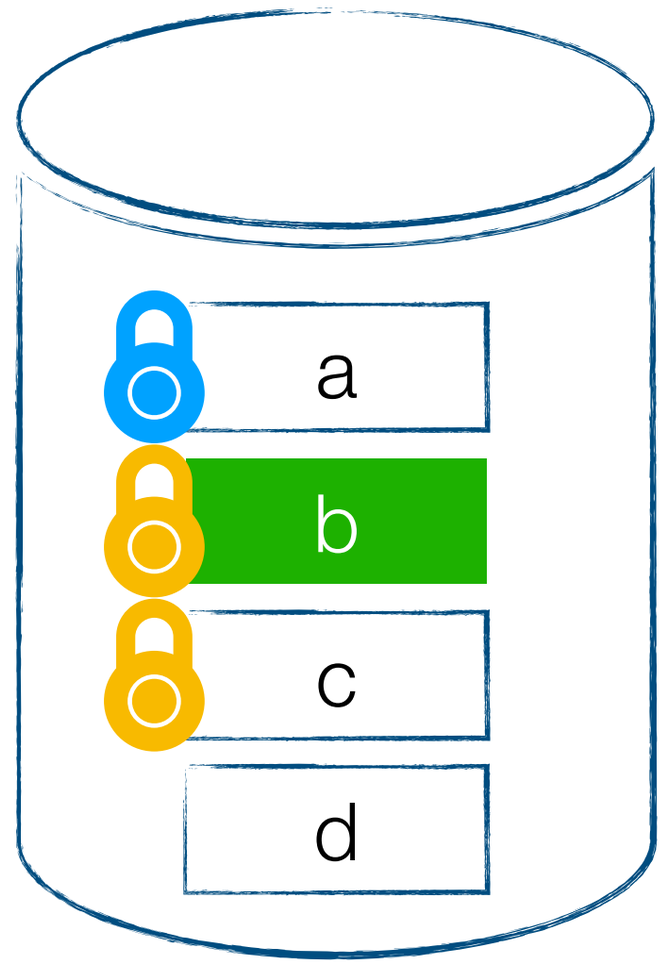
$w_3(b)$

$r_3(c)$

Worker Thread #2

$r_1(a)$

$w_1(b)$



Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 4

Client Transactions

$w_4(b)$

$r_4(d)$

Worker Thread #1

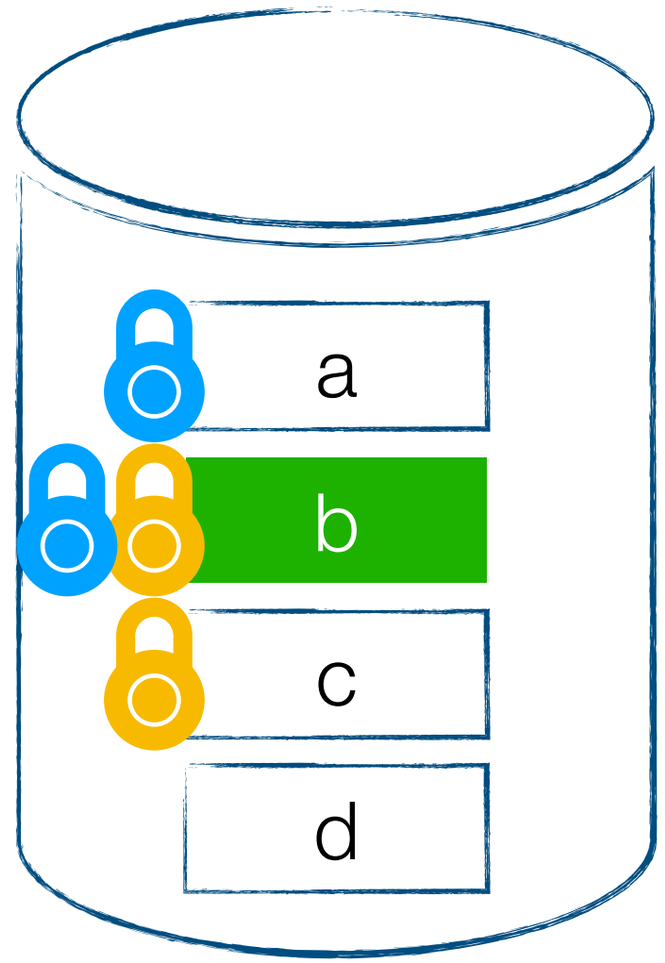
$w_3(b)$

$r_3(c)$

Worker Thread #2

$r_1(a)$

$w_1(b)$



Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 4

Client Transactions

$w_4(b)$

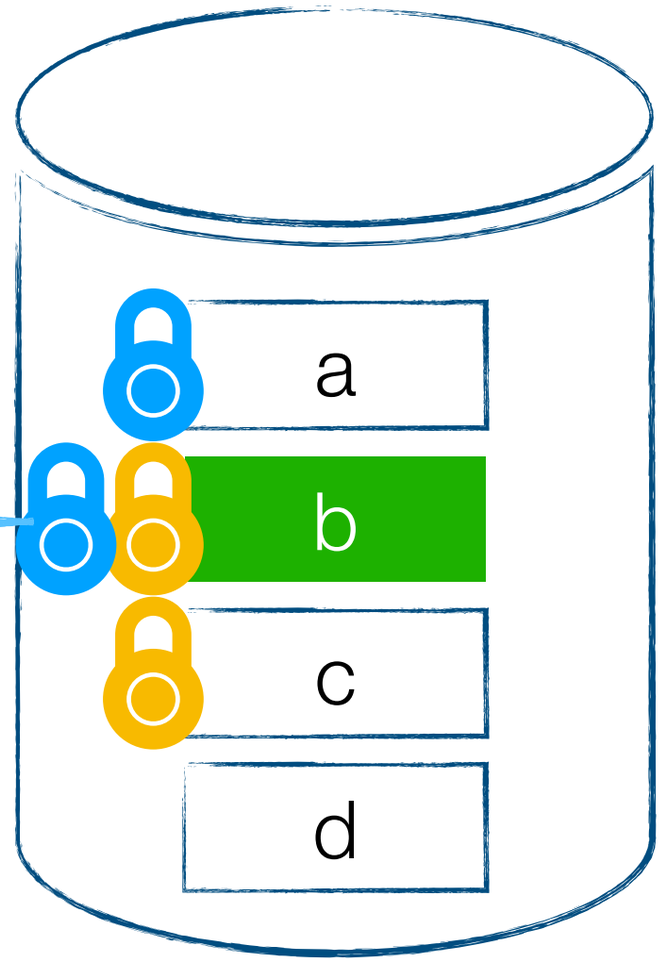
$r_4(d)$

Worker Thread #1

$w_3(b)$

$r_3(c)$

conflict!



Worker Thread #2

$r_1(a)$

$w_1(b)$

Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 4

Client Transactions

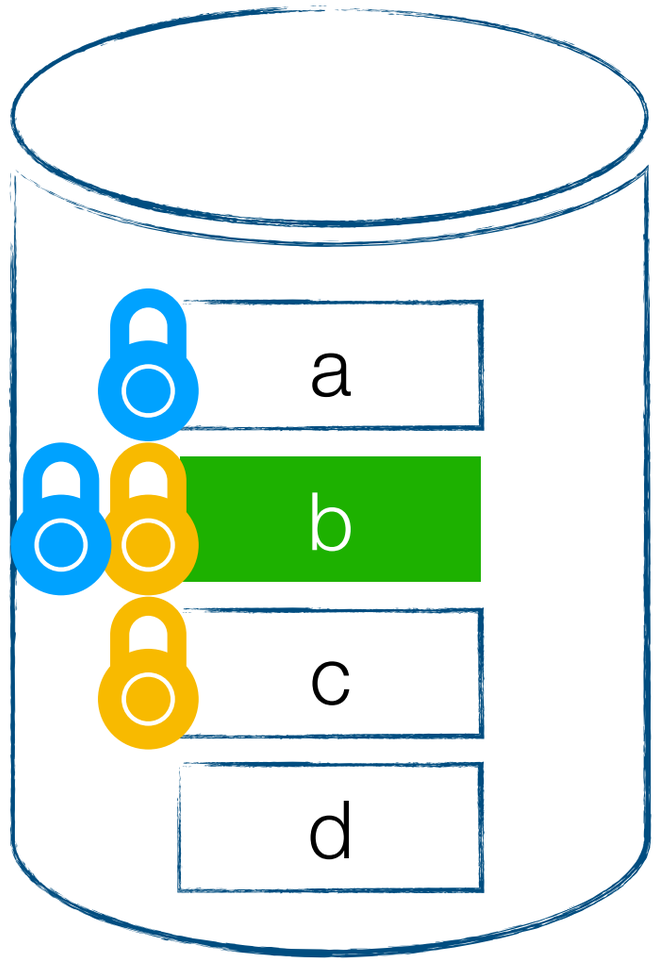
$w_4(b)$

$r_4(d)$

Worker Thread #1

$w_3(b)$

$r_3(c)$



Worker Thread #2

$r_1(a)$

$w_1(b)$

Abort transaction (to avoid potential deadlocks)

Committed Transactions

$w_2(b)$

$r_2(a)$

2PL - NoWait

Abort Count: 5

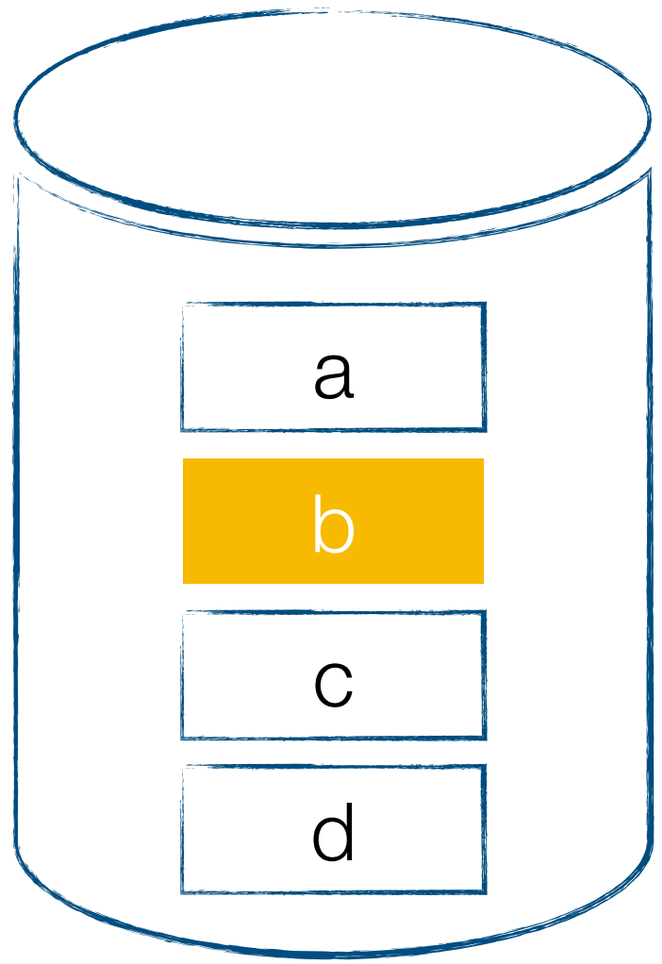
Worker Thread #1

Client Transactions

$w_4(b)$ $r_4(d)$

$r_1(a)$ $w_1(b)$

Worker Thread #2



Committed Transactions

$w_3(b)$ $r_3(c)$

$w_2(b)$ $r_2(a)$

2PL - NoWait

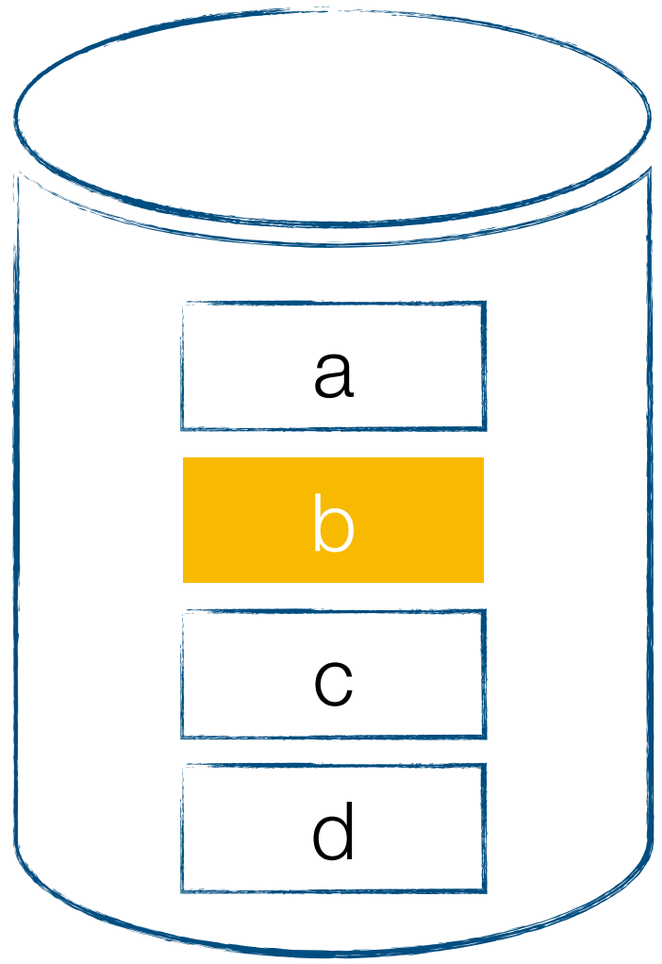
Abort Count: 5

Client Transactions

Worker Thread #1

$r_1(a)$

$w_1(b)$



Worker Thread #2

$w_4(b)$

$r_4(d)$

Committed Transactions

$w_3(b)$	$w_2(b)$
$r_3(c)$	$r_2(a)$

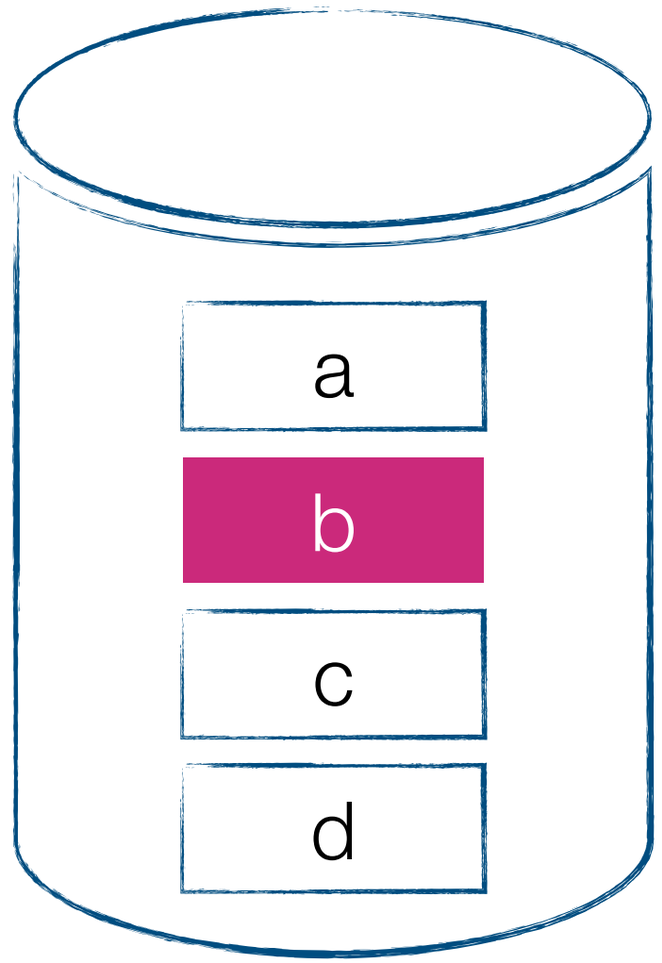
2PL - NoWait

Abort Count: 5

Client Transactions

Worker Thread #1

Worker Thread #2



- Eventually transactions commit in some serial order!
- Many aborts due to high contention on record b
- Non-determinism in CC cause these aborts
- Wasted work

Committed Transactions

$w_4(b)$	$r_1(a)$	$w_3(b)$	$w_2(b)$
$r_4(d)$	$w_1(b)$	$r_3(c)$	$r_2(a)$

Key Insights

- Many aborts due to high contention
- Non-determinism in CC cause these aborts

- Can we do better?
- Is it possible to eliminate non-deterministic concurrency control from transaction execution?

Deterministic Transaction Execution

- H-Store [Kallman et al. ’08]
- Designed and optimized for horizontal scalability, multi-core hardware and in-memory databases
- Stored procedure transaction model
- Static partitioning of database
- Assigns a single core to each partition
- Execute transaction serially without concurrency control within each partition

H-Store

Abort Count: 0

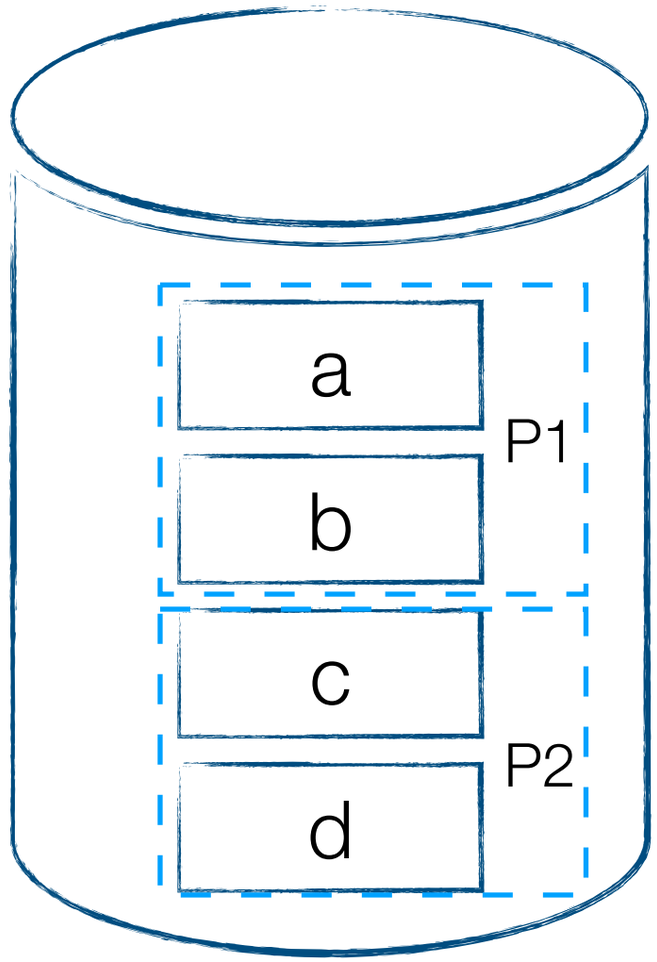
Client Transactions

$w_4(d)$	$w_3(b)$	$w_2(c)$	$r_1(a)$
$r_4(c)$	$r_3(a)$	$r_2(d)$	$w_1(b)$

Single-partition transactions

Worker Thread #1

P1 is assigned to Worker Thread #1



Worker Thread #2

P2 is assigned to Worker Thread #2

H-Store

Abort Count: 0

Client Transactions

$w_4(d)$	$w_3(b)$
$r_4(c)$	$r_3(a)$

Worker Thread #1

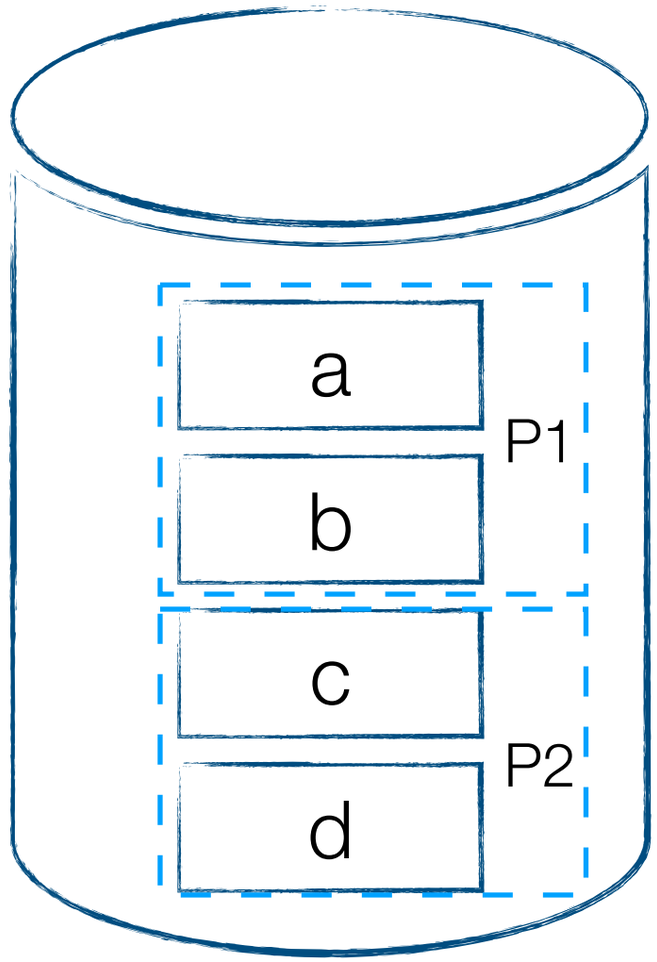
$r_1(a)$

$w_1(b)$

Worker Thread #2

$w_2(c)$

$r_2(d)$



Committed Transactions

H-Store

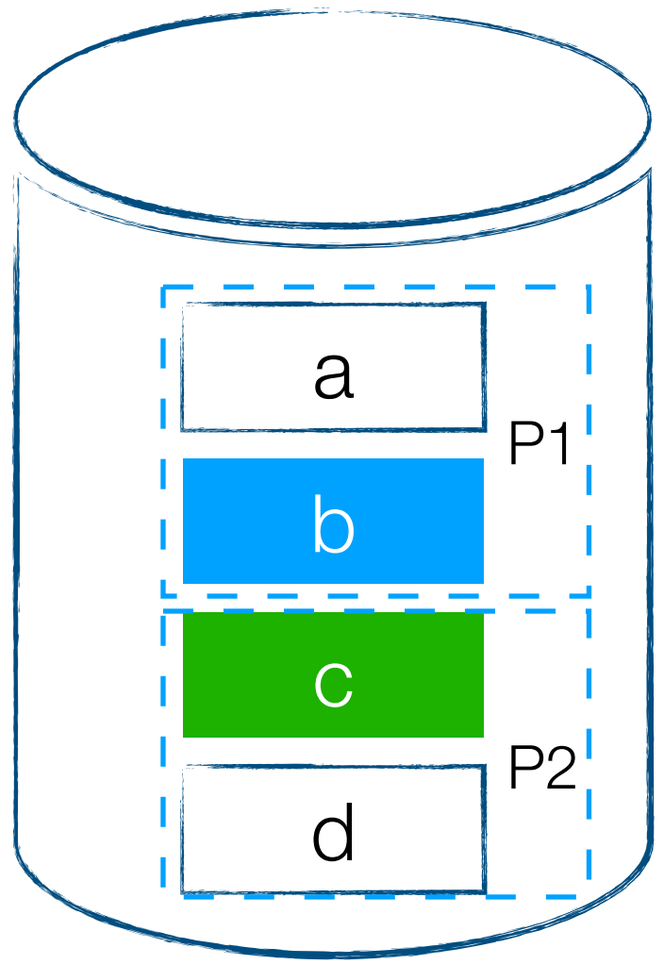
Abort Count: 0

Client Transactions

$w_4(d)$	$w_3(b)$
$r_4(c)$	$r_3(a)$

Worker Thread #1

Worker Thread #2



Committed Transactions

$w_2(c)$	$r_1(a)$
$r_2(d)$	$w_1(b)$

H-Store

Abort Count: 0

Client Transactions

Worker Thread #1

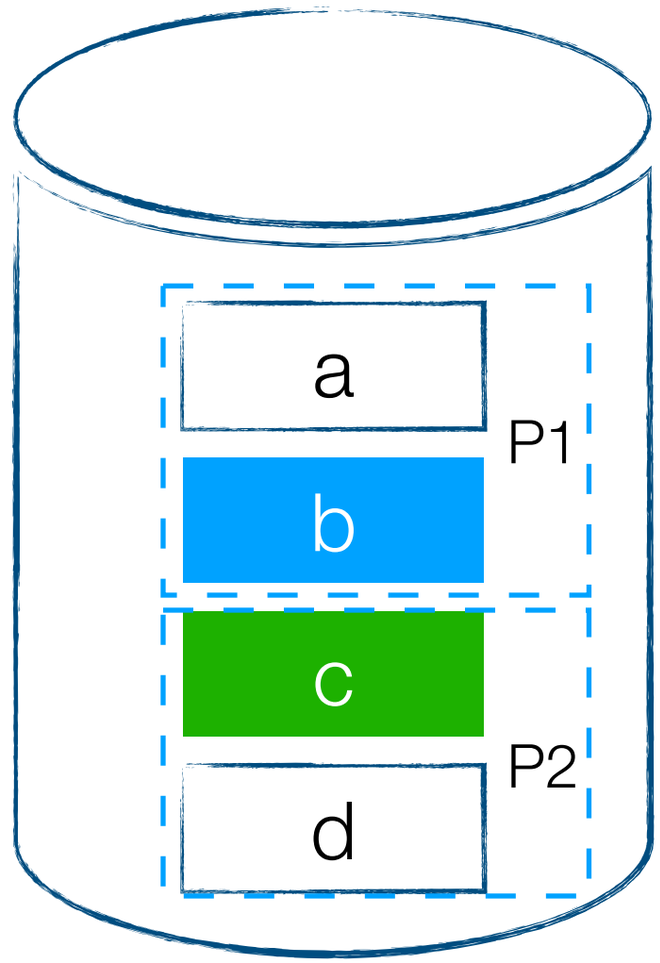
$w_3(b)$

$r_3(a)$

Worker Thread #2

$w_4(d)$

$r_4(c)$



Committed Transactions

$w_2(c)$	$r_1(a)$
$r_2(d)$	$w_1(b)$

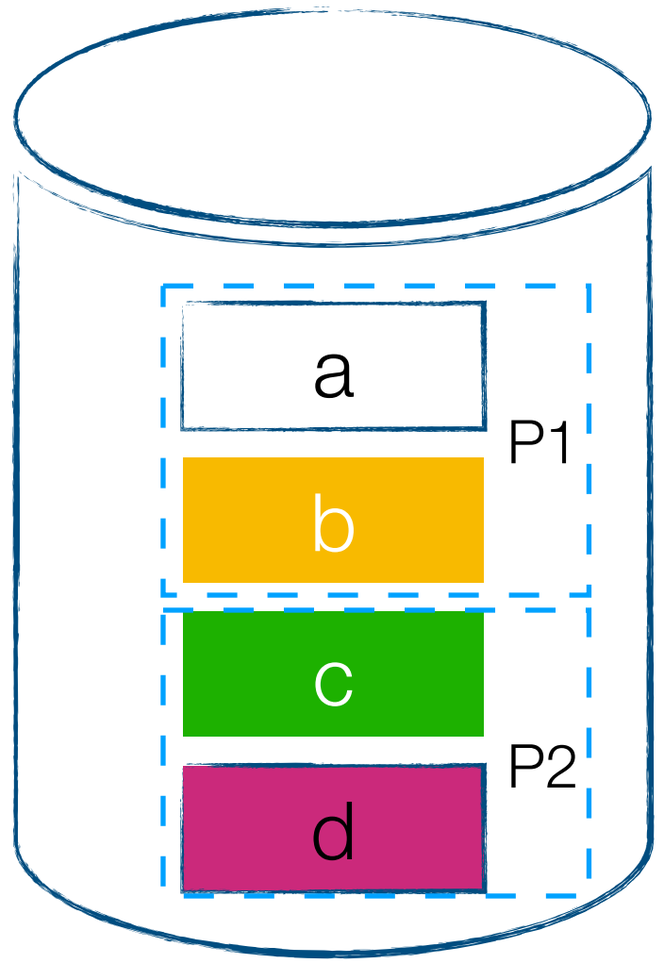
H-Store

Abort Count: 0

Client Transactions

Worker Thread #1

Worker Thread #2



Committed Transactions

$w_4(d)$	$w_3(b)$	$w_2(c)$	$r_1(a)$
$r_4(c)$	$r_3(a)$	$r_2(d)$	$w_1(b)$

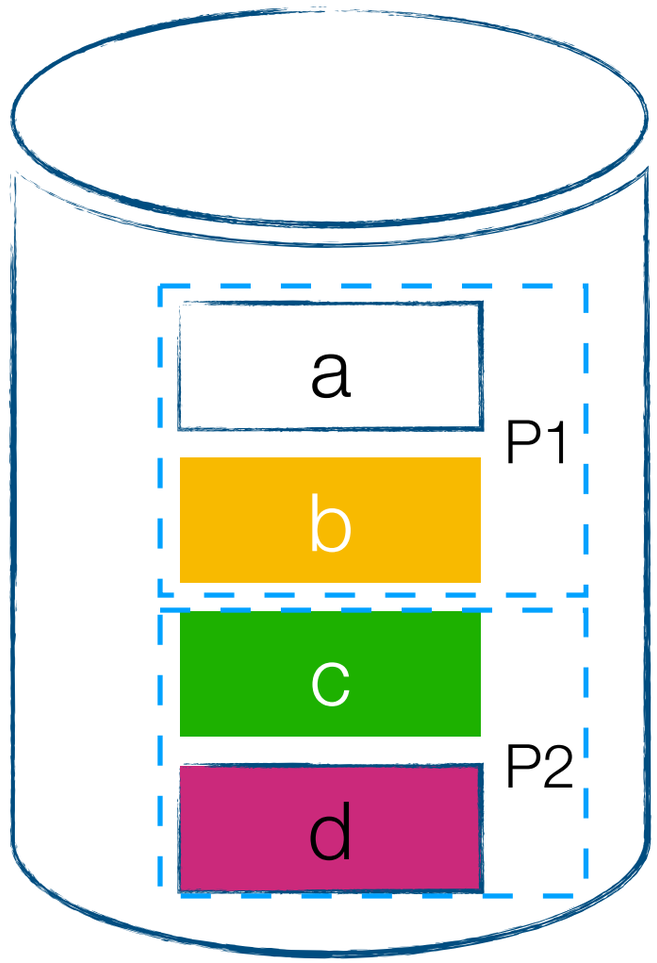
H-Store

Abort Count: 0

Client Transactions

Worker Thread #1

Worker Thread #2



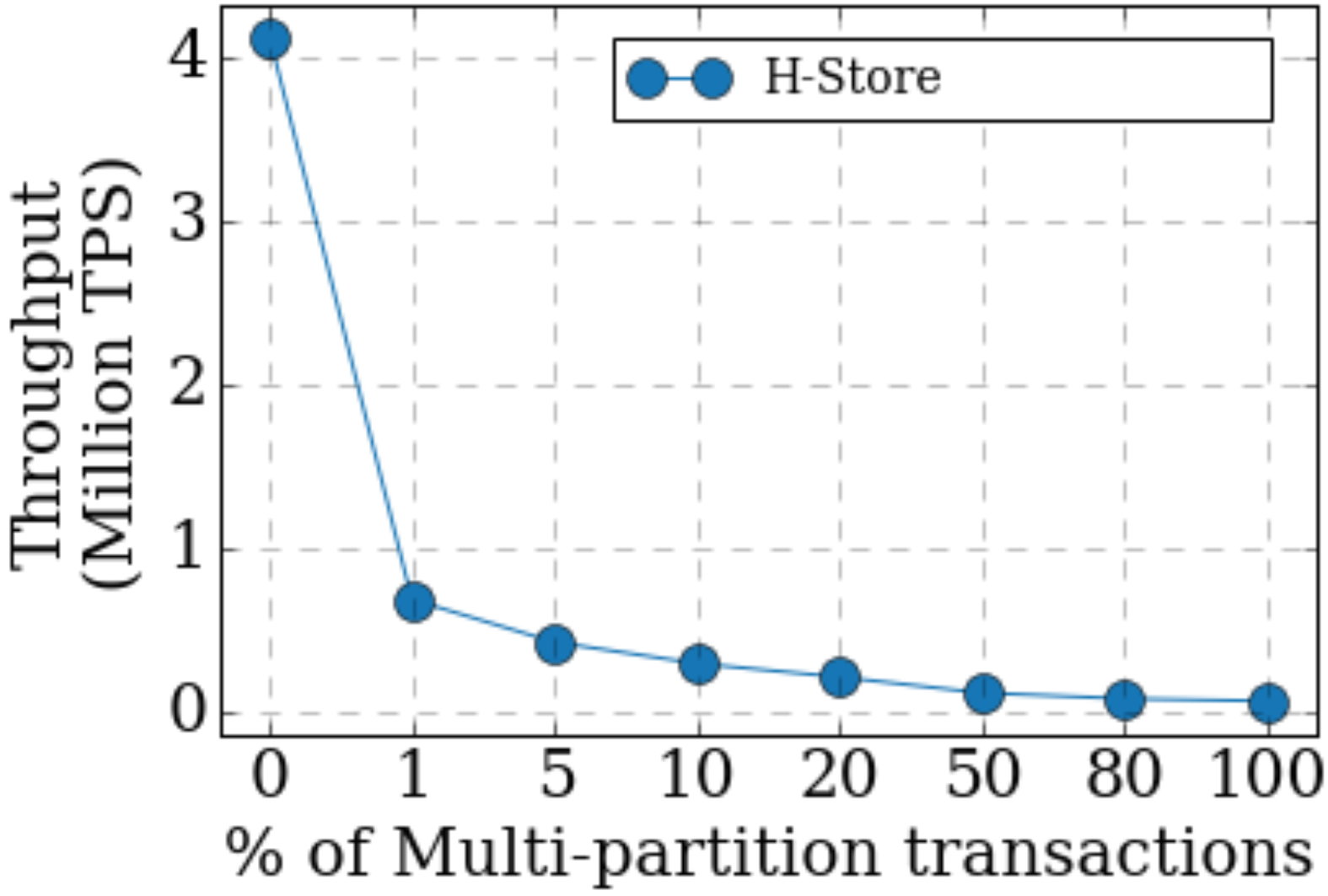
- ✓ Deterministic Execution
- ✓ No aborts because of CC
- ✓ Minimal coordination among threads

- ◉ Performs well only when transactions are single-partitioned

Committed Transactions

$w_4(d)$	$w_3(b)$	$w_2(c)$	$r_1(a)$
$r_4(c)$	$r_3(a)$	$r_2(d)$	$w_1(b)$

Effect of Increasing Percentage of Multi-Partition Transactions in the Workload



H-Store is sensitive to the percentage of multi-partition transactions in the workload

Can We Do Better?

Our motivations are

- Efficiently exploits multi-core and large main-memory systems
- Provide serializable multi-statement transactions for key-value stores
- Scales well under high-contention workloads

Desired Properties

- Concurrent execution over shared data
- Not limited to partitionable workloads
- Without any concurrency controls

Is it possible to have concurrent execution over shared data without having any concurrency controls?

Introducing: QueCC

Queue-Oriented, Control-Free, Concurrency Architecture

A two parallel & independent phases of priority-driven planning & execution

Phase 1: Deterministic priority-based planning of transaction operations in parallel

- ➡ *Plans take the form of **Prioritized Execution Queues***
- ➡ Execution Queues inherits predetermined priority of its planner
- ➡ Results in a deterministic plan of execution

Phase 2: Priority driven execution of plans in parallel

- ➡ Satisfies the ***Execution Priority Invariance***

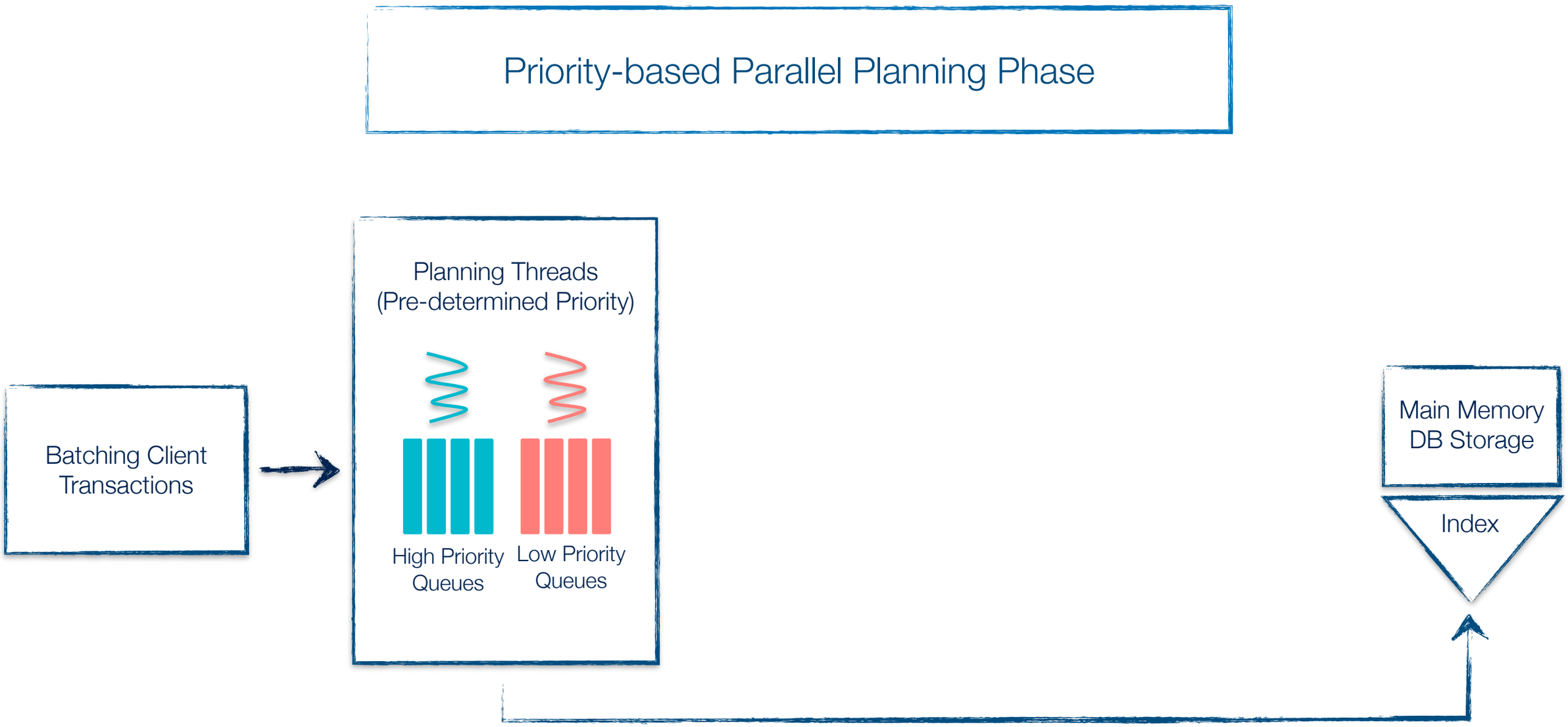
"For each record (or a queue), operations that belong to higher priority queues (created by a higher priority planner) must always be executed before executing any lower priority operations."

QueCC Architecture

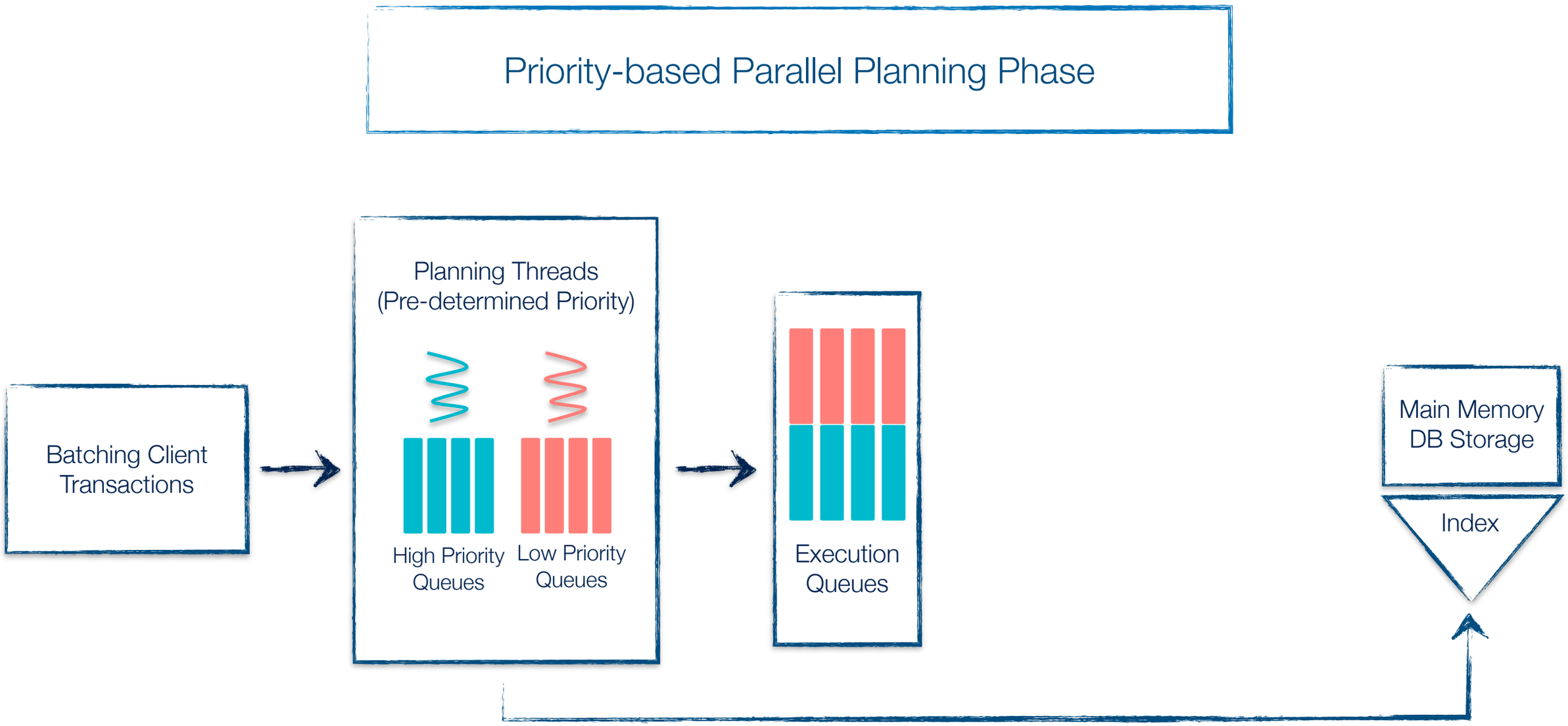
Priority-based Parallel Planning Phase

Batching Client Transactions

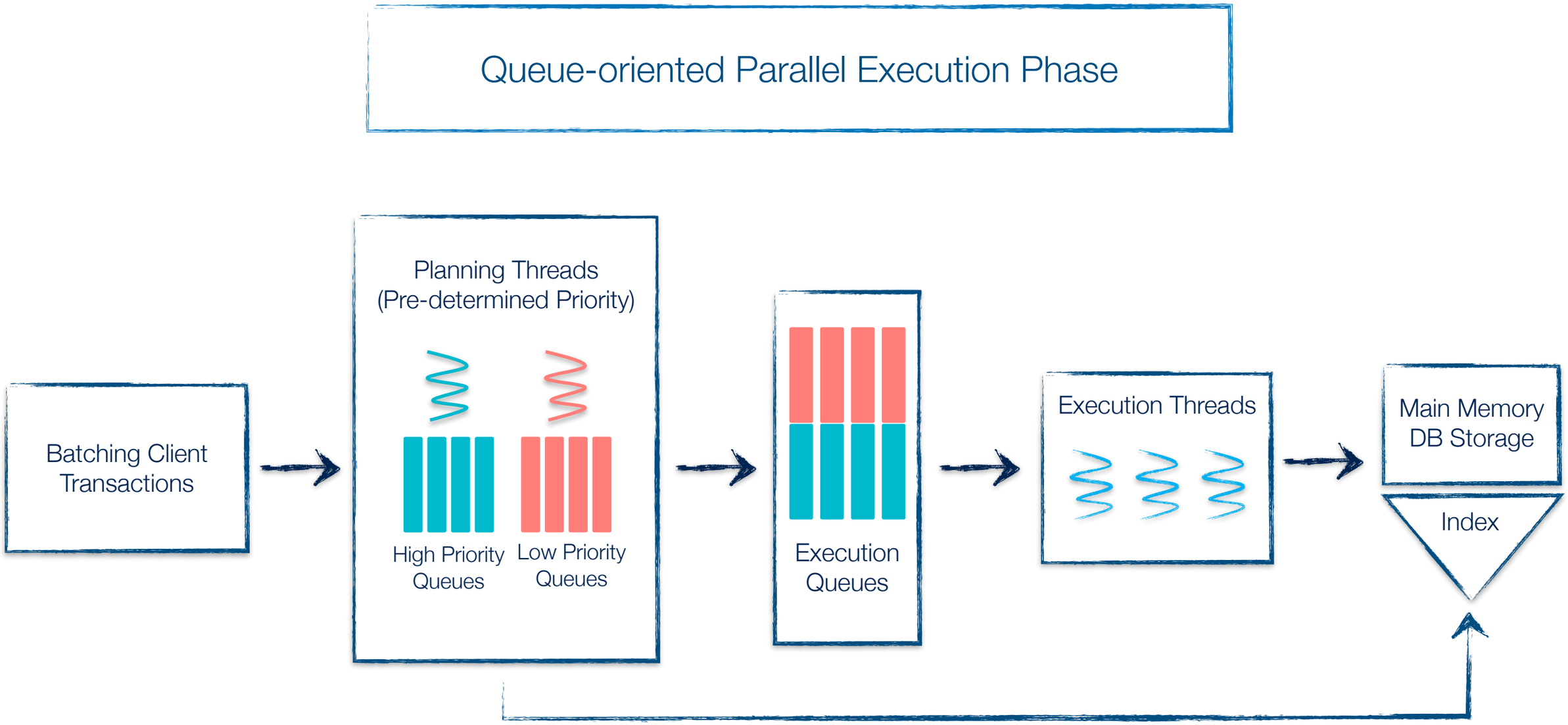
QueCC Architecture



QueCC Architecture



QueCC Architecture



QueCC

Abort Count: 0

Planning Thread #2

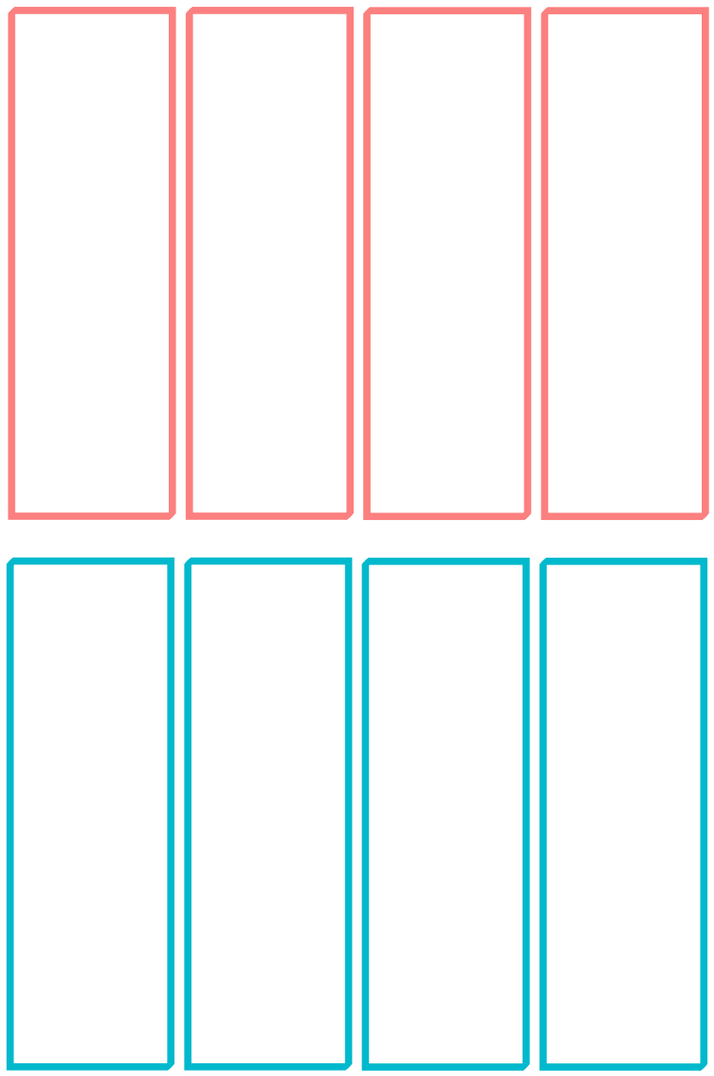
Client Transactions

$w_4(b)$	$w_3(b)$	$w_2(b)$	$r_1(a)$
$r_4(d)$	$r_3(c)$	$r_2(a)$	$w_1(b)$

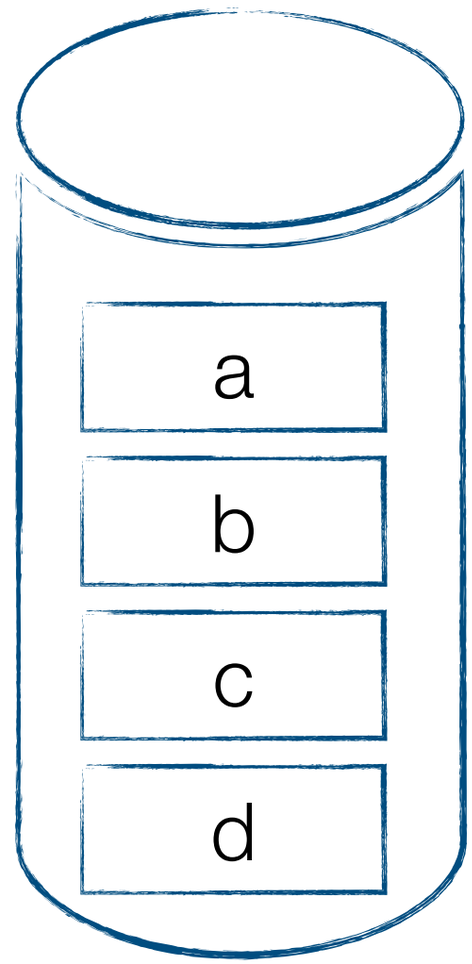
Planning Thread #1

Priority Groups

Low-priority Queues



High-priority Queues



Committed Transactions

QueCC

Abort Count: 0

Planning Thread #2

$w_3(b)$

$r_3(c)$

Client Transactions

$w_4(b)$

$r_4(d)$

$w_2(b)$

$r_2(a)$

Planning Thread #1

$r_1(a)$

$w_1(b)$

Priority Groups

Low-priority Queues

High-priority Queues

a

b

c

d

Committed Transactions

QueCC

Abort Count: 0

Planning Thread #2

Client Transactions

$w_4(b)$ $r_4(d)$

$w_2(b)$ $r_2(a)$

Planning Thread #1

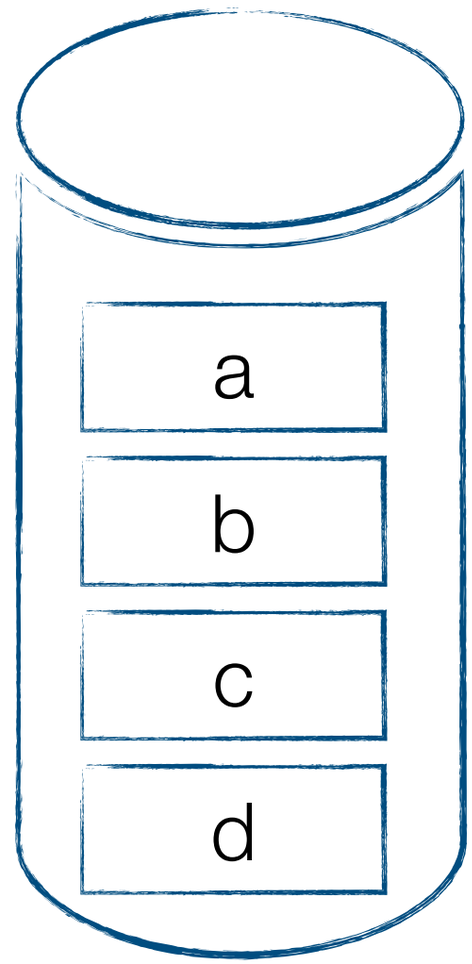
Priority Groups

Low-priority Queues

$w_3(b)$ $r_3(c)$

$r_1(a)$ $w_1(b)$

High-priority Queues



Committed Transactions

QueCC

Abort Count: 0

Planning Thread #2

$w_4(b)$

$r_4(d)$

Client Transactions

Planning Thread #1

$w_2(b)$

$r_2(a)$

Priority Groups

Low-priority Queues

$w_3(b)$ $r_3(c)$

$r_1(a)$ $w_1(b)$

High-priority Queues

a

b

c

d

Committed Transactions

QueCC

Abort Count: 0

Planning Thread #2

Client Transactions

Planning Thread #1

Prioritized Execution Queues

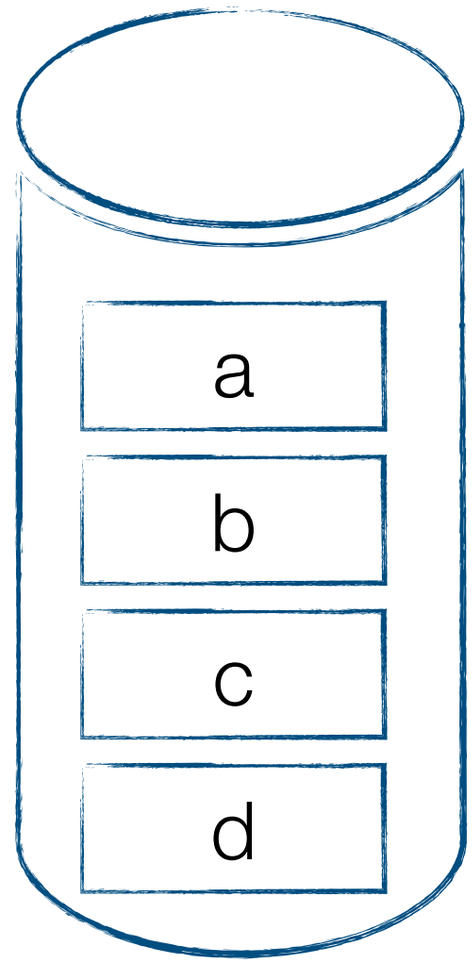
Priority Groups

Low-priority Queues

$w_4(b)$ $w_3(b)$ $r_3(c)$ $r_4(d)$

$r_2(a)$ $r_1(a)$ $w_2(b)$ $w_1(b)$

High-priority Queues



Committed Transactions

QueCC

Abort Count: 0

Execution Thread #2

Client Transactions

Execution Thread #1

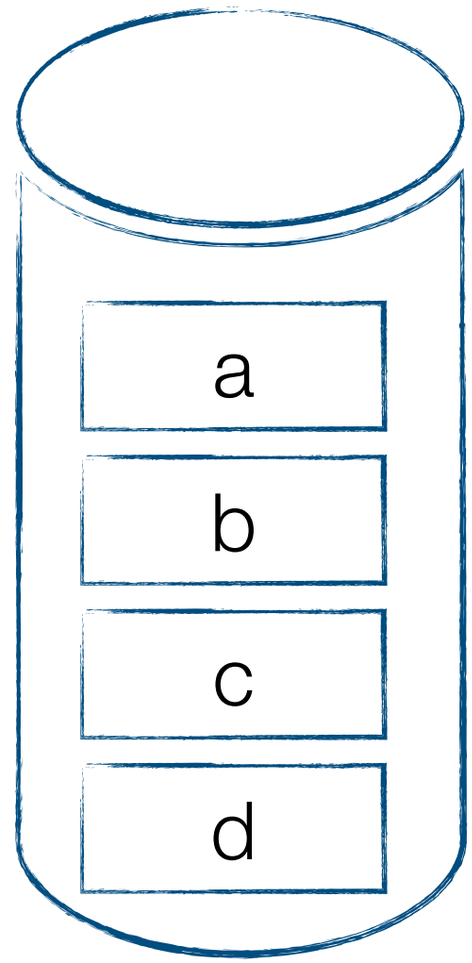
Priority Groups

Low-priority Queues

$w_4(b)$ $w_3(b)$ $r_3(c)$ $r_4(d)$

$r_2(a)$ $r_1(a)$ $w_2(b)$ $w_1(b)$

High-priority Queues



Committed Transactions

QueCC

Abort Count: 0

Execution Thread #2

$w_2(b)$

$w_1(b)$

Client Transactions

Execution Thread #1

$r_2(a)$

$r_1(a)$

Execution Priority Invariance

Priority Groups

Low-priority Queues

$w_4(b)$

$w_3(b)$

$r_3(c)$

$r_4(d)$

High-priority Queues

a

b

c

d

Committed Transactions

QueCC

Abort Count: 0

Execution Thread #2

Client Transactions

Execution Thread #1

Execution Priority Invariance

Priority Groups

Low-priority Queues

$w_4(b)$ $w_3(b)$ $r_3(c)$ $r_4(d)$

High-priority Queues

a

b

c

d

Committed Transactions

$w_2(b)$ $r_2(a)$ $r_1(a)$ $w_1(b)$

QueCC

Abort Count: 0

Execution Thread #2

$w_4(b)$

$w_3(b)$

Client Transactions

Execution Thread #1

$r_3(c)$

Execution Priority Invariance

Priority Groups

Low-priority Queues

$r_4(d)$

High-priority Queues

a

b

c

d

Committed Transactions

$w_2(b)$ $r_2(a)$

$r_1(a)$ $w_1(b)$

QueCC

Abort Count: 0

Execution Thread #2

$w_4(b)$

Client Transactions

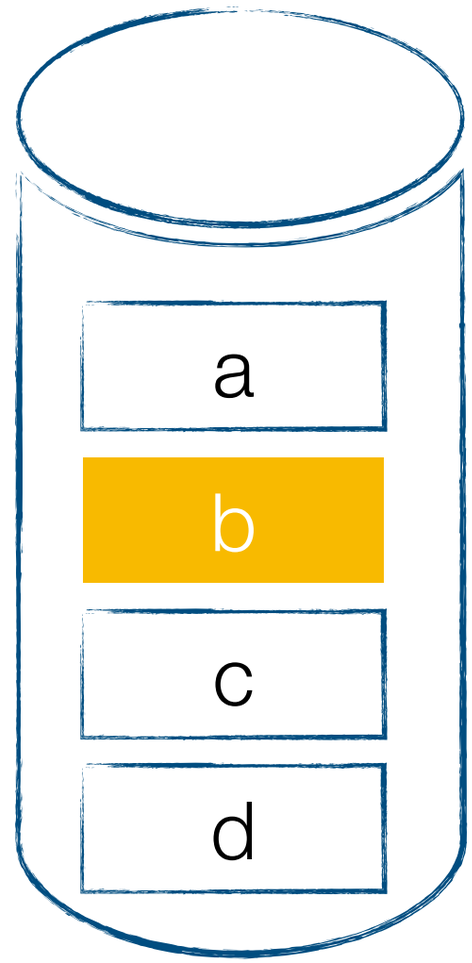
Execution Thread #1

Priority Groups

Low-priority Queues

$r_4(d)$

High-priority Queues



Committed Transactions

$w_3(b)$	$w_2(b)$	$r_1(a)$
$r_3(c)$	$r_2(a)$	$w_1(b)$

QueCC

Abort Count: 0

Execution Thread #2

$w_4(b)$

Client Transactions

Execution Thread #1

$r_4(d)$

Priority Groups

Low-priority Queues

High-priority Queues

a

b

c

d

Committed Transactions

$w_3(b)$	$w_2(b)$	$r_1(a)$
$r_3(c)$	$r_2(a)$	$w_1(b)$

QueCC

Abort Count: 0

Execution Thread #2

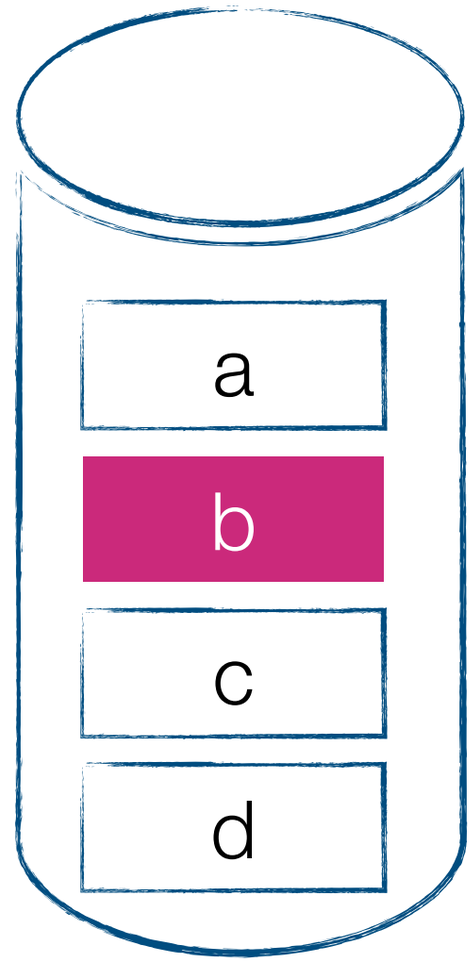
Client Transactions

Execution Thread #1

Priority Groups

Low-priority Queues

High-priority Queues



Committed Transactions

$w_4(b)$	$w_3(b)$	$w_2(b)$	$r_1(a)$
$r_4(d)$	$r_3(c)$	$r_2(a)$	$w_1(b)$

QueCC | Abort Count: 0

Execution Thread #2

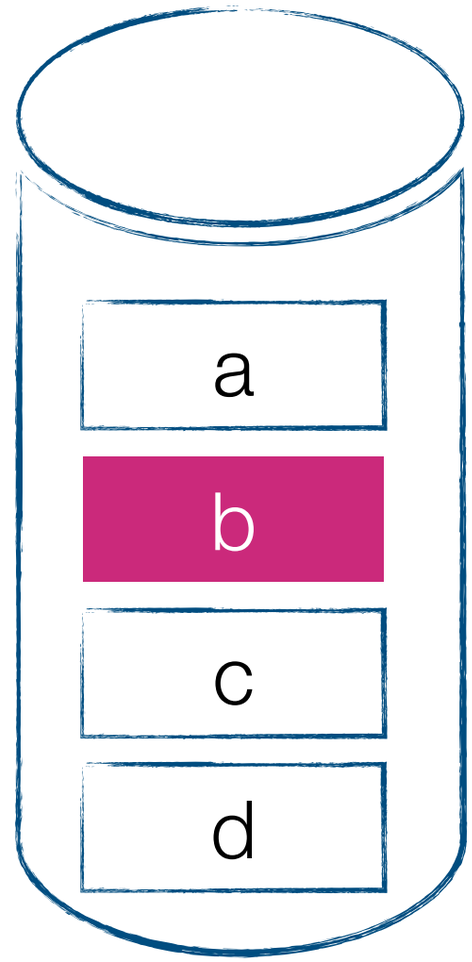
Execution Thread #1

- ✓ Deterministic Execution
- ✓ No aborts because of CC
- ✓ Minimal coordination among threads
- ✓ Not sensitive to multi-partition transactions
- ✓ Exploits Intra-transaction parallelism

Priority Groups

Low-priority Queues

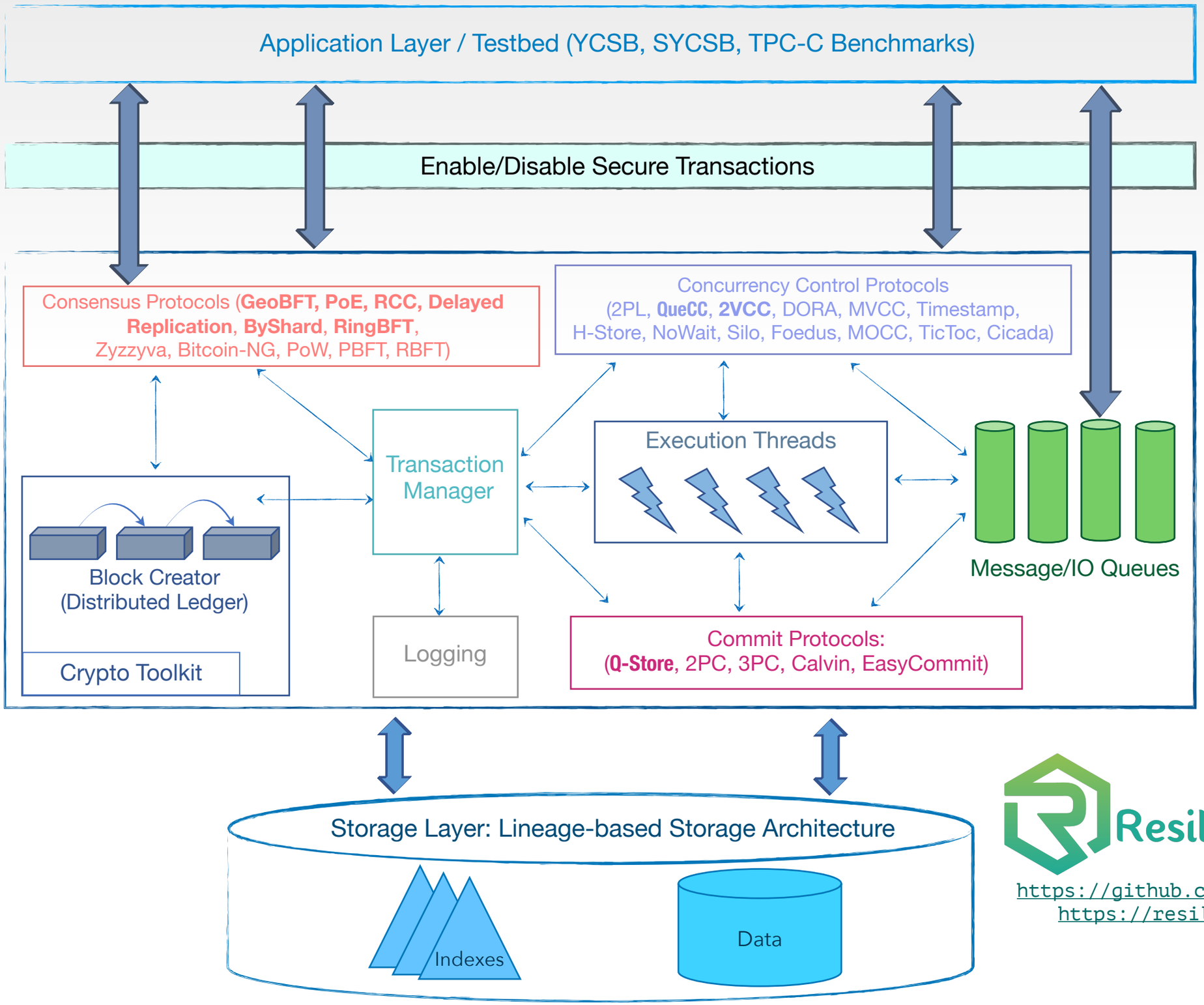
High-priority Queues



Committed Transactions

$w_4(b)$	$w_3(b)$	$w_2(b)$	$r_1(a)$
$r_4(d)$	$r_3(c)$	$r_2(a)$	$w_1(b)$

ResilientDB Blockchain Fabric



ResilientDB

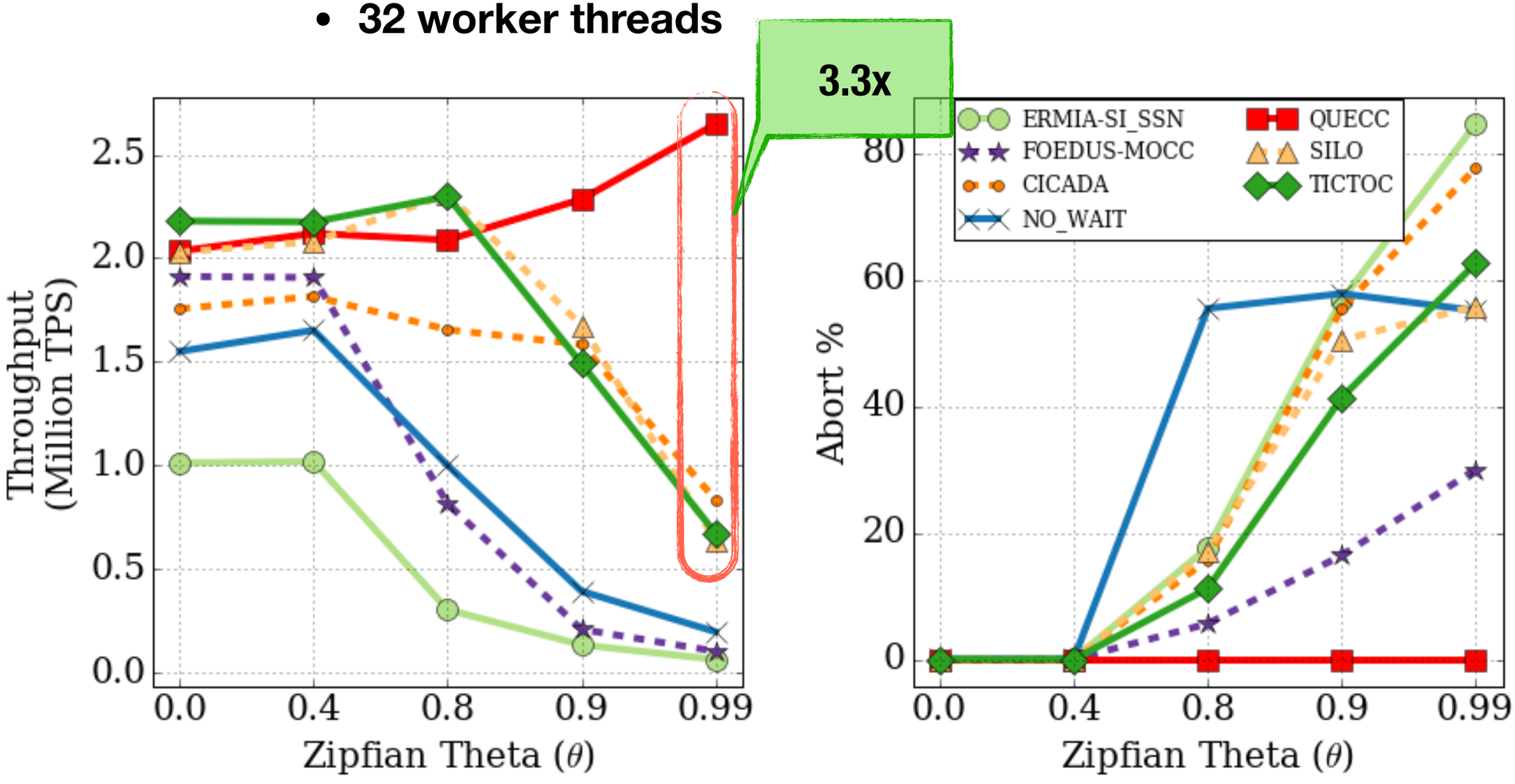
https://github.com/resilientdb/
https://resilientdb.com/

Evaluation Environment

Hardware	Microsoft Azure instance with 32 core CPU: Intel Xeon E5-2698B v3 *32KB L1 data an instruction caches* *256KB L2 cache* *40MB L3 cache* RAM: 448GB
Workload	YCSB: 1 table,10 operations, 50% RMW, Zipfian distribution TPCC: 9 tables, Payment and NewOrder, 1 Warehouse
Software	Operating System: Ubuntu LTS 16.04.3 Compiler: GCC with –O3 compiler optimizations

Effect of Varying Contention

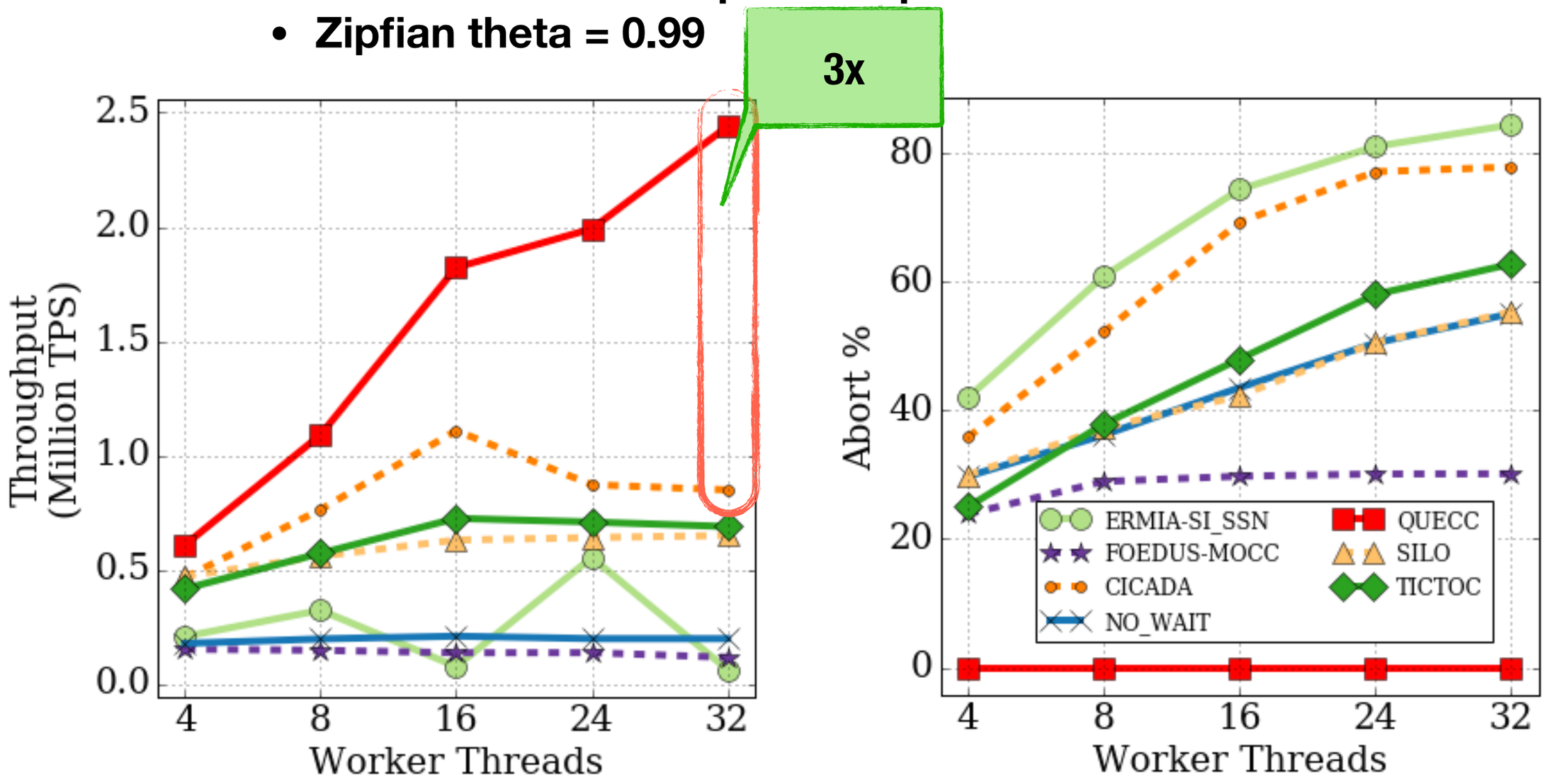
- **5 write and 5 read operation per transaction**
- **32 worker threads**



Workload contention resiliency
Cache locality under high-contention

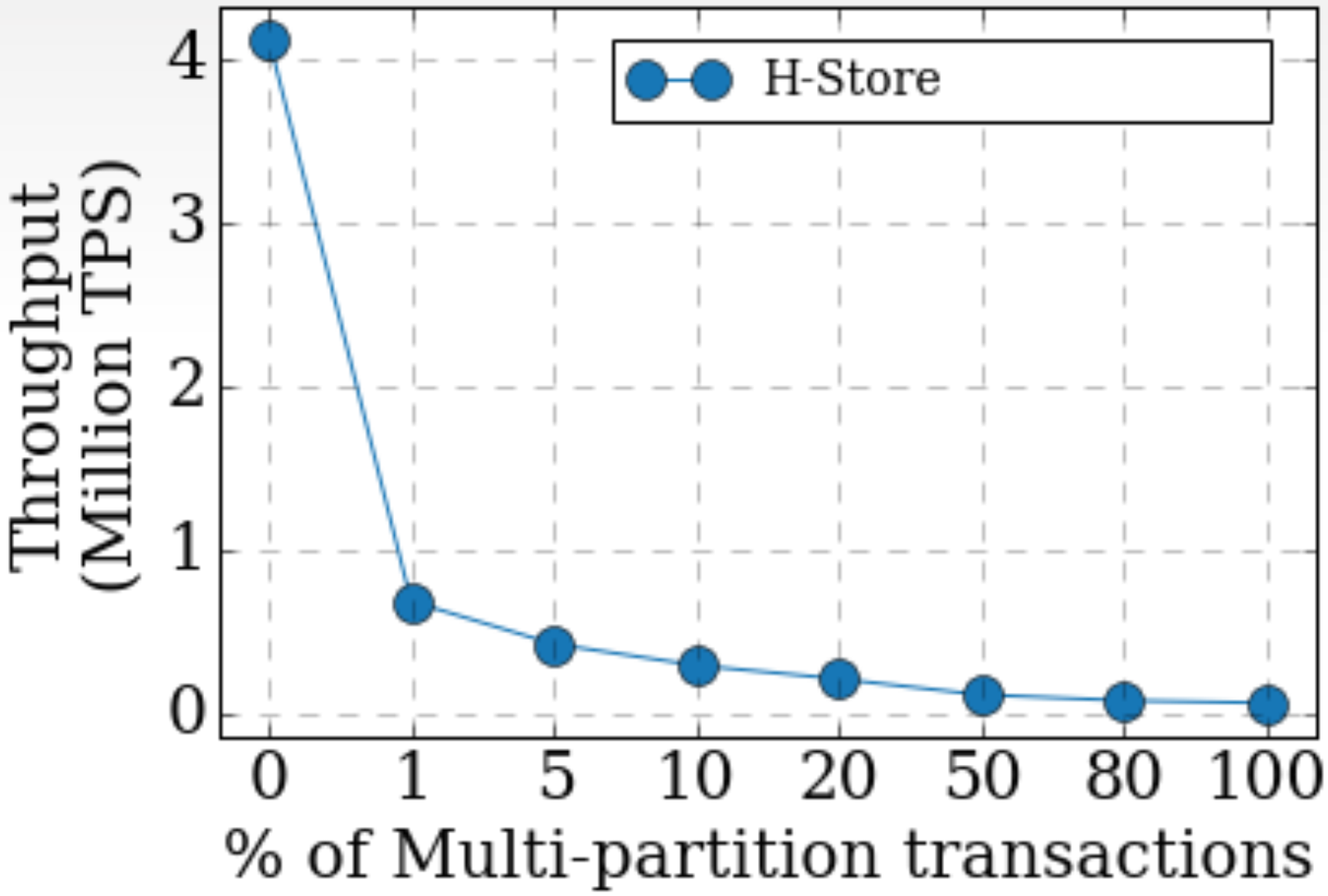
Effect of Varying Worker Threads

- **5 write and 5 read operation per transaction**
- **Zipfian theta = 0.99**

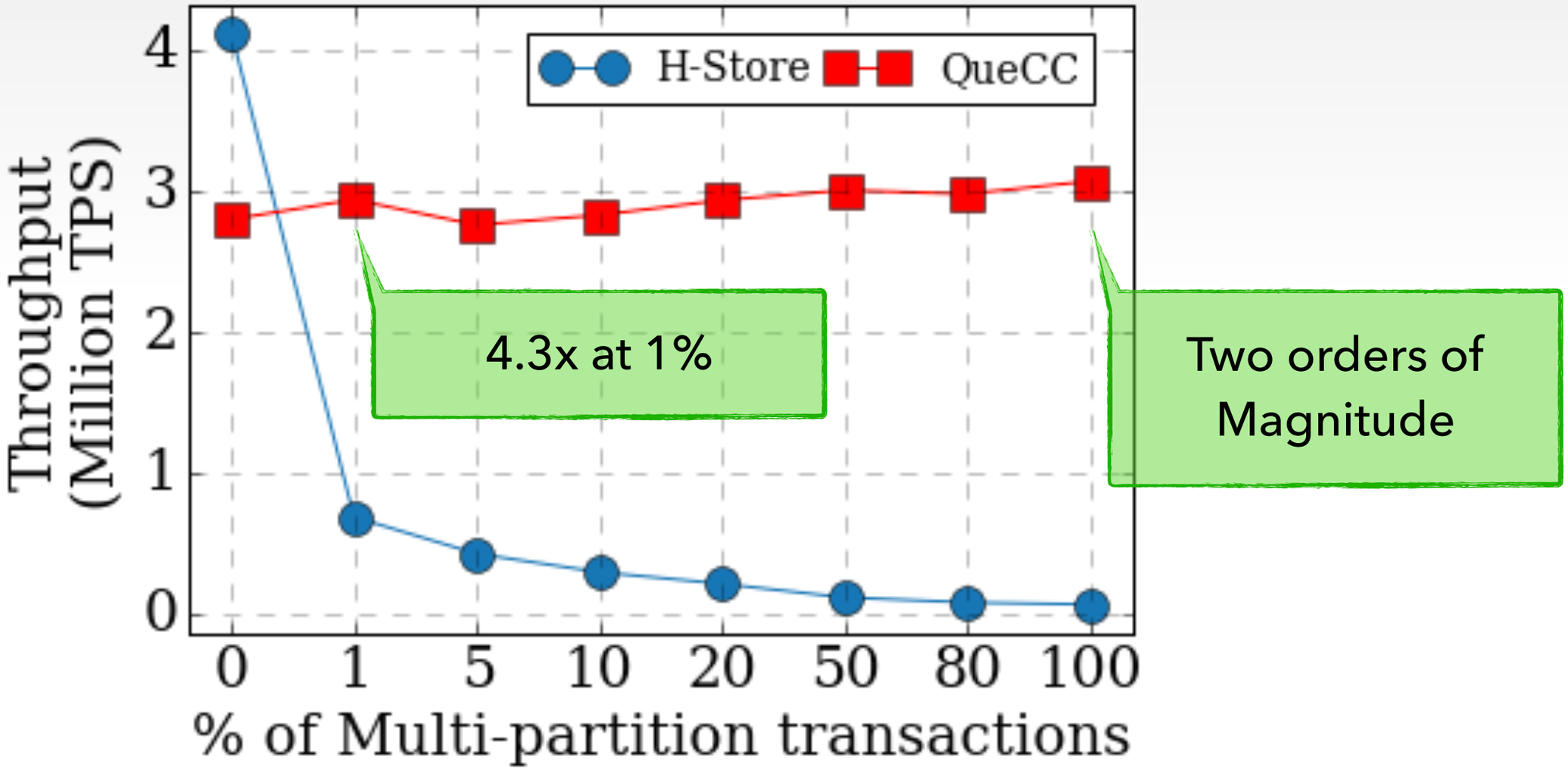


Avoiding thread coordination & eliminating all execution-induced aborts

Effect of Increasing Percentage of Multi-Partition Transactions in the Workload



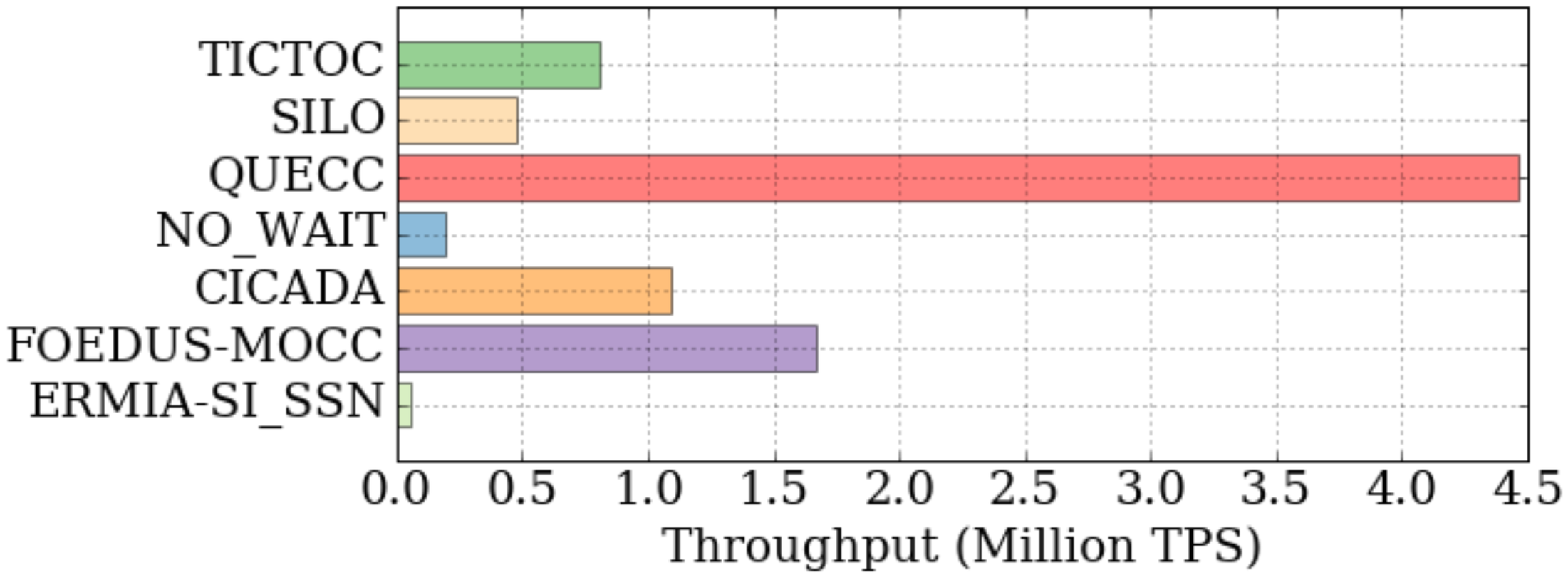
Effect of Increasing Percentage of Multi-Partition Transactions in the Workload



QueCC is not sensitive to multi-partitioning

TPC-C Results

1 Warehouse (highly contended workload)
50% Payment + 50% NewOrder transaction mix



QueCC can achieve up to 3x better performance on high-contention TPC-C workloads

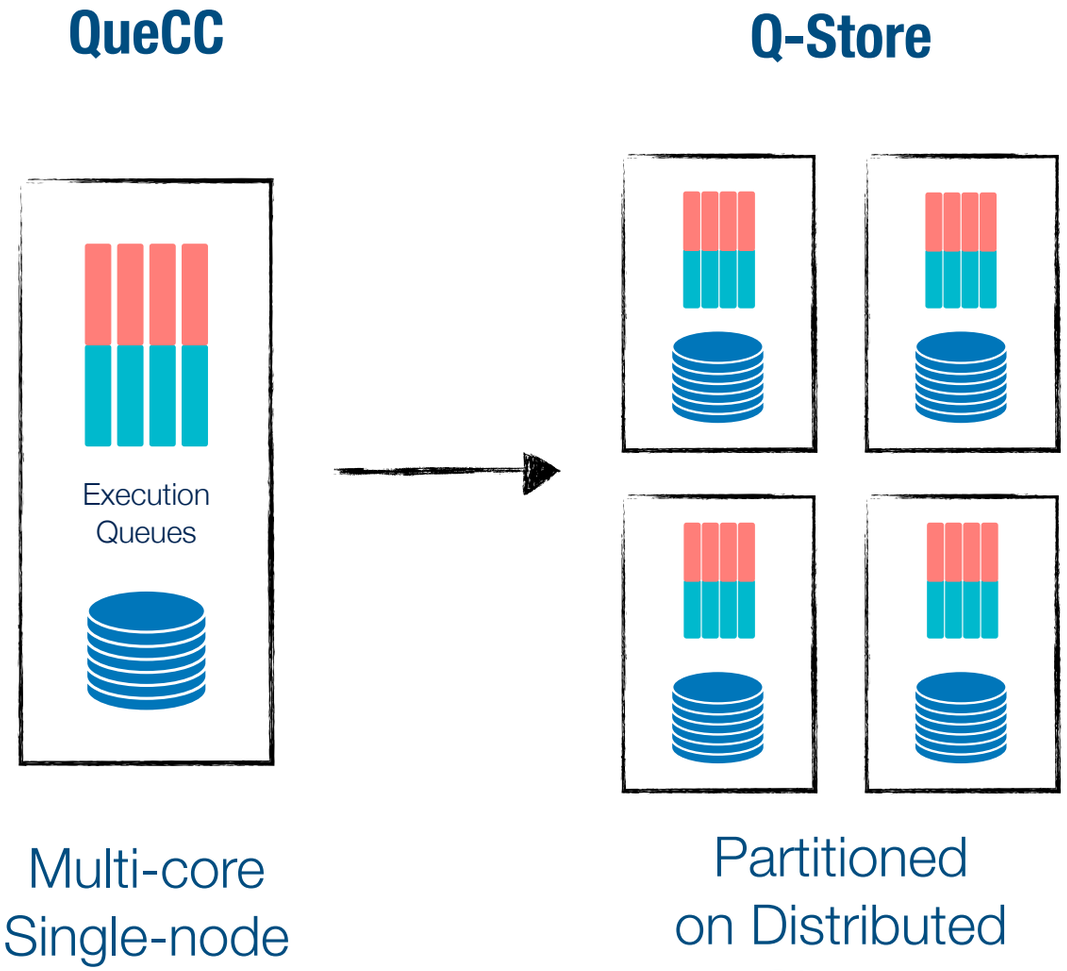
QueCC Conclusions

✓ Efficient, parallel and deterministic in-memory transaction processing

✓ Eliminates almost all aborts by resolving transaction conflicts *a priori*

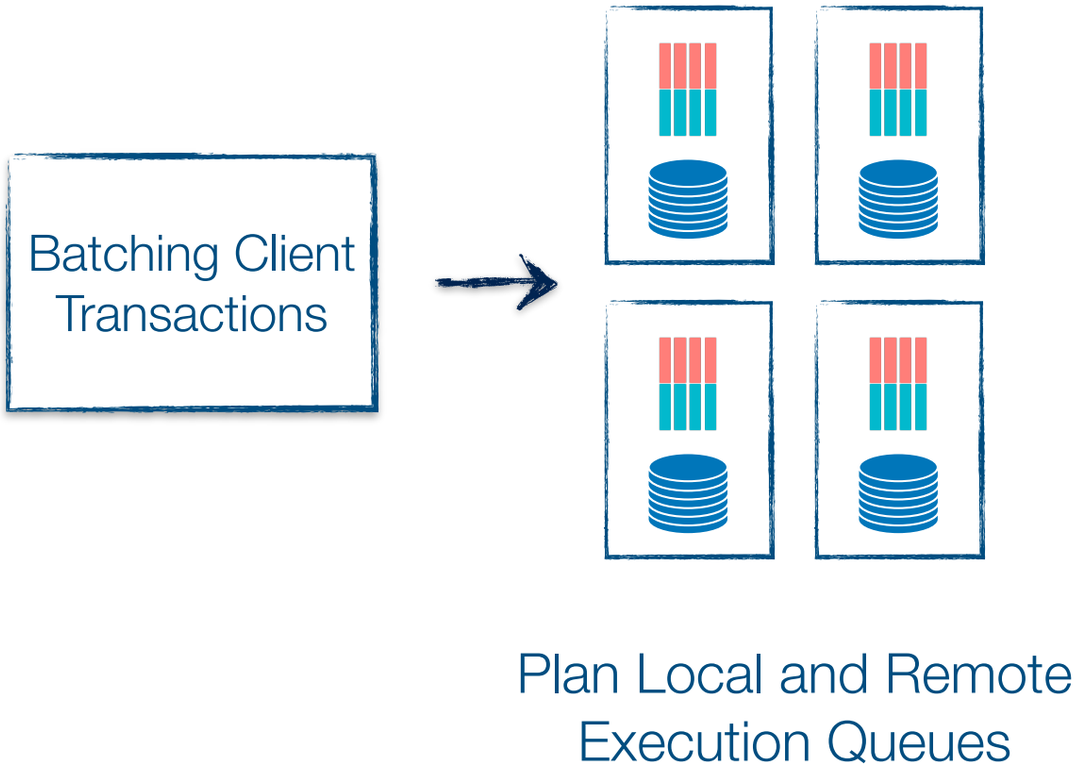
✓ Works extremely well under high-contention workloads

What's Next: Q-Store



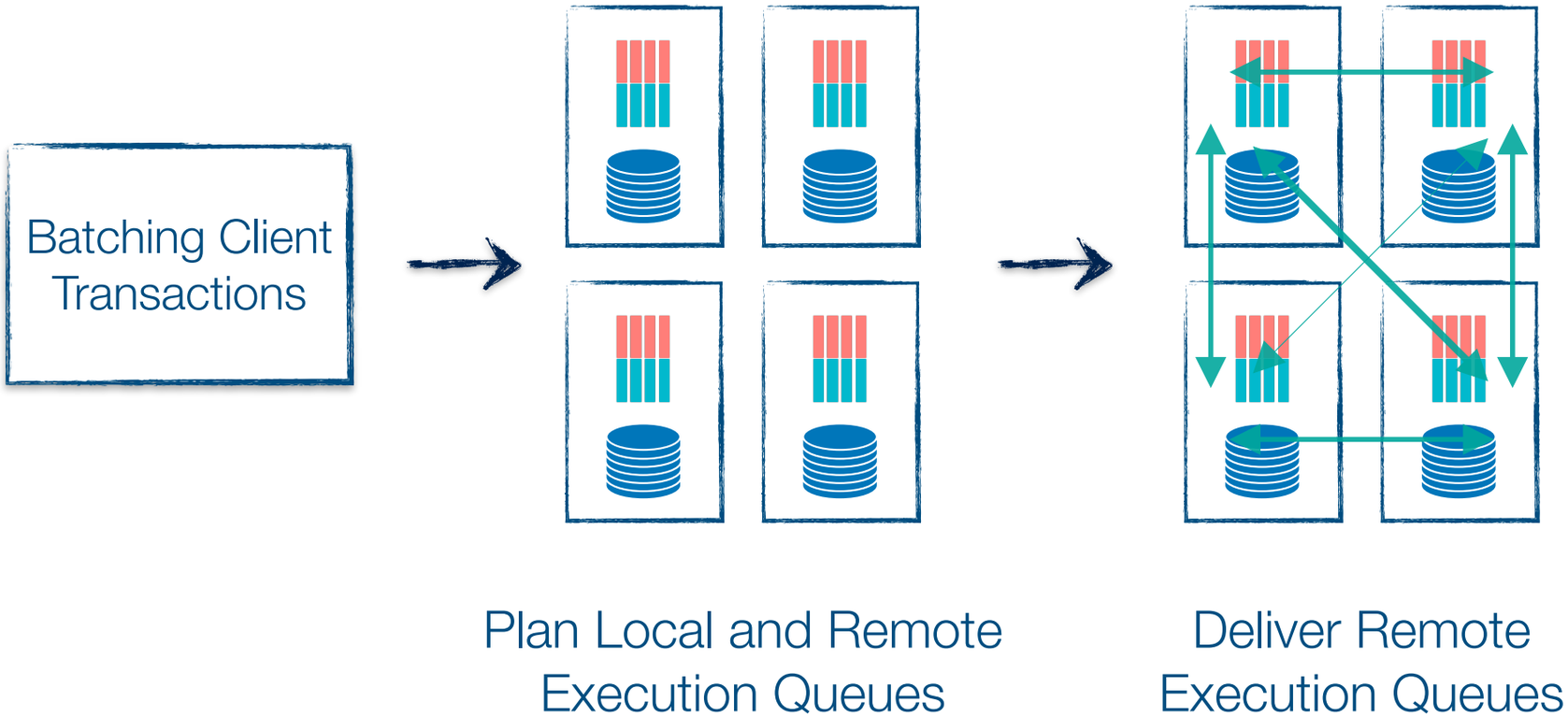
Q-Store: Distributed, Multi-partition Transactions via Queue-oriented Execution and Communication., T. Qadah, S. Gupta, M. Sadoghi, EDBT 2020

What's Next: Q-Store



Q-Store: Distributed, Multi-partition Transactions via Queue-oriented Execution and Communication., T. Qadah, S. Gupta, M. Sadoghi, EDBT 2020

What's Next: Q-Store



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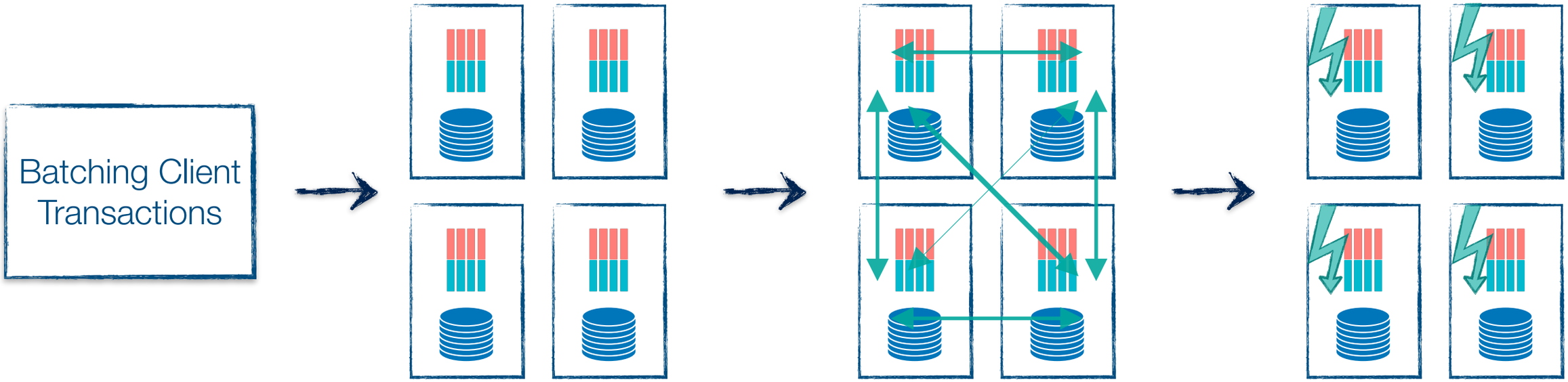
What's Next: Q-Store



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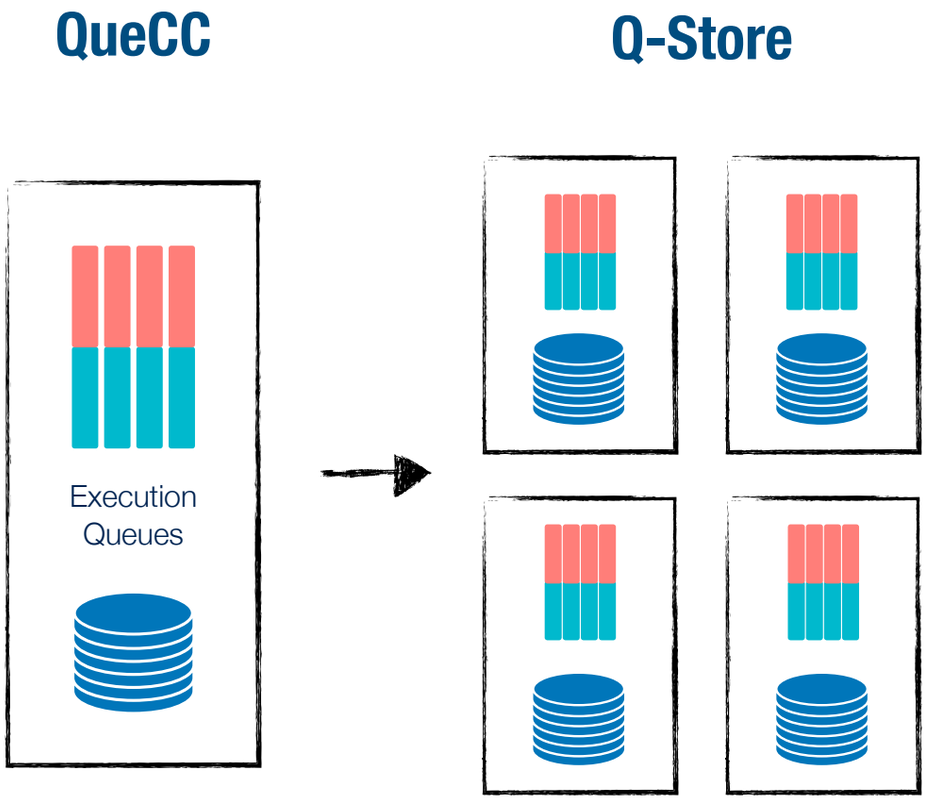
What's Next: Q-Store



- ✓ Parallel and distributed
- ✓ Queue-oriented execution and communication
- ✓ Minimal coordination among nodes and threads

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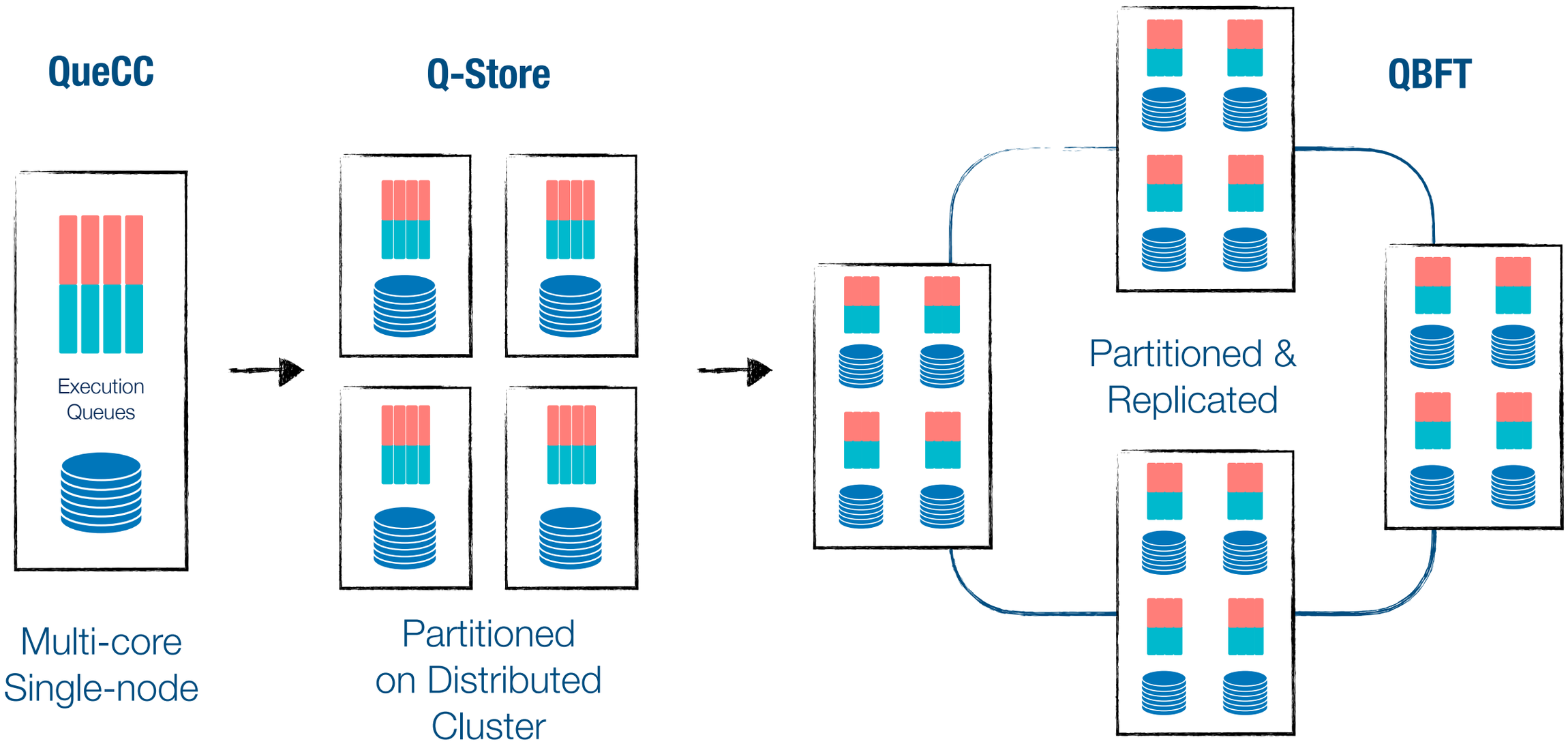
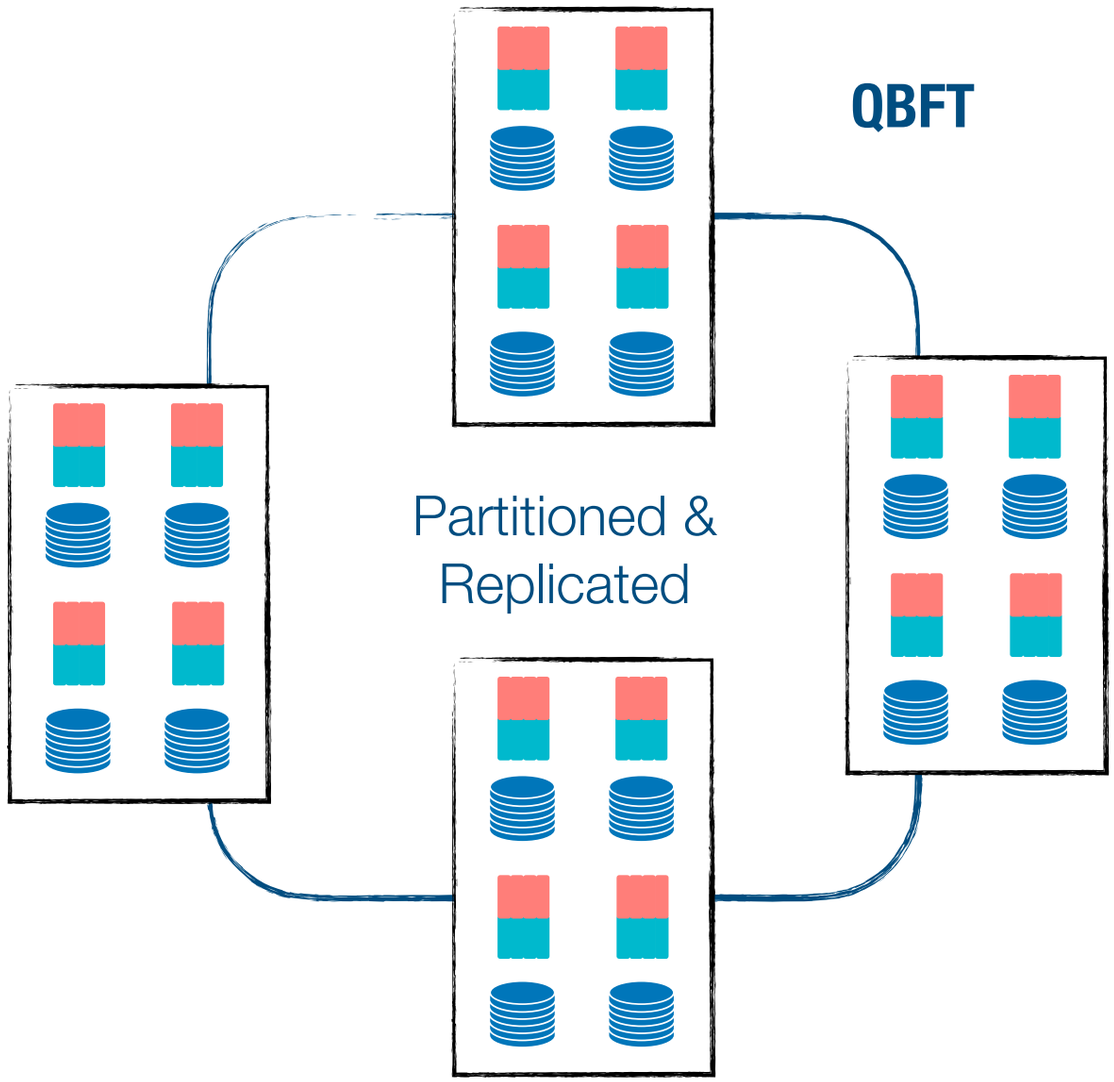
What's Next: QBFT



What's Next: QBFT

✓ Queue-oriented Byzantine Fault-Tolerance

✓ Resilient planning followed by resilient execution